

# Particle spectra and the QCD phase transition

A. Jakovác

ELTE, Dept. of Atomic Physics  
Budapest, Hungary

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- 1 Introduction
  - Phases of QCD
- 2 The particle concept
  - Particles in free systems
  - Particles in interacting systems
  - Mathematical treatment of quasiparticles
- 3 Physical applications
  - Formation time of a quasiparticle
  - Gibbs paradox: indistinguishability of particles
  - Particle melting
- 4 QCD thermodynamics
  - Statistical model of QCD excitations
- 5 Conclusions

# Outlines

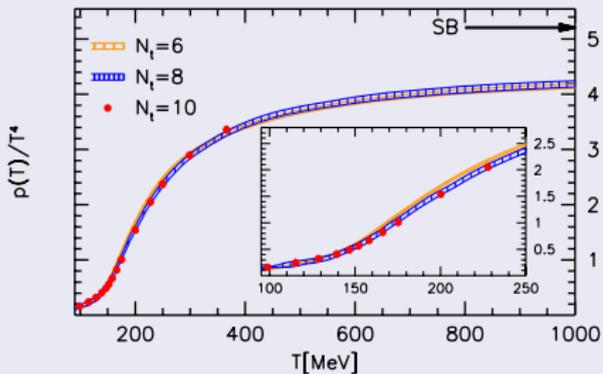
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# The QCD equation of state

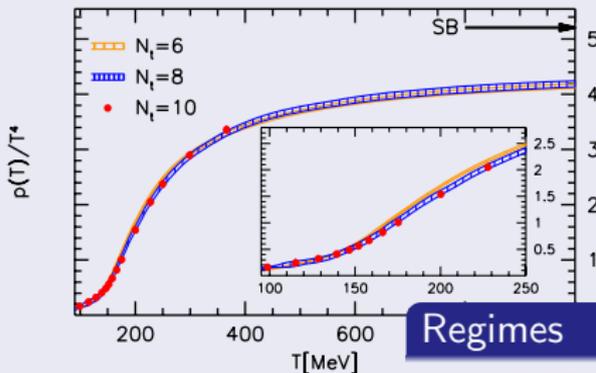
## pressure from MC simulation



(Sz. Borsanyi et al, JHEP 1011 (2010) 077)

# The QCD equation of state

## pressure from MC simulation



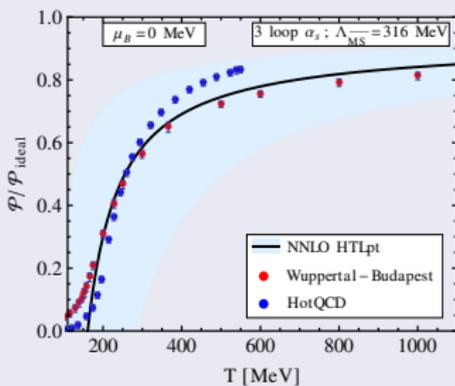
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## Regimes

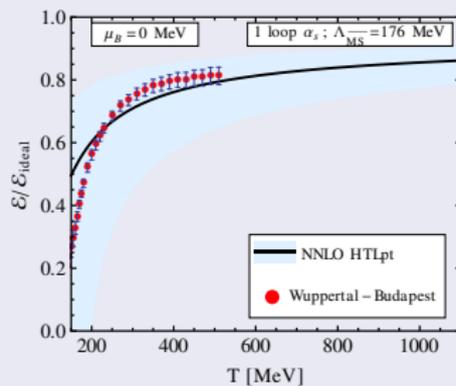
- **QGP** at high  $T$ : 8 gluon + 3 quark dof
- **hadrons** at low  $T$ : Hadron Resonance Gas (HRG)
- **in between** continuous crossover phase transition (PT) with “ $T_c$ ” = 156 MeV

# At high temperature: Quark Gluon Plasma (QGP)

## 3-loop HTL calculation

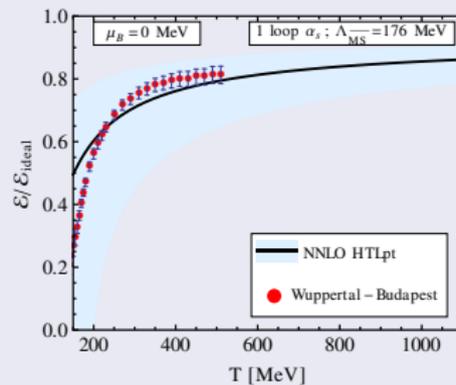
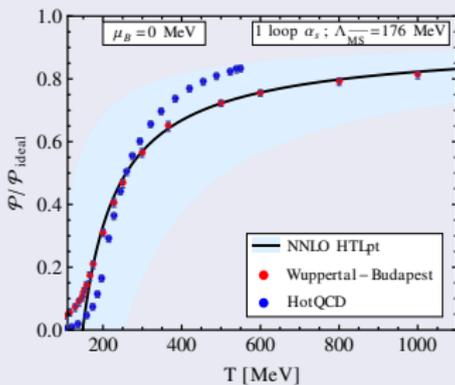


(N. Haque, *et al.*, e-Print: arXiv:1402.6907 )



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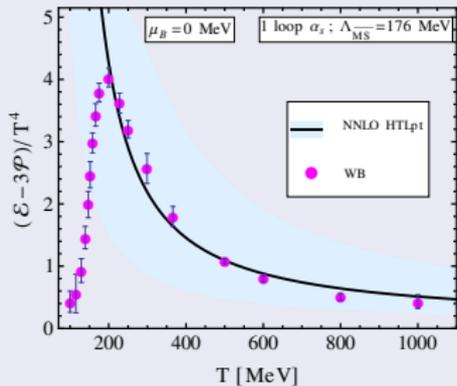
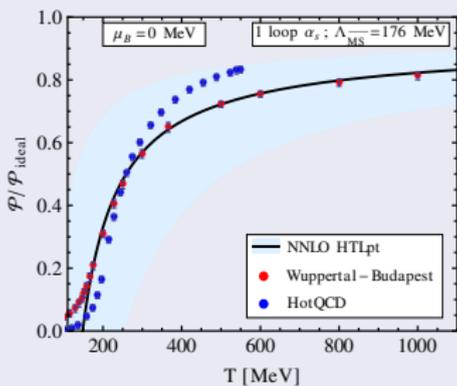
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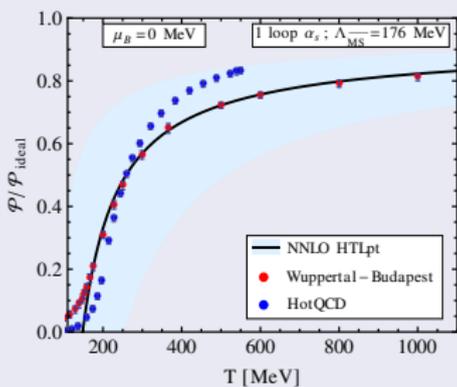
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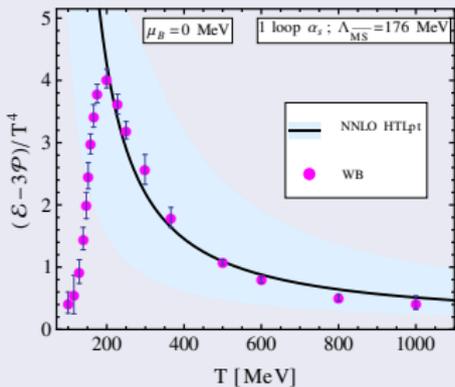
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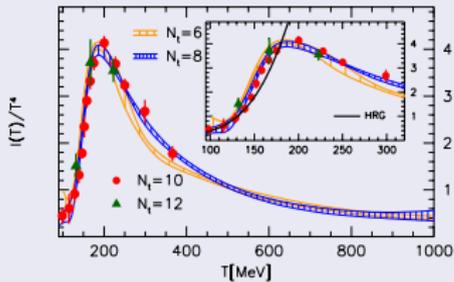
## Lesson

Correct description for temperatures  $T \gtrsim 2T_c \approx 300 \text{ MeV}$ .

# At low temperature: hadrons

**HRG**: free hadrons with fixed ( $T = 0$ ) masses from experiments

## Thermodynamics

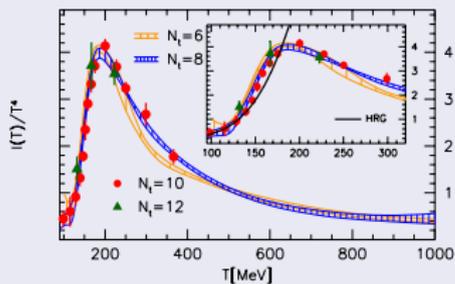


(Sz. Borsanyi, G. Endrodi, Z. Fodor, A.J., S. D. Katz)  
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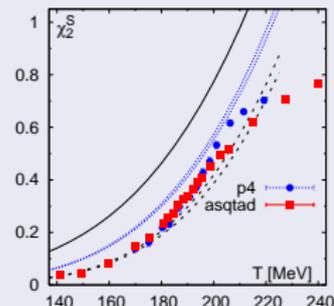
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## Chiral susceptibility

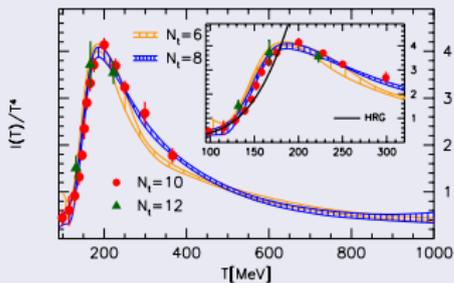


(P. Huovinen and P. Petreczky, Nucl. Phys. A **837**  
(26 (2010) [arXiv:0912.2541 [hep-ph]].)

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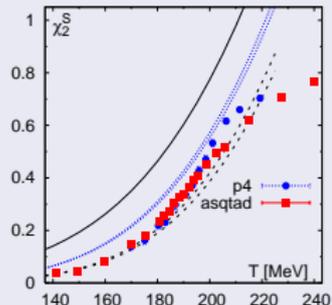
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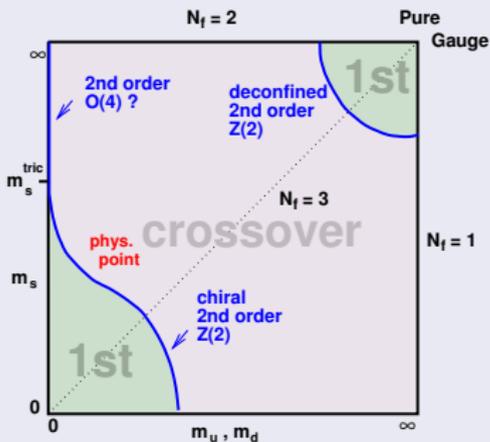
## Lesson

HRG describes thermodynamics at  $T < 150 - 180$  MeV

# Phase transition region

Temperature range of  $150 \text{ MeV} \lesssim T \lesssim 300 \text{ MeV}$ .

## Columbia plot



## Mechanisms of the PT

**deconfinement:** 1st order PT

- hadrons become unstable
- order parameter: Polyakov-loop
- valid at  $m_{u,d,s} \rightarrow \infty$  (quenched)

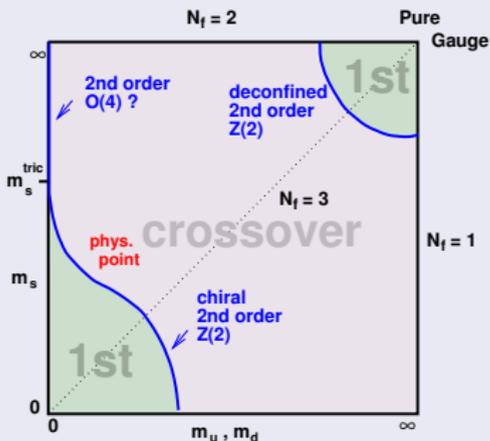
**chiral phase transition:** 1st order PT

- chiral condensate unstable
- order parameter:  $\langle \bar{\Psi}\Psi \rangle$
- valid at  $m_{u,d,s} \rightarrow 0$  (chiral case)

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**Physical point**

What happens in the crossover regime?

# What happens with the hadrons at $T_c$ ?

- HRG contains infinitely many dof  $\Rightarrow P_{SB} = \infty$   
singularity in  $P_{SB}$  at  $T_H$  Hagedorn temperature.

(R. Hagedorn, *Nuovo Cim. Suppl.* **3**, 147 (1965); W. Broniowski, *et.al.* *PRD* **70**, 117503 (2004))

$\Rightarrow$  we must get rid of the hadrons before  $T_H$ .

- no change of ground state (1st or 2nd order phase transition)  
 $\Rightarrow$  hadrons must not disappear at once

(J. Liao, E.V. Shuryak *PRD*73 (2006) 014509 [hep-ph/0510110])

- MC: hadronic states are observable even at  $T \sim 1.2-1.5T_c$ !

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## Proposal

$150 \text{ MeV} \lesssim T \lesssim 300 - 400 \text{ MeV}$  is the **melting hadron** phase (hadron fluid phase). Quarks appear gradually with the disappearance of the hadrons. ([ionization-recombination](#), [chemistry](#), [Gribov](#))

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# What is melting?

**Heuristically:** disappearance of a particle species



## Usual approaches in the literature

- fast growing (thermal) mass (J. Liao, E.V. Shuryak PRD73 (2006) 014509 )  
Would explain why we do not see quarks at low energy and hadrons at high energy  
⇒ in contradiction with lattice results
- FRG: all states are present, but with different wave fct.  
renormalization  
⇒ but  $Z$  drops out from pressure

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### Question

How can a particle state disappear?

# What is a particle? Free systems.

∃ conserved **particle number operator**:  $\hat{N} = \sum_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}}$ ,  $[\hat{H}, \hat{N}] = 0$

## definition

**particle**: energy (and momentum) eigenstate in  $N = 1$  sector.

Moreover:

- one particle **spectrum** contains a single line at  $E = E(\mathbf{p})$  (dispersion relation)
- **time evolution**  $|t, E, n\rangle = e^{-iEt} |0, E, n\rangle$  is unique from any initial condition
- in particular **linear response function**  $G_r$  has the same time dependence, also at  $T > 0$
- particles are also **thermodynamical degrees of freedom**, eg.

$$P_{SB} = \frac{\pi^2 T^4}{90} \left( N_b + \frac{7}{8} N_f \right) \text{ is the Stefan-Boltzmann limit.}$$

# Identifications

Since these are true in free particle case, we intuitively identify the following concepts:

- particle number operator
- spectral line (energy eigenstate)
- general time evolution
- linear response theory
- linear response theory at  $T > 0$
- statistical/thermodynamical definition

They all mean “particle”.

# Identifications

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## Warning

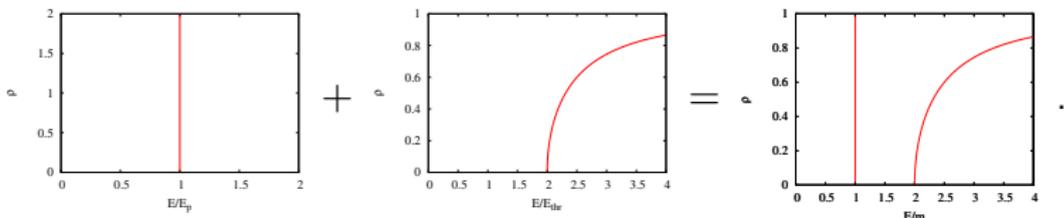
These all mean different things in an interacting theory!

...and we get mixed up, when these definitions are contradicting



# Spectrum at zero temperature

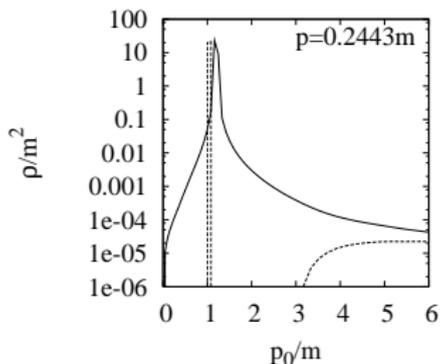
- Usually in interacting systems  $\bar{\Delta}$  enough conserved quantities to fully describe the system  
 $\Rightarrow$   $\bar{\Delta}$  particle definition through particle number  
 Exception: integrable systems
- energy levels of different  $N$  sectors mix together! At  $T = 0$



- multiple energy levels, non-unique time dependence
- BUT**  $\exists$  discrete E-level  
 $\Rightarrow$  linear response for long times:  $Ze^{-iEt} + Ct^{-3/2}e^{-iE_{thr}t}$   
 $\Rightarrow$  define particles as asymptotic particle states

# General case

no clear distinction between particle and continuum states, if



(AJ, PRD76 (2007) 125004 [hep-ph/0612268])

- zero mass excitation (no gap)
- unstable particles
- $T > 0$  environment

⇒  $\nexists$  asymptotic states  
(in practically all realistic cases...)

- linear response:  $\rho(t) = Ze^{-iEt-\gamma t} + f_{bckg}(t) = \text{pole} + \text{cut}$
- for large  $Z$  and small  $\gamma$ : complex pole dominates long time evolution ⇒ quasiparticles

# Quasiparticles and thermodynamics

- **In QM** quasiparticles give fundamental particle-like contribution to free energy (Beth, Uhlenbeck)

$$\delta Z \sim \int_0^\infty \frac{d\omega}{\pi} \frac{\partial \delta}{\partial \omega} e^{-\beta\omega} \sim e^{-\beta E}$$

since  $\delta_\ell(\varepsilon)$  phase shift jumps  $\pi$ -t at pole  $\omega = E$

(Landau, Lifshitz V.)

- true also for **bound states**
- **In QFT** this is true only for **well separated quasiparticle peaks**

(R.F Dashen, R. Rajaraman, PRD10 (1974), 694.)

- **In scattering theory**: quasiparticles are included in S-matrix as Breit-Wigner resonances with complex amplitudes  
unitarity  $\Rightarrow$  constraints

(H. Feshbach, Ann. Phys. 43, 110 (1967); L. Rosenfeld, Acta Phys. Polonica A38, 603 (1970); M. Svec, PRD64, 096003 (2001) [hep-ph/0009275].)

# The particle concept

concept of free particles can be saved as quasiparticles

- spectral definition  $\Rightarrow$  broadened spectral line
- linear response theory  $\Rightarrow$  unique long time dependence
- thermodynamical degree of freedom  $\Rightarrow$  for well separated case

# The particle concept

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- spectral definition  $\Rightarrow$  broadened spectral line
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- thermodynamical degree of freedom  $\Rightarrow$  for well separated case

there are important differences

- Quasiparticles are **not energy eigenstates!**
- collective excitations with environment dependent spectral weights  $\Rightarrow$  **mass, width environment dependent**  
 $\Rightarrow$  they may give not particle-like contribution to  $P$ .
- ...



# Condition for unitarity

Local Hamiltonian? Exponential damping  $\Rightarrow \hat{H} \rightarrow \hat{H} - i\gamma$   
 $\Rightarrow$  loss of unitarity!

## Solution

For consistent description one has to take into account the complete spectrum, not just the quasiparticle peak!

**Physics:** quasiparticle  $\not\propto$  independently of environment.

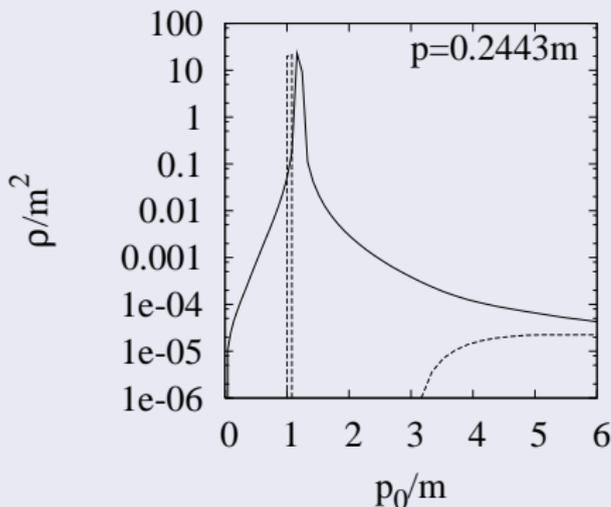
(Ward, Luttinger, Phys.Rev. 118 (1960) 1417; G. Baym, Phys. Rev. 127 (1962) 1391; Cornwall Jackiw, Tomboulis, Phys.Rev. D10 (1974) 2428-2445; J. Berges and J. Cox, Phys. Lett. B 517 (2001) 369)

From where can we take the spectrum?

- $\Phi$ -derivable (2PI) or SD approach:  $G^{-1} = G_0^{-1} - \Sigma(G)$ .
- We can also use **experimental inputs** for  $\varrho$ .

# Typical spectral functions

$\Phi^4$  model 2 loop 2PI,  $T = m$



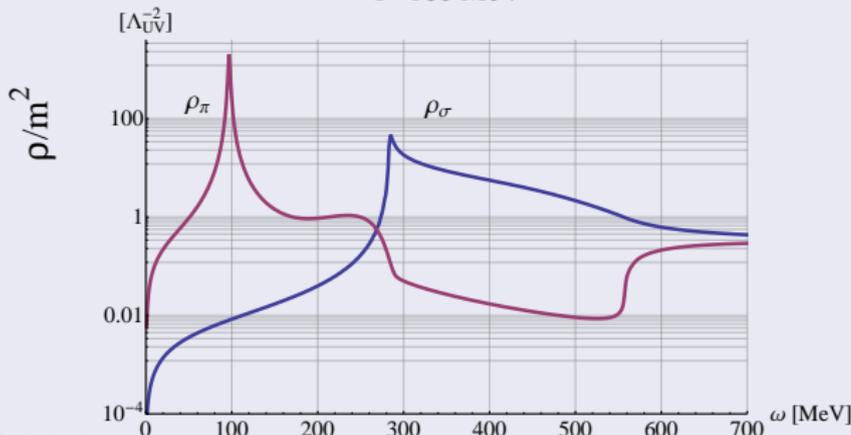
(AJ, PRD76 (2007) 125004 [hep-ph/0612268])

# Typical spectral functions

$\Phi^4$  model 2 loop 2PI,  $T = m$

meson model FRG

$T=100$  MeV



(AJ, PRE

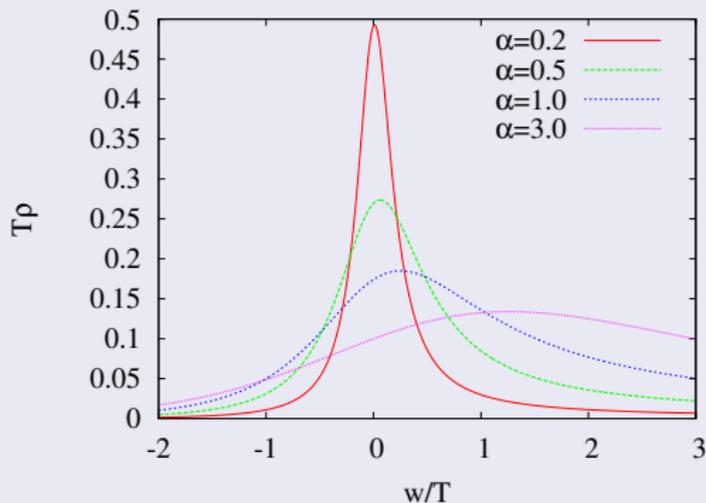
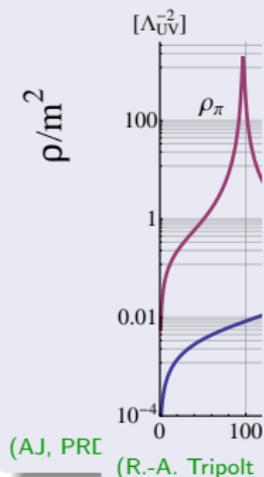
(R.-A. Tripolt *et.al.* PRD 89 (2014) 034010)

# Typical spectral functions

$\Phi^4$  model 2 loop 2PI,  $T = m$

meson model FDC

Bloch-Nordsieck model at finite  $T$

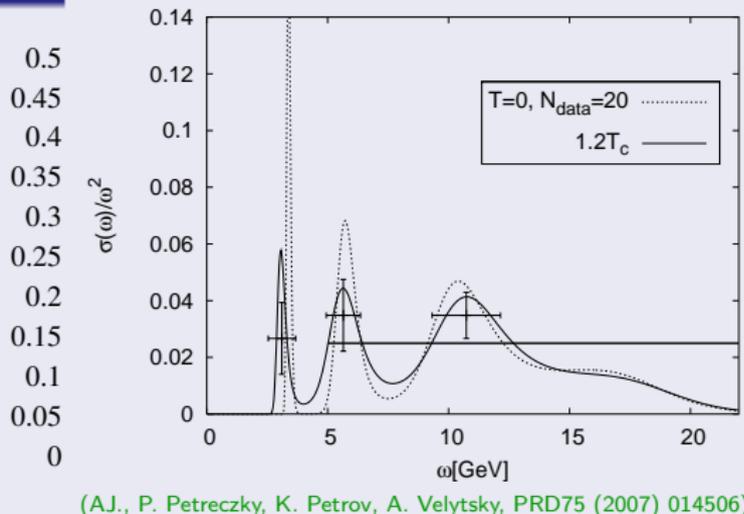
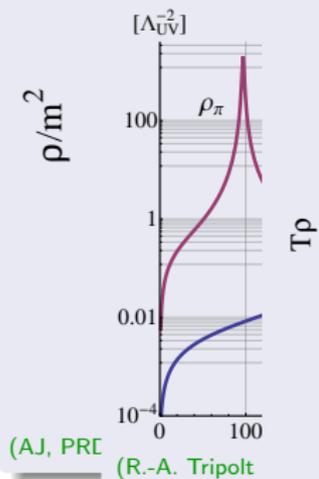


(A.J, P. Mati, PRD **87** (2013) 125007 [arXiv:1301.1803])

# Typical spectral functions

$\Phi^4$  model 2 loop 2PI,  $T = m$

meson mass  $m_\pi$  vs  $T$  Bloch-Neuberger  $J/\psi$  spectrum MC simulation, MEM



(A.J, P. Mati, PRD 87 (2013) 125007 [arXiv:1301.1803])

# Lagrangian representation of general spectral functions

$$\mathcal{L} = \frac{1}{2} \Phi^*(p) \mathcal{K}(p) \Phi(p)$$

- unique  $\varrho \rightarrow \mathcal{K}$  relation:

$$G_{ret}(p) = \int \frac{d\omega}{2\pi} \frac{\varrho(\omega, \mathbf{p})}{p_0 - \omega + i\epsilon}, \quad \mathcal{K} = \text{Re } G_R^{-1}$$

- defines a **consistent nonlocal field theory**:  
unitary, causal, Lorentz-invariant,  $E, \mathbf{p}$  conserving  
(just like in 2PI case)

(A.J. Phys.Rev. D86 (2012) 085007 [arXiv:1206.0865])

# Thermodynamics from the spectral function

## Technically:

- energy-momentum tensor from Noether currents
- energy density  $\varepsilon = \frac{1}{Z} \text{Tr} e^{-\beta \hat{H}} \hat{T}_{00}$
- averaging with KMS relations
- free energy, pressure from thermodynamical relations

## Result:

$$\varepsilon = \int \frac{d^4 p}{(2\pi)^4} E(p) n(p_0) \varrho(p), \quad E(p) = p_0 \frac{\partial \mathcal{K}}{\partial p_0} - \mathcal{K}$$

- plausible: sum up  $n(p)$  weighted energy values
- classical mechanical analogy:  $\mathcal{K}$  quadratic kernel  
"Lagrangian" with  $p_0 \sim \dot{q} \Rightarrow E(p)$  energy.
- but: energy values depend on  $\mathcal{K}$  and so on  $\varrho$   
 $\varepsilon$  is a **nonlinear functional** of  $\varrho$ !
- $\varepsilon$  does not depend on the normalization of  $\varrho$ .

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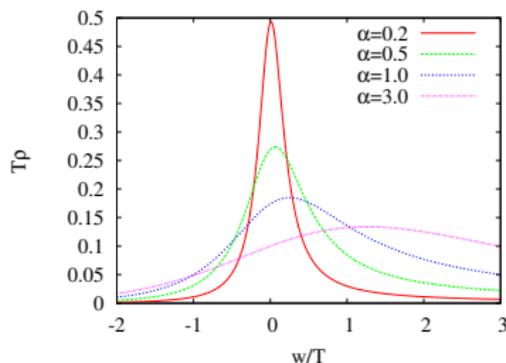
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# Spectral function in gauge theories

Gauge theories are complicated – **solvable simplification**:  
resummation of all photon contribution in 1-component QED  
(**Bloch-Nordsieck resummation**)

One can compute the spectral function at finite temperature. In  
comoving frame: (A.J. P. Mati, Phys.Rev. D87 (2013) 125007 [arXiv:1301.1803])

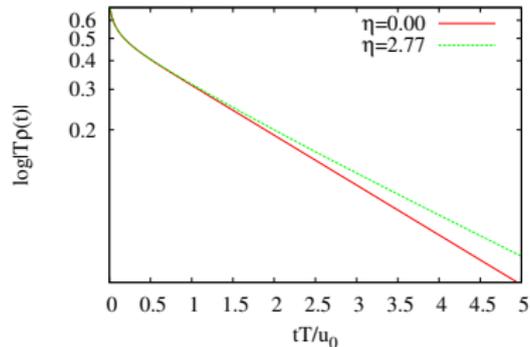
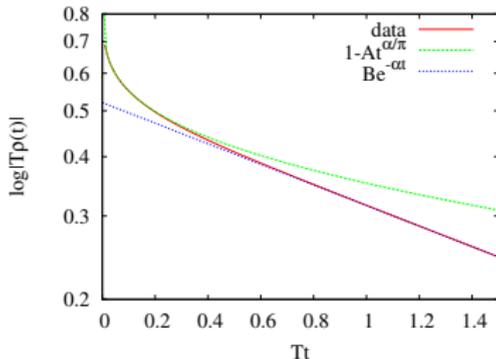
$$\rho(w) = \frac{N_\alpha \beta \sin \alpha e^{\beta w/2}}{\cosh \beta w - \cos \alpha} \left| \Gamma \left( 1 + \frac{\alpha}{2\pi} + i \frac{\beta w}{2\pi} \right) \right|^{-2},$$



- $\alpha = e^2/(4\pi)$  structure constant
- function of  $w = p_0 - m$
- Near the peak: Lorentzian with width  $\gamma = \alpha T$
- $p_0 \gg m$  power law:  $\sim p_0^{-1-\alpha/\pi}$
- $p_0 \ll m$  exponential:  $\sim e^{2\beta w}$

# Real time dependence

Fourier transform of the result:  $\varrho(t) = e^{-imt} \bar{\varrho}(t)$

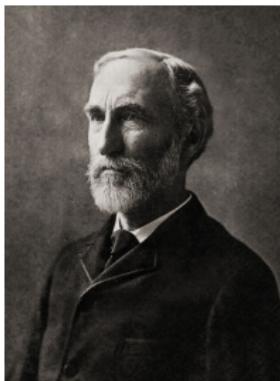


- for long times  $Tt \gg 1$ :  $\sim e^{-\alpha_{\text{eff}}(u)Tt}$  quasiparticle behaviour
- for short times  $Tt \ll 1$ :  $\sim 1 - c(Tt)^{\alpha/\pi}$  not quasiparticle-like!
- **formation time** of the quasiparticle:  $t \sim \beta!$
- at  $T \rightarrow 0$   $\varrho(t) \rightarrow e^{-imt}$ , but we have to wait long to see the QP behaviour.

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# The Gibbs paradox



J.W. Gibbs (1839-1903)

(J.W. Gibbs, 1875-1878; E.T. Jaynes, 1996)

take two containers with (ideal) gases:

initially  $n_1, V_1, n_2, V_2, p_1 = p_2, T_1 = T_2$

mix them:  $V = V_1 + V_2, n = n_1 + n_2$

entropy difference ( $f = n_1/n_2$ )

$$\Delta S = nR \log V - R(n_1 \log V_1 - n_2 \log V_2)$$

$$= -nR(f \log f + (1 - f) \log(1 - f))$$

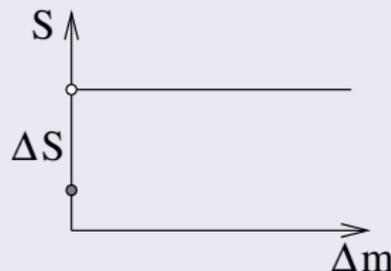
$$\Rightarrow -nR \log 2, \text{ for } n_1 = n_2, V_1 = V_2.$$

Independent of the gas properties,  
provided they are **different**

e.g. let the two gases have the  
same quantum numbers, but different  
masses

$\Rightarrow$  discontinuity at  $\Delta m = 0$

discontinuous entropy



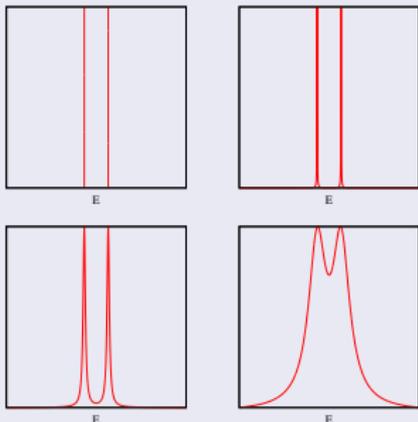
# Understanding Gibbs paradox

- indistinguishability from Fock-space construction:  
 $|0\rangle$  vacuum,  $a_{\mathbf{p}}^{\dagger}$   $p$ -momentum particle creation operator  
 $\Rightarrow |\mathbf{p}_1, n_1, \dots, \mathbf{p}_i, n_i, \dots\rangle = a_{\mathbf{p}_1}^{\dagger n_1} \dots a_{\mathbf{p}_i}^{\dagger n_i} \dots |0\rangle$   
 multiparticle state  $\Rightarrow$  single state, permutation  $\pm$  sign
- several gases:  $a_{\mathbf{p}}^{(1)\dagger}, a_{\mathbf{p}}^{(2)\dagger}, \dots$  we assign new creation operators for all species  
**we have to fix the number of species in advance!**
- But in Gibbs paradox  $\Delta m$  is the control parameter  $\Rightarrow$  we should be able to compute  $S(\Delta m)$
- To describe Gibbs paradox number of particle species must be a dynamical parameter**  
 (integer number?)

# Gibbs paradox in interacting systems

Without interaction the energy levels (spectral lines) are infinitely thin lines. In interacting gases the spectral lines broaden.

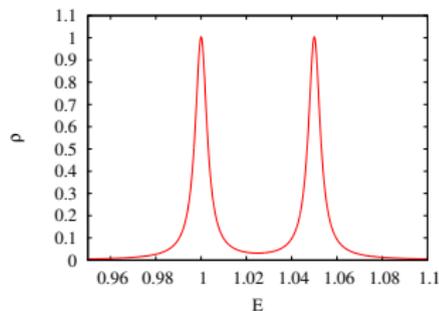
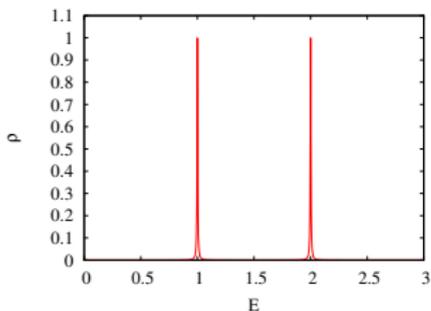
## spectrum in interacting gases



- 1st plot: 2 lines  
4th plot: one broad peak
- Gibbs: particles are distinguishable, if a mixed gas can be separated by some means. Going from case 1 to 4 this is harder and harder!
- $\Gamma$  width sets resolution  $\Rightarrow$  in case  $\Gamma \gtrsim \Delta m$  we do not see separate peaks!
- real question is quantitative: how does it appear in thermodynamics?

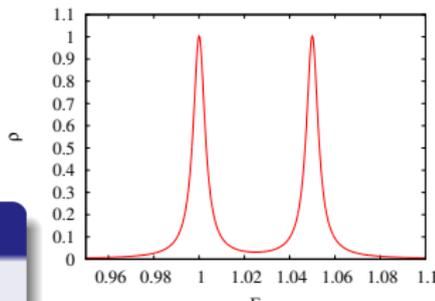
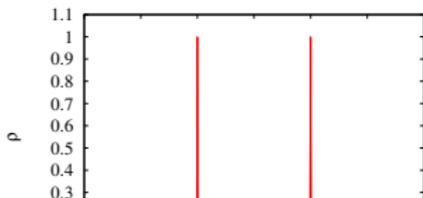
# Thermodynamics

Change of spectrum:

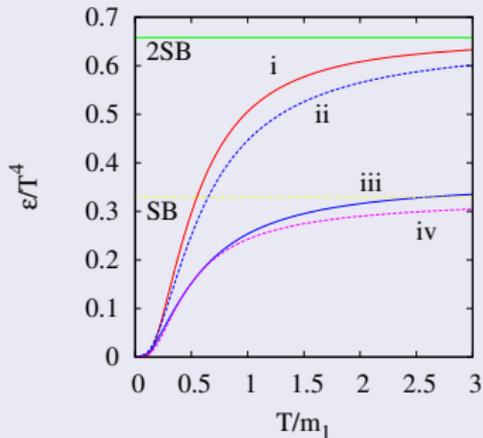


# Thermodynamics

Change of spectrum:



energy density



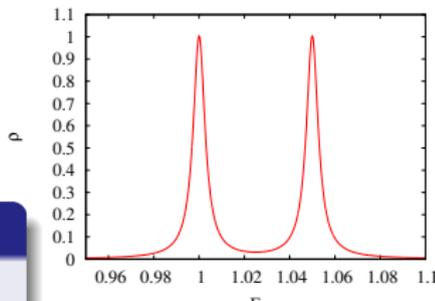
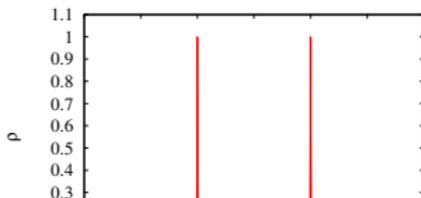
Curves

$m_1 = 1, m_2 = 2, \Gamma = 0$  or  $0.2$

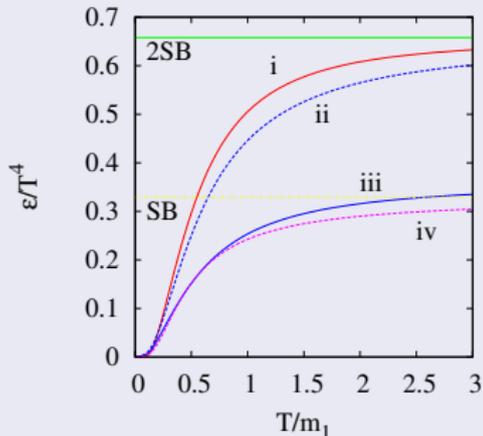
- i.  $\Gamma = 0$
- ii. independent, finite  $\Gamma$
- iii.  $\Gamma/\Delta m = 0.2$
- iv. **one** free particle

# Thermodynamics

Change of spectrum:



energy density



Curves

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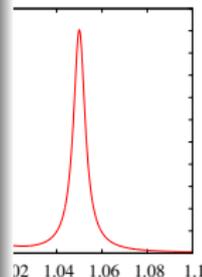
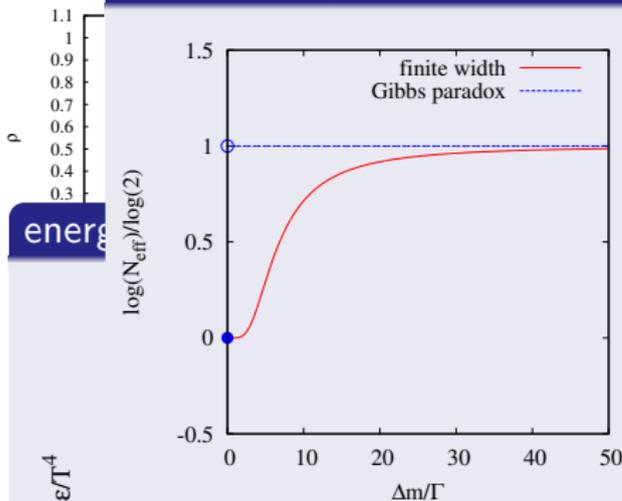
- i.  $\Gamma = 0$
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degrees of freedom disappear  
continuously!



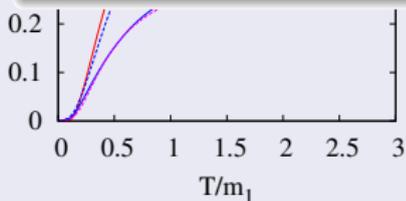
# Thermodynamics

Characterization of the number of species



energy

$\epsilon T^4$



$\Gamma = 2, \Gamma = 0$  or  $0.2$   
 $\Gamma = 0$

independent, finite  $\Gamma$

- iii.  $\Gamma/\Delta m = 0.2$
- iv. **one** free particle

**degrees of freedom disappear continuously!**

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# Temperature dependence of a typical spectral function

Spectrum in QFT: QP peak(s) and multiparticle continuum.

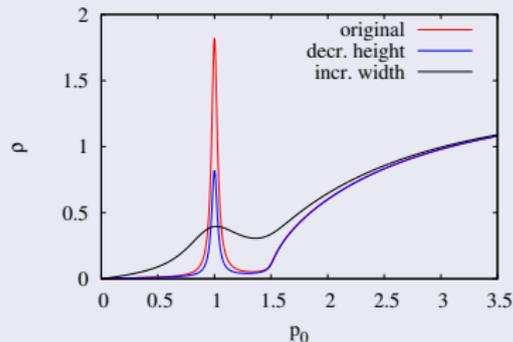
At finite  $T$

- **continuum height increases**, and so
  - QP width grows
  - quasiparticle peaks merge into the continuum
  - relative height of quasiparticle peak decreases (sum rule)
- Lorentz-invariance is broken
- thermal mass
- $T$ -dependent couplings

**Strategy:**

compute thermodynamics for generic  $\rho_Q(p_0, |\mathbf{p}|; T, \mu)$ .

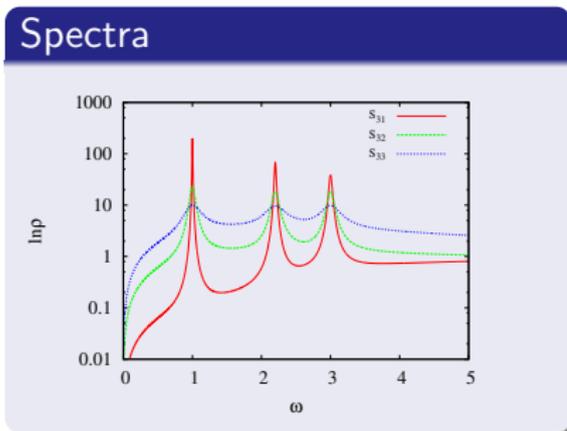
$T$ -variation of a spectrum



# Increasing continuum, fixed mass

Trial spectral functions with 3 QP peaks and continuum

⇒ **typical for bound states**

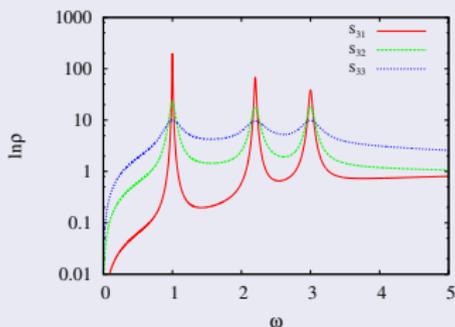


# Increasing continuum, fixed mass

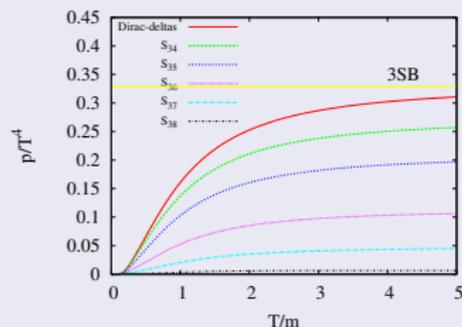
Trial spectral functions with 3 QP peaks and continuum

⇒ **typical for bound states**

## Spectra



## thermodynamics

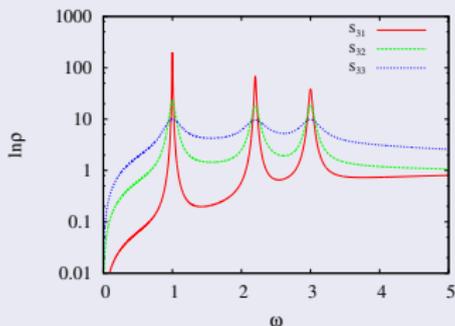


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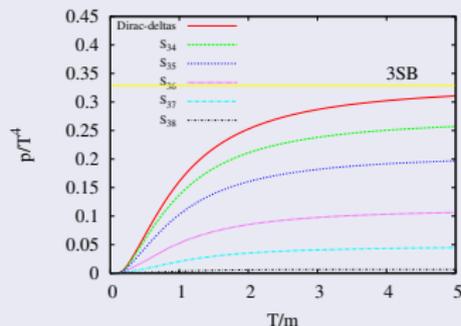
Trial spectral functions with 3 QP peaks and continuum

⇒ typical for bound states

## Spectra



## thermodynamics



## General behaviour

Pressure decreases for increasing continuum height; for pure continuum the pressure is very small!

# Effective number of degrees of freedom

**Characterization:** pressure is roughly proportional to the free gas pressure

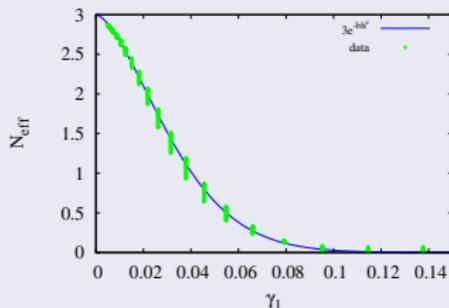
$$\Rightarrow N_{\text{eff}}(T) = \frac{P(T)}{P_0(T)} \text{ is appr. } T\text{-independent}$$

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**Characterization:** pressure is roughly proportional to the free gas pressure

$$\Rightarrow N_{\text{eff}}(T) = \frac{P(T)}{P_0(T)} \text{ is appr. } T\text{-independent}$$

effective ndof



- $T$ -variation: green band
- fit a stretched exponential

$$e^{-(\gamma/\gamma_0)^c}$$

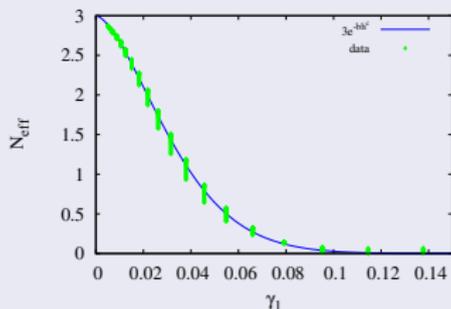
where  $\gamma_0 = 0.38$ ,  $c = 1.6$ .

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**Characterization:** pressure is roughly proportional to the free gas pressure

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effective ndof



- $T$ -variation: green band
- fit a stretched exponential

$$e^{-(\gamma/\gamma_0)^c}$$

where  $\gamma_0 = 0.38$ ,  $c = 1.6$ .

Physics

We describe vanishing particle species!  $\Rightarrow$  melting

# Momentum dependence of the spectral function

Extreme case of spatial momentum dependence:

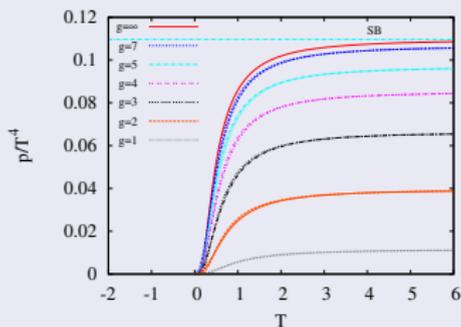
- for small momenta: Dirac-delta (free particle)
- for large momenta: very broad spectral function

Model

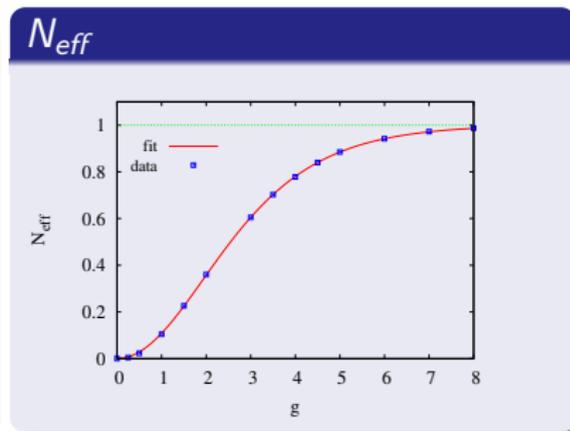
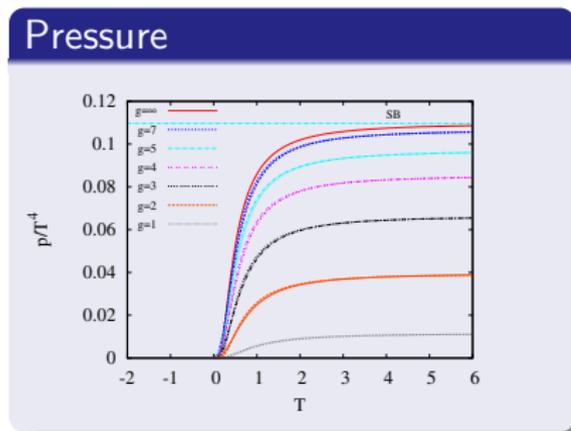
- simplified model for hadrons
- for quarks (asymptotic freedom) we expect inverse behaviour
- broad spectral function gives no contribution to  $P$   
⇒ effective cutoff of spatial integration
- for simplicity we choose  $\Lambda_{eff} = gT$   
( $g$  can be  $T$ -dependent)

# Effective number of dof

## Pressure



# Effective number of dof



fit function: 
$$\frac{1}{1 + x^{-2} e^{-(bx)^2}} \quad (a = 1.79, \quad b = 0.58)$$

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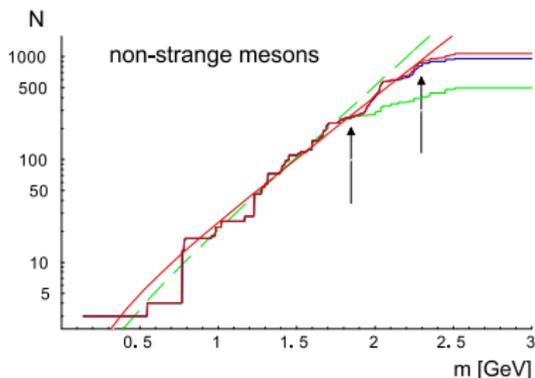
# Statistical description

- HRG: huge # of hadronic contributions, each small!
  - ⇒ **statistical description is needed**
- we need spectra... hard to obtain
  - ⇒ **idealized, simplified picture for hadron masses and widths.**

# Hadron masses: Coulomb spectrum of QCD

QCD bound state dynamics cannot be solved...

**experimental evidence:** exponentially rising energy level density



statistical description:

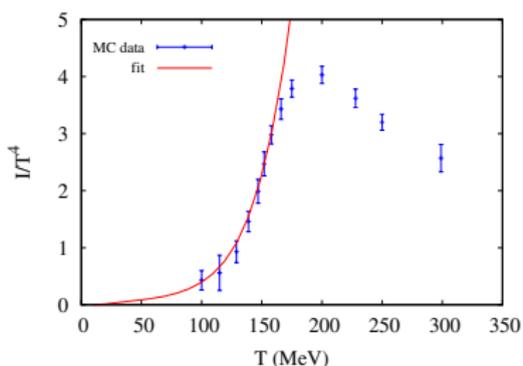
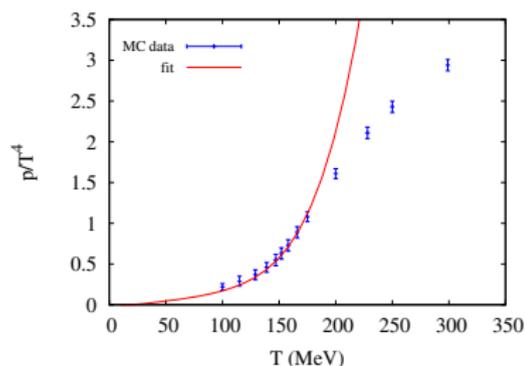
$$\rho_{\text{hadr}}(m) \sim (m^2 + m_0^2)^a e^{-m/T_H}$$

**Hagedorn spectrum**

several fits (also  $a = 0$ ) possible

(W. Broniowski, W. Florkowski and L. Y. Glozman,  
Phys. Rev. D **70**, 117503 (2004) [hep-ph/0407290].)

# Thermodynamics with free hadrons



- MC data from BMW collaboration

(Sz. Borsanyi et al, JHEP 1011 (2010) 077)

- Hagedorn fit: 5000 hadronic resonances,

$m_1 = 120$  MeV,  $T_H = 240$  MeV,  $a = 0$

- for infinitely many resonances: divergent at  $T > T_H$

- overestimates pressure above  $\approx 200$  MeV.

# Melting: number of hadronic/partonic excitations

## Pressure

$$P_{hadr}(T) = e^{-G_{eff}^{(hadr)}} \sum_{n \in \text{hadrons}}^N P_0(T, m_n), \quad G_{eff}^{(hadr)} = aT^b,$$

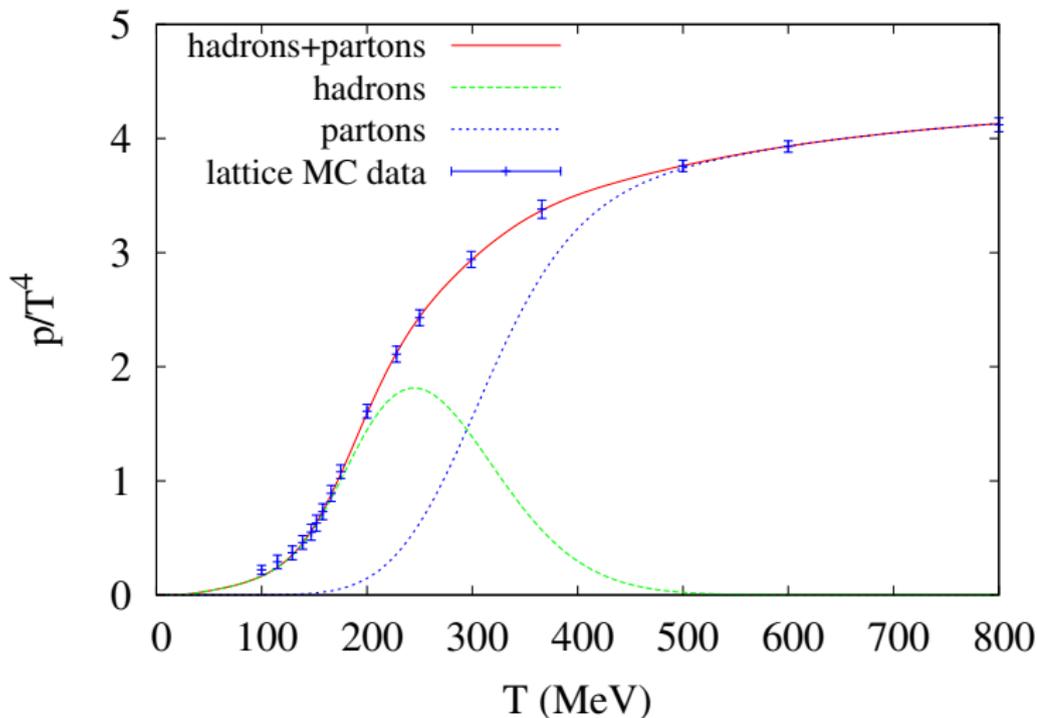
$$P_{QGP}(T) = e^{-G_{eff}^{(part)}} \sum_{n \in \text{partons}} P_0(T, m_n), \quad G_{eff}^{(part)} = G_0 + ce^{-dG_{eff}^{(hadr)}}.$$

## We use oversimplified description

- continuum height increases with # of decay channels  
⇒ effective cut-off in hadron mass  
(J. Cleymans, D. Worku, Mod. Phys. Lett. A 26, 1197 (2011).)
- assumed same width, height for all hadronic/partonic channels
- most simple choice for hadronic  $G_{eff} \sim \gamma^b$  (stretched exponential) and  $\gamma \sim T$
- for partons: take into account the number of hadronic modes  
**correlated parton-hadron description**

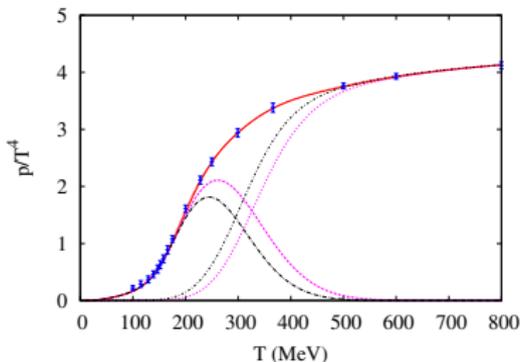
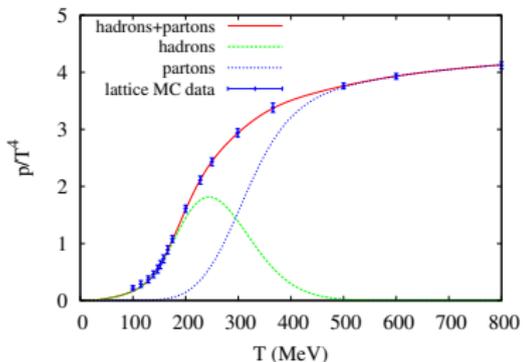
# Full QCD pressure

Fit the model parameters to MC data  $\Rightarrow$  good agreement



# Full QCD pressure

## Different fits

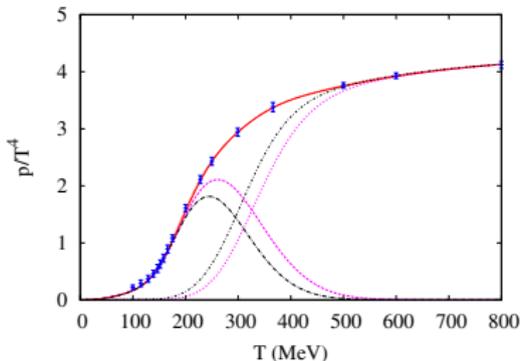
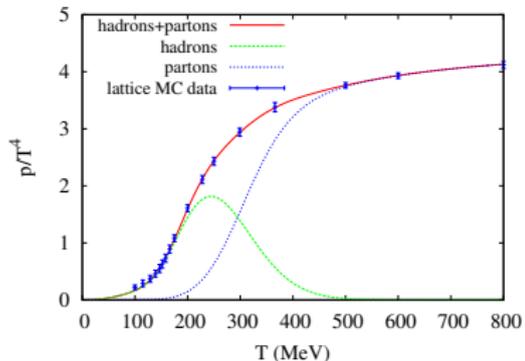


## properties

- $T \lesssim T_c$ : HRG fully describes thermodynamics
- $[T_c, 2T_c]$ ,  $[2T_c, 3T_c]$ : hadron or parton dominated QCD thermodynamics; **both dof are present**
- $T \gtrsim 3T_c$ : QGP

# Full QCD pressure

## Different fits



## Corollary

- $T_c$  is not a hadron – QGP transition temperature: partons just start to appear there
- full QGP only for  $T \gtrsim 2.5 - 3T_c$ :  $\bar{\Lambda}$  mechanism which could do it faster

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# Conclusions

- excitations can be characterized by their **spectra**
- **not necessarily particle-like:**
  - non-exponential time dependence
  - Gibbs paradox, melting: (continuous) disappearance of species
- **QCD thermodynamics** at physical point at  $\mu = 0$ 
  - at  $T_c \approx 156$  MeV partons start to appear
  - $T \lesssim T_c$ : hadrons
  - $T \in [T_c, 3T_c]$ : mixed phase
  - $T \gtrsim 3T_c$ : QGP
- hadron physics + melting + QGP  
⇒ **perturbative QCD thermodynamics?**