

# Spontaneous Symmetry Breaking in Maximal Supergravities

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17.10.2011

# Outline

- 1 Some history on  $\mathcal{N} = 8, D = 4$  SUGRA
- 2 The Scalar Sector and Vacua
- 3 Methods: old and modern
- 4 Results and open problems
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## Some history on $\mathcal{N} = 8, D = 4$ SUGRA

- Construction
- Potential Relevance
- Structure of the model
- Major Discoveries

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Construction

- 1915: Einstein, Hilbert: General Relativity
- 1941: Rarita and Schwinger: Theory of Spin-3/2 field
- 1973: Wess and Zumino: Lagrangian for a SUSY field theory
- 1976: Freedman, van Nieuwenhuizen, Ferrara: SUGRA
- 1976:  $\mathcal{N} = 2, \mathcal{N} = 3$
- 1977: Freedman and Das: local  $SO(2), SO(3)$  invariance:  
Extra terms (spin-3/2 mass and cosmological constant)
- 1978: Cremmer and Julia: Model with 32 supercharges  
in  $D=11$
- 1978:  $\mathcal{N} = 1$  in  $D = 11 \rightarrow \mathcal{N} = 8$  in  $D = 4$
- 1980: de Wit, Nicolai: local  $SO(8)$  symmetry

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Relevance

- SUGRA inevitable consequence of SUSY+GR. ( $\delta_\epsilon^2 \rightsquigarrow$  diff!)
- SUSY  $\sim$  Relations between particles and interactions  
 $\sim$  constraining principle.
- Maximal SUGRA: Particle content and couplings completely determined by symmetry – rigid construction.
- *First completely unified model!*
- Phenomenological failure: No SM-type chiral gauge interactions for  $\mathcal{N} \geq 2$ .
- Low energy limit of Superstring Theory. Potentially relevant for GUT/Planck-scale physics?
- Unexpected other uses due to AdS/CFT correspondence

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Structure

$SO(8)$ -gauged  $\mathcal{N} = 8$  SUGRA in  $D = 4$ :

The Lagrangian

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Structure

- The full Lagrangian is fairly involved...

$$\begin{aligned}
 \mathcal{L} = & -\frac{1}{2}eR(e, \omega) - \frac{1}{2}\epsilon^{\mu\nu\rho\sigma} \left( \bar{\psi}_\mu^i \gamma_\nu D_\rho \psi_{\sigma i} - \bar{\psi}_\mu^i \overleftarrow{D}_\rho \gamma_\nu \psi_{\sigma i} \right) \\
 & - \frac{1}{12}e \left( \bar{\chi}^{ijk} \gamma^\mu D_\mu \chi_{ijk} \bar{\chi}^{ijk} \overleftarrow{D}_\nu \gamma^\nu \chi_{ijk} \right) - \frac{1}{96} \mathcal{A}_\mu^{ijkl} \mathcal{A}_\mu^{ijkl} \\
 & - \frac{1}{8}e \left( F_{\mu\nu IJ}^+ (2S^{IJ, KL} - \delta_{KL}^{IJ}) F^{+\mu\nu}_{KL} + \text{h.c.} \right) \\
 & - \frac{1}{2}e \left( F_{\mu\nu IJ}^+ S^{IJ, KL} O^{+\mu\nu KL} + \text{h.c.} \right) \\
 & - \frac{1}{4}e \left( O_{\mu\nu}^{+IJ} (S^{IJ, KL} + u^{ij}_{IJ} v_{ijkl}) O^{+\mu\nu KL} + \text{h.c.} \right) \\
 & - \frac{1}{24}e \left( \bar{\chi}_{ijk} \gamma^\nu \gamma^\mu \psi_{\nu\ell} (\hat{\mathcal{A}}_\mu^{ijkl} + \mathcal{A}_\mu^{ijkl}) + \text{h.c.} \right) \\
 & - \frac{1}{2}e \bar{\psi}_\mu^i \psi_\nu^j \bar{\psi}_k^\mu \psi_\ell^\nu \delta_{ij}^{kl} \\
 & + \frac{\sqrt{2}}{4}e \left( \bar{\psi}_\lambda^i \sigma^{\mu\nu} \gamma^\lambda \chi_{ijk} \bar{\psi}_\mu^j \psi_\nu^k + \text{h.c.} \right) + \dots
 \end{aligned}$$

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Structure

- ... but here we focus on the last line:

$$\begin{aligned}
 & \dots \\
 & + e \left( \frac{1}{144} \eta \epsilon^{ijklmnpq} \bar{\chi}^{ijk} \sigma^{\mu\nu} \chi^{\ell mn} \bar{\psi}_\mu^p \psi_\nu^q \right. \\
 & \quad \left. + \frac{1}{8} \bar{\psi}_\lambda^i \sigma^{\mu\nu} \gamma^\lambda \chi_{ikl} \bar{\psi}_{\mu j} \gamma_\nu \chi^{jkl} + \text{h.c.} \right) \\
 & + \frac{\sqrt{2}}{864} \eta e \left( \epsilon^{ijklmnpq} \bar{\chi}_{ijk} \sigma^{\mu\nu} \chi_{\ell mn} \bar{\psi}_\mu^r \gamma_\nu \chi_{pqr} + \text{h.c.} \right) \\
 & + \frac{1}{32} e \bar{\chi}^{ikl} \gamma^\mu \chi_{jkl} \bar{\chi}^{jmn} \gamma_\mu \chi_{imn} \\
 & - \frac{1}{96} e \bar{\chi}^{ijk} \gamma^\mu \chi_{ijk} \bar{\chi}^{\ell mn} \gamma_\mu \chi_{\ell mn} \\
 & + \sqrt{2} g e A_{1ij} \bar{\psi}_\mu^i \sigma^{\mu\nu} \psi_\nu^j + \frac{1}{6} g e A_{2i}^{jkl} \bar{\psi}_\mu^i \gamma^\mu \chi_{jkl} \\
 & + \frac{\sqrt{2}}{144} g \eta e \epsilon^{ijkpqr\ell m} A_{2pqr}^n \bar{\chi}_{ijk} \chi_{\ell mn} + \text{h.c.} \\
 & + g^2 e \left( \frac{3}{4} A_1^{ij} A_{1ij} - \frac{1}{24} A_2^i{}_{jkl} A_2^{jkl} \right)
 \end{aligned}$$



## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Structure

- “Why is this so complicated if it has so much symmetry?” – Imagine listing the vertex coordinates of a dodecahedron in cartesian space.
- What are the key features?
  - Particle spectrum:
    - Graviton  $e$  ( $\times 1$ ),
    - Gravitini  $\psi^i$  ( $\times 8$ ),
    - Vectors  $F_{IJ}$  ( $\times 28$ ),
    - Fermions  $\chi^{ijk}$  ( $\times 56$ ),
    - Scalars  $\phi$  ( $\times 70$ )
  - GR + Rarita–Schwinger + Dirac + YM + Higgses + var. Couplings + Scalar Potential

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Structure

- Generalized Electric/Magnetic duality invariance: 28 vector equations of motion + 28 Bianchi identities  $\Rightarrow$   $Sp(56)$ -symmetry, *but* restricted by relation between  $F$  and  $\delta\mathcal{L}/\delta F$  to subgroup  $G$  containing  $SU(8)$ .
- Another perspective: Dimensional reduction of  $D = 11$  SUGRA ( $R + F^2 + FFA$ ) gives 35 pseudo-scalars from 3-form  $A_{mnp}$  in  $D = 11$ , plus 28 scalars from  $g_{mn}$ , plus 7 scalars from dualizing  $A_{\mu\nu i}$ . Obvious  $SO(7)$  symmetry can be enlarged to  $SO(8)$ ; 35 scalars then live on  $SL(8)/SO(8)$ . *This can be extended to also include pseudo-scalars: 35+35 (pseudo-)scalars live on  $G/SU(8)$ , with  $G$  a non-compact 70+63-dimensional Lie group with maximal compact subgroup  $SU(8)$ .*
- $\mathcal{N} = 8$  SUGRA in  $D = 4$  has hidden global  $E_{7(+7)}$  symmetry!

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Structure

- General feature: After suitable dualizations, dimensionally reduced  $D = 11$  SUGRA can be brought into form that exhibits global exceptional E-series symmetries:  $E_6$  in  $D = 5$ ,  $E_7$  in  $D = 6$ ,  $E_8$  in  $D = 3$ : Generalized electric–magnetic dualities.
- Related to  $U$ –duality symmetry in Superstring Theory.
- Origin of these symmetries not yet fully clear, but tantalizing hints of strong relations to beautiful mathematics (del Pezzo surfaces, Octonions, hyperbolic Kac–Moody algebras, ...)

## Some history on $\mathcal{N} = 8, D = 4$ SUGRA: Major Discoveries

- Our first “Theory of Everything”!  
(But phenomenologically a failure.)
- Potentially renormalizable! (Question not settled yet.)
- Simplest interacting S-matrix in  $D = 4$ ?
- KLT relations:  $\mathcal{N} = 8$  SUGRA  $\sim (\mathcal{N} = 4$  SYM)<sup>2</sup> (Holds at tree level, evidence up to 4-loop level.)
- AdS/CFT application: RG Flow (CFT)  $\leftrightarrow$  Geometry (AdS)
- AdS<sub>4</sub>/CFT<sub>3</sub> application: Field theory on M2 branes
- Further AdS/CMT applications
- *Non-compact gauge groups possible!* (C. Hull, 1985); allow de Sitter solutions; Uses in cosmological inflation phenomenology: (Kallosh, Linde, Prokushkin, Shmakova, 2002)

# The Scalar Sector and Vacua

- Situation for  $\mathcal{N} = 8$  in  $D = 4$  essentially very similar to situation in other dimensions.
- Stationary Lorentz-invariant solutions of field equations  $\equiv$  critical points in scalar potential.
- 11-D perspective: Much of the complicated dynamics in  $D = 11$  ends up in the scalar potential. By finding solutions & uplifting them to a full  $D = 11$  embedding, we may learn something about D=11 SUGRA /  $\mathcal{M}$ -Theory.

# The Scalar Sector and Vacua

- General form of scalar potential:  $V(\phi) \sim \#A_1^2 - \#A_2^2$   
( $A_{1IJ}: \bar{\phi}^i_{\mu} A_{1ij} \sigma^{\mu\nu} \phi^j_{\nu}$  gravitino mass term;  
 $A_2^{ijkl}: \sim \bar{\psi} A_2 \chi$  “gravitino–fermion mass term”)
- $A_1, A_2$  are polynomial in *vielbein*  
 $\mathcal{V} = \exp(\phi_A T^A) \in E_{11-d}(11-d)/K(E_{11-d}(11-d))$   
(D=3: quadratic, D=4,5: cubic)
- Computationally (D=4 case):
  - 1 Take values for 70 scalars
  - 2 Map to  $E_7$  algebra generator in complex 56-dim. fundamental irrep.
  - 3 Exponentiate and form “T–tensor”
  - 4 Extract  $A_1, A_2$
  - 5 Compute potential
  - 6 Check stationarity

# The Scalar Sector and Vacua

- General Properties:
  - Potentials unbounded from below
  - For compact gauge groups: generally AdS solutions
  - All interesting stationary points are saddle points; can be stable nevertheless (BF-Bound).
  - SUSY stationary points stable; many non-SUSY s.p. unstable
- Solutions known before 2009:
  - $SO(8)$  solution with  $\mathcal{N} = 8$  SUSY:  $\phi = 0$
  - $SO(8) \rightarrow SO(3) \times SO(3)$   
(Oldest known solution; has  $\mathcal{N} = 5$  counterpart with  $SO(5) \rightarrow SO(3)$ )
  - $SO(8) \rightarrow SO(7)^\pm$  (2 inequivalent ways)
  - $SO(8) \rightarrow G_2$  with  $\mathcal{N} = 1$  SUSY
  - $SO(8) \rightarrow SU(3) \times U(1)$  with  $\mathcal{N} = 2$  SUSY
  - $SO(8) \rightarrow SU(4)$

## Methods: old and modern

- *Conceptually*, the problem of finding critical points in the potential is very simple.
- Superficially involves just basic calculus and linear algebra.
- *Problem lies in the complexity of these potentials!*
- Example:  $SO(8) \times SO(8)$  Gauged SUGRA with 32 supercharges in  $D = 3$ : Scalar manifold is  $E_{8(8)}/SO(16)$ : 128 (= 248 – 120)–dimensional.
- “Euler angle” type parametrization of 128–dimensional submanifold of  $E_8$  needed.



## Methods: old and modern

- Reminder: Euler angles
- $SO(3)$  Euler angles in 3-d:  $3 \times 3$  matrix; entries are polynomial in  $\cos(\alpha_j), \sin(\alpha_j)$ .
- Rough estimate: Every matrix entry involves binary choice for each of the three angles:  $3 \times 3 \times 2^3 = 72$  trigonometric factors (Actual matrix: 29).
- $E_{8(8)}/SO(16)$  Problem conceptually somewhat trickier here, as half-angles may alternatively appear, too: *more choice!*
- Generic matrix entry polynomial with at least binary choice (sinh / cosh) for each “angle” variable:  $\sim 2^{128}$  summands.
- $D = 3$  scalar potential 4-th order polynomial in matrix entries. Very crude estimate:  $\sim 5^{128} \approx 10^{89}$  summands.
- Many of these potentials have more terms than there are electrons in the accessible Universe ( $\sim 10^{80}$ )!

## Methods: old and modern

Douglas Adams inevitably comes to one's mind:



*The rules to the game of Brockian Ultra-cricket, as played in the higher dimensions are strange and inexplicable. A full set of the rules is so massively complicated that the only time they were all bound together to form a single volume, they underwent gravitational collapse and became a black hole.*

(D.N.A., Life, the Universe and Everything)

(Image source: <http://tinyurl.com/45xlvfb>)

...but these potentials are actually not *that* simple!

## Methods: old and modern

- So, what to do?
- ... and by the way, how have the seven known solutions for  $\mathcal{N} = 8, D = 4$  SUGRA been found?

## Methods: old and modern

- One idea (N. Warner's approach, suggested by Tomaras):
  - Choose subgroup  $H \subset G$ .
  - Scalar potential  $V$  is a singlet w.r.t. gauge group  $G$ , and also w.r.t.  $H$ .
  - Let us expand  $V(\phi_0 + \delta\phi)$  around a stationary point – we consider the action of  $G$  on  $\delta\phi$ :

$$V(\phi_0 + \delta\phi) = V(\phi_0) + \delta\phi(\partial V/\partial\phi) + \frac{1}{2}(\delta\phi)^2(\partial^2 V/\partial\phi^2) + \dots$$

- Stationarity  $\equiv (\partial V/\partial\phi = 0)$ .
- If  $\delta\phi$  transforms non-trivially under  $H \subset G$ , the linear term is absent (as one cannot form a  $G$ -singlet from a single  $H$ -nonsinglet).
- Consequence: Stationary points on  $H$ -invariant submanifold lift to stationary points of the full potential!

## Methods: old and modern

- Trade-off: Large subgroup  $H \subset G$ : low-dimensional  $H$ -invariant sub-manifold: Easy to analyze (few Euler angles, exponentiation feasible), but will not find solutions with residual unbroken gauge symmetry smaller than  $H$ .
- Useful choice:  $H = SU(3)$  (N. Warner): 6-dimensional submanifold, analysis produced  $SO(8), SO(7)^\pm, G_2, SU(4), SU(3) \times U(1)$  solutions.
- Another choice (T.F., 2003):  $H = SO(3)$ , Embedding:  $\mathbf{8}_V \rightarrow \mathbf{3} + 5 \cdot \mathbf{1}$ : 10 scalars, potential with 12 240 terms.

## Methods: old and modern

- Since the 80's, no new solutions have been found for a long time...
- One article from 2009: "Are There Any New Vacua of Gauged  $N=8$  Supergravity in Four Dimensions?" (C. Ahn, K. Woo – arXiv:0904.2105; none found).
- Another article from 2009: "Fourteen new stationary points in the scalar potential of  $SO(8)$ -gauged  $N=8, D=4$  supergravity" (T.F. – arXiv:0912.1636)
- Among the new solutions: a SUSY vacuum with residual  $U(1) \times U(1)$  symmetry, 8 critical points *without any* residual gauge symmetry:  $SO(8)$  broken *completely*.

## Methods: old and modern

- Breakthrough based on a completely new approach to the problem.
- Situation right now: there seem to be at least 160 different critical points, some with fairly interesting properties.
- Some calculations still running; first batch of 40 solutions was released recently (T.F., arXiv:1109.1424).

## Methods: old and modern

- How does the new method work?
- Based on a combination of tricks:
  - Numerical validation of candidate solutions.
  - Complete intermediate avoidance of symbolic methods in search: numerical approach.
  - Re-phrasing stationarity condition as a (high-dimensional) numerical optimization problem: various choices for objective function, e.g.  $|\nabla V|^2 = 0 = \min!$
  - Utilizing advanced algorithmic methods to obtain fast gradients.
  - ...



## Methods: old and modern

- Based on a combination of tricks:
  - ...
  - 2nd “prettifying” optimization to bring numerical solution to nicely coordinate-aligned form.
  - Arbitrary-precision numerics for all optimizations to obtain numbers to 100+ valid digits.
  - *Inverse Symbolic Computation* (Integer relation algorithm – PSLQ) to map numerical values to “simple” polynomials with integer coefficients (which they are a root of).
- *Generally applicable to all models with many scalars and complicated potentials!*

## Methods: old and modern

- Fast Gradients: Approach
  - Given a function  $f : (\mathbb{R}^n \rightarrow \mathbb{R}) \in \mathcal{C}^2$  and a computer implementation of a program  $P$  calculating  $f$  that needs time  $T$  to calculate  $f$  at a given point.
  - Naive approach to calculate gradient needs at least  $n + 1$  function evaluations, gives half the available numerical accuracy.
  - Speelpenning's PhD thesis (1980): There is a (fully automatic) program transformation  $P \rightarrow \tilde{P}$  such that  $\tilde{P}$  calculates both  $f(\vec{x})$  and  $\nabla f(\vec{x})$  in at most  $5T$  – independent of  $n$ , and with full numerical accuracy!
  - Method widely used in engineering design optimization – e.g. to deal with many geometry parameters.

## Methods: old and modern

- Fast Gradients: Approach
  - Price to be paid:
    - *Must evaluate  $f$  once and remember all intermediate numerical quantities. . .*
    - *. . . plus one extra number per intermediate value. . .*
    - *. . . and the complete execution path (i.e. all conditional branches/loop exits taken).*
  - Strategy: One first evaluates  $f$ , then goes once again through the calculation *backwards*, trying to answer for each intermediate value the question: “If, at the point this intermediate quantity  $y$  became first known, we replaced it by  $y + \epsilon$ , by how much (relative to  $\epsilon$ ) would the final result change (to 1st order in  $\epsilon$ )?”
  - Can use chain rule to back-propagate these “sensitivities” through entire calculation.
  - Sensitivities on input data = gradient!

## Methods: old and modern

- Inverse symbolic computation – Example:
  - Given the number such as  
8.4721359549995793928183473374625524708812367192230514507  
to 50 valid digits, is there a polynomial with integer  
coefficients carrying substantially less than  $50 \log_2 10$  bits of  
information of which this is a zero?
  - The PSLQ algorithm gives us a candidate analytic expression  
for this number:  $\sqrt{36 + 16\sqrt{5}}$ .
  - This analytic conjecture then can be checked to a very high  
number of digits (and often also analytically).
- In combination with other tricks, this allows us to completely  
avoid the intractable intermediate analytic stage – and  
nevertheless obtain analytic results!

## Results and open problems

- Among the new solutions:
  - $U(1) \times U(1)$   $\mathcal{N} = 1$  vacuum.
  - *Supersymmetry not a pre-requisite for stability* – this was seen already in  $D = 3$ , but in  $D = 4$  the *only* known example so far is the old  $SO(3) \times SO(3)$  critical point!
  - Details: arXiv:1010.4910 (T.F., K. Pilch, N. Warner)
  - Also: Novel solutions with many different symmetries (another  $SO(3) \times SO(3)$ ,  $SO(3) \times U(1) \times U(1)$ ,  $SO(3) \times U(1)$  ( $3\times$ ),  $SO(3)$  ( $4\times$ ); many of type  $U(1) \times U(1)$ ,  $U(1)$  and  $\emptyset$ ).

## Open problems

- What about maximal SUGRA in  $D = 5$  (and correspondingly,  $\mathcal{N} = 4$  SYM)?  $\rightarrow$  Currently investigated (T.F., Gereon Kaiping)
- Optimization-based method contains an element of chance: “fishing” for solutions. Some are found more often than others. This has been found to systematically miss some solutions (in particular, the stable  $SO(3) \times SO(3)$  solution!) – are there ways to improve this?
- RG flows in  $D = 3$  CFT that involve new critical points?
- 11-dimensional mother geometries?

## Conclusion

- Detailed analysis of supergravity potentials in a (fairly large) class of models with many scalars has been considered intractable for a long time.
- This turned out to be wrong – there indeed is a general method to systematically analyze these potentials.
- Some of the methods employed probably can be used to great benefit for other problems in QFT as well. (Parameter fitting?)
- Powerful novel approaches produce many new results right now, but leave a number of blind spots. (Some solutions missed systematically.)
- Many options for creatively modifying/extending the method (e.g. tweaking the objective function, using conjectured properties of solutions, homotopy methods, etc.)