# **1** Groups and their representations

# 1.1 Groups

A group is a set of elements  $G = \{a, b, ...\}$  with a binary operation (e.g. multiplication)

$$: : G \times G \to G (a,b) \mapsto a \cdot b \equiv ab \in G \text{ (closure)}$$

with the following properties

(G1) associativity:  $\forall a, b, c \in G, a(bc) = (ab)c;$ 

(G2) unit element:  $\exists e \in G : ea = ae = a, \forall a \in G;$ 

(G3) inverse:  $\forall a \in G, \exists a^{-1} \in G : aa^{-1} = a^{-1}a = e$ .

In general, multiplication is *not* commutative, i.e.  $ab \neq ba$ . If ab = ba,  $\forall a, b \in G$ , then G is called an *Abelian* group. If  $ab \neq ba$ ,  $\forall a, b \in G$ , then G is called a *non-Abelian* group. The number of the elements of a group G is called the *order* of G.

#### **Examples of groups.**

- The set with one element {*e*} under multiplication.
- The set of integers  $\mathbb{Z}$  under ordinary addition.
- Positive rational numbers under ordinary multiplication.
- $S_n$ , the permutations of *n* objects, under composition.

$$\sigma \in S_n : \{1, 2, \dots, n\} \to \{1, 2, \dots, n\}$$
$$\{1, 2, \dots, n\} \mapsto \{\sigma(1), \sigma(2), \dots, \sigma(n)\}$$
$$\forall i \in \{1, 2, \dots, n\}, (\sigma_1 \sigma_2)(i) \equiv \sigma_1(\sigma_2(i))$$

The order of  $S_n$  is n!.

- Translations in *D*-dimensional Euclidean space, under composition.
- Rotations in *D*-dimensional Euclidean space, under composition.
- $\mathbb{Z}_2 = e, a \text{ (order 2)}$ , with  $a^2 = e \text{ (example: mirror symmetry, rotation of } \pi \text{ around an axis)}$ .

#### **Counter-examples**

- $\mathbb{Z}$  is not a group under multiplication  $(n^{-1} \notin \mathbb{Z} \text{ for } n \neq 1)$ .
- R is not a group under multiplication: 0 has no inverse (see Problem Sheet 1 to understand why it has to be so)

Note that  $\mathbb{R}/\{0\}$  is a group under multiplication.

# **1.2** Vector spaces and linear operators

In physics, we typically deal with *linear transformations* on vector spaces.

**Fields.** A set  $\mathbb{K}$  with two operations  $(+, \cdot)$  is a *field* iff

(F1) (K, +) is an Abelian group ( $e_+ \equiv 0$ );

(F2)  $(\mathbb{K}/\{0\}, \cdot)$  is an Abelian group  $(e \equiv 1)$ ;

(F3)  $\cdot$  is distributive with respect to +:  $\forall a, b, c \in \mathbb{K}$ , (a + b)c = ab + bc.

Examples:  $\mathbb{Q}$  (rational numbers),  $\mathbb{R}$  (real numbers),  $\mathbb{C}$  (complex numbers).

**Vector spaces.** A set V with two operations  $(+, \cdot)$  is a vector space over a field K iff

(V1) "addition" + :  $V \times V \rightarrow V$ (V, +) is an Abelian group;

(V2) "multiplication by a scalar"  $\cdot : V \times \mathbb{K} \to V$  satisfying

- (i) a(u + v) = au + av,  $\forall a \in \mathbb{K}$  and  $\forall u, v \in V$ ;
- (ii) (a + b)u = au + bu,  $\forall a, b \in \mathbb{K}$  and  $\forall u \in V$ ;
- (iii)  $a(bu) = (ab)u, \forall a, b \in \mathbb{K} \text{ and } \forall u \in V;$
- (iv)  $1 \cdot u = u, \forall u \in V$ .

Examples: ordinary vectors in *D*-dimensional Euclidean space, wave functions in Quantum Mechanics (QM).

Note: in physics we *always* consider vector spaces on  $\mathbb{R}$  or  $\mathbb{C}$ , because they are complete sets (see Analysis 1 for definition of completeness).

A *basis*  $\{e_i\}$  of a vector space V is a set of linearly independent vectors that span the whole vector space, i.e.

$$\forall u \in V, \qquad u = \sum_{i=1}^{n} u_i e_i \qquad (\text{span } V)$$
$$\sum_{i=1}^{n} u_i e_i = 0 \Leftrightarrow c_i = 0, i = 1, 2, \dots, n \qquad (\text{linearly independent})$$

The number of elements of a basis is called the *dimension* of the vector space. The numbers  $\{u_1, u_2, \ldots, u_n\}$  are called the "components" of the vector u in the basis  $\{e_i\}$ .

Note that vector spaces can have both finite and infinite dimension. Ordinary Euclidean vectors are a finite-dimensional vector space, wave functions in QM are not.

**Linear transformations.** A transformation (or map)  $T : V \to V$  on a vector space V on a field  $\mathbb{K}$  is *linear* iff

$$\forall u, v \in V, \ \forall a, b \in \mathbb{K}, \quad T(au + bv) = aT(u) + bT(v)$$

Consider a finite-dimensional vector space V of dimension n. Given a basis  $\{e_i\}_{i=1,2,\dots,n}$ , any linear transformation T is represented by a matrix  $D_{ij}$ , as follows

$$T(e_j) = \sum_{i=1}^n D_{ij} e_i \equiv D_{ij} e_i$$
 (Einstein's notation)

In this representation, the *j*-th column of  $D_{ij}$  contains the components of  $T(e_j)$ . For any vector  $u \in V$ , we have

$$u = u_j e_j \implies T(u) = u_j T(e_j) = u_j (D_{ij} e_i) = v_i e_i \implies v_i = D_{ij} u_j.$$

Note: a linear transformation is the generalisation of the multiplication by a scalar, so it is customary to use the short-hand notation  $Tu \equiv T(u)$ .

# **1.3 Representations of a group**

A representation of a group G is a map  $D : G \to L(V)$ , where L(V) is the set of linear operators on a vector space V. The map D satisfies the properties:

(R1) D(e) = 1, where *e* is the identity of *G*, and 1 the identity of L(V);

(R2)  $\forall g_1, g_2 \in G, D(g_1g_2) = D(g_1)D(g_2).$ 

Example.

$$D: (\mathbb{Z}, +) \to L(\mathbb{C}) = (\mathbb{C}, \cdot)$$
$$n \mapsto D(n) = e^{in\theta}, \quad \theta \in \mathbb{R}$$

(R1)  $D(0) = e^{i0\theta} = 1;$ 

(R2)  $\forall n, m \in \mathbb{Z} \ D(n+m) = e^{i(n+m)\theta} = e^{in\theta}e^{im\theta} = D(n)D(m).$ 

If V is finite-dimensional, we can express D(g) in matrix form. In a basis  $\{e_i\}_{i=1,2,\dots,n}$  we have

 $D(g)e_i = [D(g)]_{ij}e_i$ 

Two representations  $D_1$  and  $D_2$  are called *equivalent* if they can be related by a similarity transformation, i.e.

$$\exists S \in L(V) : D_1(g) = S D_2(g) S^{-1}, \quad \forall g \in G$$

Note that the matrix *S* has to be invertible.

A complex or real representation of order *n* is a representation *D* of the group *G* onto the sets  $GL(n, \mathbb{C})$  or  $GL(n, \mathbb{R})$  of non-singular  $n \times n$  complex or real matrices. The matrix D(g) has to be invertible because, if *D* is a representation of a group *G*, then  $D(g^{-1}) = D(g)^{-1}$ ,  $\forall g \in G$ . In fact, from (R1)

$$D(gg^{-1}) = D(e) = 1$$
.

But, from (R2), we have

$$D(gg^{-1}) = D(g)D(g^{-1}) = 1 \implies D(g^{-1}) = D(g)^{-1}.$$

**Example.** The group  $\mathbb{Z}_3$  is a finite group with three elements,  $\mathbb{Z}_3 = \{e, a, b\}$ . For finite groups we can construct the so-called multiplication table, e.g.

$$\begin{array}{c|cccc} \cdot & e & a & b \\ \hline e & e & a & b \\ a & a & b & e \\ b & b & e & a \end{array}$$

One representation of  $\mathbb{Z}_3$  in  $(\mathbb{C}, \cdot)$  is given by the roots of  $z^{1/3} = 1$ , i.e.  $\{1, e^{\frac{2}{3}\pi i}, e^{\frac{4}{3}\pi i}\}$ . A representation of  $\mathbb{Z}_3$  in  $GL(3, \mathbb{C})$  can be found in Problem Sheet 1.

### **1.4** Scalar products on real vector spaces

Consider a vector space V on  $\mathbb{R}$ . A scalar product is a map  $g: V \times V \to V$  that is

(S1) bilinear:  $\forall u, v, w \in V$ , and  $\forall a, b \in \mathbb{R}$ :

$$g(u, av + bw) = ag(u, v) + bg(u, w),$$
  

$$g(au + bv, w) = ag(u, w) + bg(v, w);$$

(S2) symmetric:  $\forall u, v \in V, g(v, u) = g(u, v)$ .

#### Examples.

• Scalar products of vectors in three-dimensional Euclidean space  $\mathbb{R}^3 \equiv \mathbb{R} \times \mathbb{R} \times \mathbb{R}$ :

$$\forall \vec{u}, \vec{v} \in \mathbb{R}^3, \qquad g(\vec{u}, \vec{v}) \equiv \vec{u} \cdot \vec{v} \equiv |\vec{u}| |\vec{v}| \cos \theta.$$

The above product is strictly positive, i.e.

(S3)  $\forall u \in V, g(u, u) \ge 0$ , and  $g(u, u) = 0 \implies u = 0$ .

• Scalar product of vectors in Minkowsky space:

$$\forall u \equiv (u^0, \vec{u}), v \equiv (v^0, \vec{v}), \qquad g(u, v) \equiv u \cdot v \equiv u^0 v^0 - \vec{u} \cdot \vec{v}.$$

The above scalar product is not strictly positive, in fact  $\forall u = (|\vec{u}|, u)$  we have  $u \cdot u = 0$ .

**Orthogonal vectors.** Given a scalar product g, two vectors u, v are said to be *orthogonal* iff g(u, v) = 0.

If  $\{e_i\}_{i=1,2,\dots,n}$  is a basis of V, and  $u = u_i e_i$  and  $v = v_i e_i$ , we have

$$g(u, v) = g_{ij}u_iv_j$$
  $g_{ij} \equiv g(e_i, e_j)$ 

A basis  $\{e_i\}_{i=1,2,\dots,n}$  is said to be orthonormal iff

$$g_{ij} = \pm \delta_{ij}, \qquad \delta_{ij} = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}$$

**Orthogonal transformations.** A linear transformation M onto a real vector space V with a scalar product g is said to be *orthogonal* iff  $\forall u, v \in V, g(Mu, Mv) = g(u, v)$ .

Let us define the matrix  $M_{ij} \equiv g(e_i, Me_j)$ . If  $\{e_i\}_{i=1,2,\dots,n}$  is orthonormal basis, and M is orthogonal, we have (see Problem Sheet 1)

$$M^T g M = g$$
.

Using the fact that  $g^2 = 1$ , we have

$$M^T g M = g \implies g M^T g M = g^2 = \mathbb{1} \implies M^{-1} = g M^T g.$$

For a positive scalar product, g = 1. This implies that  $M^{-1} = M^T$ .

#### 1.5 Scalar products on complex vector spaces

Let us consider a vector space  $\mathcal{H}$  on  $\mathbb{C}$ . A hermitian scalar product is a map  $g: \mathcal{H} \times \mathcal{H} \to \mathbb{C}$  that is:

(H1) sesquilinear:  $\forall u, v, w \in \mathcal{H}$ , and  $\forall a, b \in C$ , we have

$$g(u, av + bw) = ag(u, v) + bg(u, w),$$
  

$$g(au + bv, w) = a^*g(u, w) + b^*g(v, w);$$

(H2) hermitian:  $\forall u, v \in \mathcal{H}, g(v, u) = g(u, v)^*$ .

In physics applications, we always require strict positivity, i.e.

(H3)  $\forall u \in \mathcal{H}, g(u, u) \ge 0$ , and  $g(u, u) = 0 \implies u = 0$ .

**Example.** Wave functions in quantum mechanics.

$$\begin{split} |\psi\rangle &\equiv \psi : \mathbb{R}^3 \to \mathbb{C} \\ g(|\phi\rangle, |\psi\rangle) &\equiv \langle \phi |\psi\rangle \equiv \int_{\mathbb{R}^3} d^3 \vec{x} \, \phi^*(\vec{x}) \, \psi(\vec{x}) \end{split}$$

\_ 2

This is indeed a scalar product due to the properties of integrals.

A set of vectors  $\{|e_i\rangle\}$  is called *orthonormal* if  $\langle e_i|e_i\rangle = \delta_{ii}$ .

A complex vector space  $\mathcal{H}$  with a hermitian scalar product (and the additional property of completeness) is called a *Hilbert space*.

**Unitary transformations.** Let us consider a a Hilbert space  $\mathcal{H}$ . A linear transformation (a.k.a. operator) U on  $\mathcal{H}$  is unitary iff,  $\forall |u\rangle, |v\rangle \in \mathcal{H}$ , we have  $\langle Uu|Uv\rangle = \langle u|v\rangle$ .

The *adjoint* of a linear operator A on  $\mathcal{H}$  is the linear operator  $A^{\dagger}$  defined by  $\langle u|A^{\dagger}v\rangle = \langle Au|v\rangle$ ,  $\forall |u\rangle, |v\rangle \in \mathcal{H}$  (with some extra conditions . From the definition  $(A^{\dagger})^{\dagger} = A$ . A linear operator A is *hermitian* iff  $A^{\dagger} = A$ .

If U is a unitary operator, then  $U^{\dagger} = U^{-1}$ . In fact,  $\forall |u\rangle, |v\rangle \in \mathcal{H}$ , we have

$$\langle u|v\rangle = \langle Uu|Uv\rangle = \langle u|U^{\dagger}Uv\rangle \implies U^{\dagger}U = \mathbb{1} \implies U^{\dagger} = U^{-1}.$$

If  $\mathcal{H}$  has finite dimension *n*, we can construct an orthonormal basis  $\{|e_i\rangle\}_{i=1,2,\dots,n}$ . Then we can associate to each operator *A* a matrix  $A_{ij} = \langle e_i | A e_j \rangle$ , such that  $\forall |u\rangle = u_i | e_i \rangle \in \mathcal{H}$ , we have

 $|v\rangle \equiv A|u\rangle = v_i|e_i\rangle \implies v_i = A_{ij}u_j.$ 

Note that, by construction,  $(A^{\dagger})_{ij} = A_{ij}^*$  (see Problem Sheet 1).

**Unitary representations.** A representation *D* of a group *G* is unitary if,  $\forall g \in G$ , D(g) is a unitary operator on a Hilbert space.

All representation of  $\mathbb{Z}_3$  considered so far are unitary. The one in Problem Sheet 1 maps each element of  $\mathbb{Z}_3$  onto an orthogonal matrix on  $\mathbb{R}^3$ . We have therefore an *orthogonal* representation of  $\mathbb{Z}_3$ .

**Unitary vs anti-unitary operators.** Unitary representations are commonly used to model the action of a symmetry on quantum states:

$$|\psi\rangle \rightarrow |\psi'\rangle = U|\psi\rangle.$$

Unitary transformation leave transition amplitudes unchanged, in fact

$$\langle \phi' | \psi' \rangle = \langle U \phi | U \psi \rangle = \langle \phi | \psi \rangle \,.$$

In quantum mechanics, probabilities are obtained by squaring transition amplitudes, so we can also have

$$\langle U\phi|U\psi\rangle = \langle \phi|\psi\rangle^*$$
.

If an operator U satisfies the above relation  $\forall |\phi\rangle, |\psi\rangle \in \mathcal{H}$ , the operator U is said to be *anti-unitary*. Anti-unitary operators are anti-linear, i.e.

$$U(\alpha|\phi\rangle + \beta|\psi\rangle) = \alpha^* U|\phi\rangle + \beta^* U|\psi\rangle, \qquad \forall |\phi\rangle, |\psi\rangle \in \mathcal{H}, \text{ and } \forall \alpha, \beta \in \mathbb{C}.$$

The adjoint of an anti-linear operator is defined through the relation

$$\langle \phi | A^{\dagger} \psi \rangle = \langle A \phi | \psi \rangle^* = \langle \psi | A \phi \rangle$$

Note, if U is anti-unitary,  $U^{\dagger} = U^{-1}$  (see Problem Sheet 1).