

Weak Bisimulations for a Calculus of Broadcasting Systems

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Abstract

A theory of weak bisimulation equivalence is developed for the broadcast calculus *CBS*. The exact notion of bisimulation we study is justified by a characterisation in terms of a version of barbed bisimulations. We then give two syntactic characterisations of the associated congruence over finite expressions. The first is in terms of a set of equations together with an infinitary proof rule to accommodate input prefixes. The second uses a finitary proof system where the judgements are relative to properties of the data domain.

1 Introduction

The broadcast calculus, CBS, is a value-passing process calculus where process intercommunication is achieved by the broadcasting of values. The main operators of the language are

- $x?T$ - receive a value v and proceed as $T[v/x]$
- $e!T$ - broadcast the value of the expression e and proceed as T
- $T \mid U$ - run processes T and U in parallel.

The calculus has been developed in series of papers [7, 8] and a subset has been implemented as an extension to Lazy ML, [9].

Here we are concerned with the development of a sound semantic theory for the Broadcast Calculus, in particular the provision of an equational theory and proof system for establishing of process identities. Part of the problem is to decide on an appropriate notion of semantic equivalence for such languages. Although a number have been suggested in the literature the decisions might be considered ad hoc. One difference between a broadcast calculus and a more standard process calculus such as CCS, [5], is that there is no natural notion of an internal action, often referred to as τ moves. However in the operational semantics of CBS there is an action which corresponds to the production of noise, $\tau!$. In [4] we addressed the question of finding a reasonable semantic equivalence, under the assumption that this noise, $\tau!$ was treated no differently than the production of any other value. Using a reasonable criteria, based on barbed bisimulation, [10], a new so-called strong semantic equivalence, called *noisy bisimulation* was isolated and studied. In particular we gave two proof theoretic characterisations over finite processes. The first concentrates on closed processes, i.e. processes with no free occurrences of value-variables; this is very similar to which determines an equational characterisation except that an infinitary rule is required in order to deduce equivalences of the form $x?T = x?U$. The second characterisation is finitary, relative to an adequate proof system for expressions over the data domain. Here judgements are of the form

$$b \triangleright T = U$$

meaning in all evaluations which satisfy the boolean constraint b the evaluation of T is semantically equivalent to that of U . Here the proof rules depend on deductions which can be made in an independent proof system for the data domain.

Here we carry out a similar programme of research, but now under the assumption that the moves $\tau!$ are internal and therefore invisible; in the terms of [5] we develop a *weak* semantic theory for CBS. Once more we justify our choice of equivalence using a *weak* version of barbed bisimulation and in this case it coincides with a semantic equivalence previously suggested in [8]. We then go on as in [4] and give two different proof theoretic characterisations of the associated congruence over finite processes; one over closed terms which requires an infinitary rule and one over open terms which is relative to an independent proof system for the data domain.

We now outline the remainder of the paper. In section 2 we give the syntax of the particular version of CBS we study. It is similar to that of [8] except that the input prefix $x?T$ is replaced by the input guard $x \in S?T$ where S can be any subset of values. We then give an operational semantics in terms of a labelled transition systems and define *weak barbed bisimulation equivalence*. Finally we characterise the largest CBS congruence contained in this equivalence using the version of weak bisimulation equivalence considered in [8].

In Section 3 we give the infinitary equational characterisation of this congruence over closed finite expressions. We use the equations from [4] which characterise noisy bisimulation equivalence but in addition new equations are required in order to capture the fact that $\tau!$ moves are internal. Unfortunately two of the τ -laws of [5] are unsound in the context of CBS; they are replaced with weaker variants.

In the final section, Section 4, we give the sound and complete finitary proof system, relative to an adequate theory of the data domain. Here we follow closely the approach of [3], and although the technical details are somewhat complicated, we simply adapt the techniques of [4] to take into account the internal moves $\tau!$.

2 Syntax and semantics

The language we will use is that of [4] based on CBS+ [8]. It is a CCS variant in which the traditional handshaking form of communication is replaced by the broadcasting of values. A BNF grammar describing the syntax of the language is

$$T ::= \mathbf{0} \mid e!T \mid x \in S?T \mid b \gg T \mid \sum_{i \in I} T_i \mid T|T \mid T_{(f,g)} \mid A(\bar{e}).$$

where $e \in ValExp$, a set of data expressions, $x \in Var$, a set of variables, $b \in BoolExp$, a set of boolean expressions and S ranges over subsets of a predefined set of values Val . We do not give a specific syntax for these expressions but we will assume that $ValExp$ contains at least the set of values $Val \cup \{\tau\}$ (where τ is a distinguished value not appearing in Val) and that $BoolExp$ contains the expressions $e = e'$ and $x \in S$ for every $e, e' \in ValExp, x \in Var$ and $S \subseteq Val$. In addition we assume that *evaluations*, functions ρ from Var to Val , can be lifted to $VarExp$ and $BoolExp$ in a straightforward manner. We denote the value of a closed (having no occurrences of variables) expression by $\llbracket e \rrbracket$; this value is of course independent of ρ . We have substitutions in data and boolean expressions written as $e[e'/x], b[e'/x]$ where the data expression e' is to be substituted for all occurrences of x in e, b respectively. Substitution is extended to terms in the obvious way except that only free occurrences of variables are substituted; the input prefix $x \in S?T$ binds the variable x in T . This gives rise to the familiar notions of free variables of a term, $fv(T)$, and α -equivalence between terms. We call a term P a *process* or *agent* if P contains no free variables. Certain metavariables will be used, consistent with those of

[4]. P, Q, \dots will denote closed processes whereas T, U, \dots will denote arbitrary terms of the language, v ranges over values in Val and w over values in $Val \cup \{\tau\}$.

The process $e!P$ is the process which broadcasts the value of the expression e and then continues to behave like P . Input prefixing is decorated with a set $S \subseteq Val$ which is called the *input guard*. The process $x \in S?T$ may only receive values present in S ; upon receipt of such a value v it continues to behave like $T[v/x]$. The boolean guard $b \gg T$ is a data testing operator where b acts like a *boolean guard* to T . In $T_{(f,g)}$ f and g are functions from $Val \cup \{\tau\}$ to $Val \cup \{\tau\}$ such that $f(\tau) = g(\tau) = \tau$ and are used to localise and rename messages. Communication is achieved via the multiway parallel operator $|$. Process constants $A(\tilde{e})$ are used to define recursive processes and we assume throughout the report that with each constant name, A , we have an associated definition

$$A(\tilde{e}) \stackrel{def}{=} T_A.$$

The operational semantics for closed terms of the language is presented in Figure 1, where symmetric rules for the choice operator $+$ have been omitted. It is exactly the operational semantics used in [4] which in turn is based on that of [8]; in terms of [6] it is an early operational semantics. The use of the discard transition, written $T \xrightarrow{w!} T$, may be unfamiliar to the reader. This is essentially a negation of the transition $T \xrightarrow{w?} T'$ for some T' (see Lemma 2.1 below) and is used to facilitate the presentation of the semantics for the parallel operator.

The following lemma is imported from [4]. It states a few simple facts about the operational semantics which are used extensively in the subsequent proofs.

Lemma 2.1 *For every agent P*

- i if $P \xrightarrow{w!} Q$ then Q is P .
- ii $P \xrightarrow{v?} Q$ if and only if there does not exist a Q such that $P \xrightarrow{v?} Q$.
- iii $P \xrightarrow{\tau!} P$. □

Weak moves, traditionally denoted by the double arrow, can now be defined as the least relations between closed terms that satisfy the following:

- $P \xRightarrow{\varepsilon} P$
- $P \xrightarrow{\alpha} Q$ implies $P \xRightarrow{\alpha} Q$
- $P \xrightarrow{\tau!} \xRightarrow{\alpha} Q$ implies $P \xRightarrow{\alpha} Q$
- $P \xRightarrow{\alpha} \xrightarrow{\tau!} Q$ implies $P \xRightarrow{\alpha} Q$

where $\alpha \in \{w!, v?, w : \}$. We will occasionally use the notation $P \xRightarrow{\tau!\alpha} Q$ to mean $P \xRightarrow{\tau!} \xRightarrow{\alpha} Q$, and we will define $\hat{\alpha}$ to be ε when $\alpha = \tau!$ and α otherwise.

We turn now to the definition of a *weak* semantic equivalence, which abstracts away from the occurrence of $\tau!$ actions. As in [4] we use the technique of barbed bisimulations [10] to provide us with an appropriate notion of weak bisimulation. In [4] the method provided a novel version of strong bisimulation called noisy bisimulation and it transpires that the congruence associated with weak barbed bisimulation will be characterised by the corresponding weak version of noisy bisimulation.

For any value v let $P \downarrow v$ mean that there exists a P' such that $P \xrightarrow{v!} P'$.

Discard	Input	Output																
$\mathbf{0} \xrightarrow{w;} \mathbf{0}$																		
$\frac{w \notin S}{x \in S?T \xrightarrow{w;} x \in S?T}$	$\frac{v \in S}{x \in S?T \xrightarrow{v?} T[v/x]}$																	
$e!P \xrightarrow{w;} e!P$		$\frac{\llbracket e \rrbracket = w}{e!P \xrightarrow{w!} P}$																
$\frac{\forall i \in I \cdot P_i \xrightarrow{w;} P_i}{\sum_I P_i \xrightarrow{w;} \sum_I P_i}$	$\frac{\exists i \in I \cdot P_i \xrightarrow{v?} P'}{\sum_I P_i \xrightarrow{v?} P'}$	$\frac{\exists i \in I \cdot P_i \xrightarrow{w!} P'}{\sum_I P_i \xrightarrow{w!} P'}$																
$\frac{\llbracket b \rrbracket = \mathbf{false}}{b \gg P \xrightarrow{w;} b \gg P}$																		
$\frac{P \xrightarrow{w;} P}{b \gg P \xrightarrow{w;} b \gg P}$	$\frac{P \xrightarrow{v?} P' \quad \llbracket b \rrbracket = \mathbf{true}}{b \gg P \xrightarrow{v?} P'}$	$\frac{P \xrightarrow{w!} P' \quad \llbracket b \rrbracket = \mathbf{true}}{b \gg P \xrightarrow{w!} P'}$																
$\frac{T_A[\tilde{e}/\tilde{x}] \xrightarrow{w;}}{A(\tilde{e}) \xrightarrow{w;}}$	$\frac{T_A[\tilde{e}/\tilde{x}] \xrightarrow{v?} P'}{A(\tilde{e}) \xrightarrow{v?} P'}$	$\frac{T_A[\tilde{e}/\tilde{x}] \xrightarrow{w!} P'}{A(\tilde{e}) \xrightarrow{w!} P'}$																
$\frac{P \xrightarrow{gw;} P'}{P_{(f,g)} \xrightarrow{w;} P'_{(f,g)}}$	$\frac{P \xrightarrow{gv?} P'}{P_{(f,g)} \xrightarrow{v?} P'_{(f,g)}}$	$\frac{P \xrightarrow{w!} P'}{P_{(f,g)} \xrightarrow{fw!} P'_{(f,g)}}$																
$\frac{P \xrightarrow{\alpha} P' \quad Q \xrightarrow{\beta} Q'}{P Q \xrightarrow{\alpha \bullet \beta} P' Q'} \quad \alpha \bullet \beta \neq \perp$ <table border="1" style="display: inline-table; margin-left: 20px; border-collapse: collapse; text-align: center;"> <tr> <td style="padding: 2px;">•</td> <td style="padding: 2px;">$w!$</td> <td style="padding: 2px;">$w?$</td> <td style="padding: 2px;">$w :$</td> </tr> <tr> <td style="padding: 2px;">$w!$</td> <td style="padding: 2px;">\perp</td> <td style="padding: 2px;">$w!$</td> <td style="padding: 2px;">$w!$</td> </tr> <tr> <td style="padding: 2px;">$w?$</td> <td style="padding: 2px;">$w!$</td> <td style="padding: 2px;">$w?$</td> <td style="padding: 2px;">$w?$</td> </tr> <tr> <td style="padding: 2px;">$w :$</td> <td style="padding: 2px;">$w!$</td> <td style="padding: 2px;">$w?$</td> <td style="padding: 2px;">$w :$</td> </tr> </table>			•	$w!$	$w?$	$w :$	$w!$	\perp	$w!$	$w!$	$w?$	$w!$	$w?$	$w?$	$w :$	$w!$	$w?$	$w :$
•	$w!$	$w?$	$w :$															
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Figure 1: Operational semantics for closed agents.

Definition 2.2 A symmetric relation \mathcal{R} between agents is called a weak barbed bisimulation if $(P, Q) \in \mathcal{R}$ implies

- if $P \xrightarrow{\tau!} P'$ then $\exists Q' \cdot Q \xrightarrow{\varepsilon} Q'$ and $(P'.Q') \in \mathcal{R}$
- for each $v \in \text{Val}$, if $P \downarrow v$ then $\exists Q' \cdot Q \xrightarrow{\varepsilon} Q'$ with $Q \downarrow v$.

We write $P \approx_{\text{barb}} Q$ if there exists a weak barbed bisimulation containing (P, Q) .

It is easy to see that \approx_{barb} is preserved by few of the operators of CBS so we focus on the congruence generated by this relation.

Definition 2.3 For agents P and Q let $P \cong_{barb} Q$ if $C[P] \approx_{barb} C[Q]$ for every CBS context $C[-]$.

We aim to characterise this relation as a weakened version of noisy bisimulation [4]. In order to present noisy bisimulation we introduced a new relation

$$P \xrightarrow{v??} Q \text{ iff } P \xrightarrow{v?} Q \text{ or } P \xrightarrow{v!} Q.$$

Intuitively $P \xrightarrow{v??} Q$ means that as a result of the transmission of the value v by some other process P is transformed into Q ; externally one can not discern whether P actually received the value or ignored it.

Definition 2.4 A symmetric relation \mathcal{R} is called a weak bisimulation if $(P, Q) \in \mathcal{R}$ implies

- If $P \xrightarrow{w!} P'$ then $\exists Q' \cdot Q \xrightarrow{\hat{w}!} Q'$ and $(P', Q') \in \mathcal{R}$.
- If $P \xrightarrow{v??} P'$ then $\exists Q' \cdot Q \xrightarrow{v??} Q'$ and $(P', Q') \in \mathcal{R}$.

We write $P \approx Q$ if there exists a weak bisimulation \mathcal{R} such that $(P, Q) \in \mathcal{R}$.

This is the definition of weak bisimulation proposed in [8], and here we justify the choice using \cong_{barb} .

In Proposition 2.3 of [4] we proved that discard need not be taken into account when defining noisy bisimulation. Unfortunately the same is not true for weak bisimulation in CBS. To illustrate this suppose that \approx' is the largest of the symmetric relations \mathcal{R} such that $(P, Q) \in \mathcal{R}$ implies

- If $P \xrightarrow{w!} P'$ then $\exists Q' \cdot Q \xrightarrow{\hat{w}!} Q'$ and $(P', Q') \in \mathcal{R}$.
- If $P \xrightarrow{v?} P'$ then $\exists Q' \cdot Q \xrightarrow{v??} Q'$ and $(P', Q') \in \mathcal{R}$.

Then it is easy to see that $\tau!P \approx' P$ for any agent P although $\tau!P$ is not in general weakly bisimilar to P . A counter-example to illustrate this is

$$\tau!x \in Val?x!\mathbf{O} \not\approx x \in Val?x!\mathbf{O}.$$

This is because the agent $\tau!x?x!\mathbf{O} \xrightarrow{v!} \tau!x?x!\mathbf{O}$ for any value $v \in Val$. In order to match this move the agent $x \in Val?x!\mathbf{O}$ must perform a reception, i.e. $x \in Val?x!\mathbf{O} \xrightarrow{v?} v!\mathbf{O}$ and the resulting processes are not weakly bisimilar. The counter-example also serves to show that \approx' is not preserved by parallel composition by using the context $v!v'!\mathbf{O}[-]$ for $v \neq v'$. In fact the relation obtained by closing \approx' under parallel composition coincides with \approx .

Proposition 2.5 \approx is preserved by all of the CBS operators except summation.

Proof. Left to the reader. □

We now show that it is possible to obtain this definition of weak bisimulation by considering barbed bisimulations in static contexts, that is contexts in which the hole does not appear as a summand in a choice.

Proposition 2.6 If $C[P] \approx_{barb} C[Q]$ for every static context $C[-]$ then $P \approx Q$.

Proof. We only outline the proof here as the details are similar to those in [10]. Given a value set Val we extend this to a value set Val^+ defined to be the disjoint union of the sets Val , $Val' \stackrel{def}{=} \{v' \mid v \in Val\}$, $\{in, out, c\}$ and $\{d_i \mid i \in N\}$. We define translation functions on $Val^+ \cup \{\tau\}$ as follows:

$$g(w) = \begin{cases} w' & \text{if } w \in Val \\ w & \text{otherwise.} \end{cases}$$

$$f(w) = \begin{cases} \tau & \text{if } w \in Val \cup \{c\} \\ w & \text{otherwise.} \end{cases}$$

$$h(w) = \begin{cases} \tau & \text{if } w = c \\ w & \text{otherwise.} \end{cases}$$

Armed with these we can build a collection of static contexts $C_n[-]$ similar to those in [10]. A full explanation of the construction of Sangiorgi's contexts can be found in his thesis. Ours differ only in that we explicitly translate communicated values into τ actions using the translation functions and we require the use of a distinguished value c which plays the rôle of a private channel for communicating with and incrementing the counter.

We let $+$ denote binary choice and define

$$Count_n \stackrel{def}{=} d_n! \mathbf{O} + x \in \{c\} ? Count_{n+1}$$

and the constant D

$$D \stackrel{def}{=} x \in Val ? c!c!(\tau!(g(x)! \mathbf{O} + out! \mathbf{O}) + \tau!D)$$

$$+ \sum_{v \in Val} v!c!c!(\tau!(g(v)! \mathbf{O} + in! \mathbf{O}) + \tau!D)$$

$$+ \tau!d_0! \mathbf{O}$$

$$+ \tau!d_1! \mathbf{O}$$

The contexts we require then are defined by

$$C_n[-] \stackrel{def}{=} ([-]_{[id,h]} | D | Count_n)_{[f,id]}.$$

Given these we define a relation $S = \{(R, S) \mid \exists n \cdot C_n[R] \approx_{barb} C_n[S]\}$ where R and S range over agents defined over the value set Val . Following Theorem 3.3.2 in [10] we can show that S is a weak bisimulation and the result follows. \square

As one might expect the relation \approx is not a congruence for CBS owing to the fact that it is not preserved by the summation operator. This fact is ascribed to the so called *pre-emptive* power of τ to resolve choices [5]. In CCS we define observational congruence as the largest congruence relation strictly contained in weak bisimulation and a characterisation of this observational congruence tells us that for two agents P and Q to be related any τ move from P must be matched by *at least one* τ move from Q . That is, for every possible choice made by one agent, then at least one choice must be made by the other agent and vice-versa. This helps in understanding the following definition.

Definition 2.7 *Observational congruence* \cong is the symmetric relation defined by $P \cong Q$ if

- if $P \xrightarrow{w!} P'$ then $\exists Q'. Q \xrightarrow{w!} Q'$ and $P' \approx Q'$
- If $P \xrightarrow{v?} P'$ then $\exists Q'. Q \xrightarrow{v?} Q'$ and $P' \approx Q'$
or $Q \xrightarrow{\tau!v;} Q'$ and $P' \approx Q'$
- If $P \xrightarrow{v;} P$ then $Q \xrightarrow{v;} Q$

Theorem 2.8 $P \cong_{barb} Q$ if and only if $P \cong Q$.

Proof. We leave the reader to check that \cong is preserved by all the operators in *CBS* and since $P \cong Q$ trivially implies that $P \approx_{barb} Q$ we conclude that $P \cong Q$ implies $P \cong_{barb} Q$.

Conversely, suppose $P \cong_{barb} Q$. Then $C[P + v_0!\mathbf{O}] \approx_{barb} C[Q + v_0!\mathbf{O}]$ for all static contexts $C[_]$, where v_0 is some distinguished value not in *Val*. It follows from Proposition 2.6 that $P + v_0!\mathbf{O} \approx Q + v_0!\mathbf{O}$.

We now prove that $P + v_0!\mathbf{O} \approx Q + v_0!\mathbf{O}$ implies $P \cong Q$. As an example we show $P \xrightarrow{v;} P$ implies $Q \xrightarrow{v;} Q$; the remaining requirements are similar. From $P \xrightarrow{v;} P$ it follows that $P + v_0!\mathbf{O} \xrightarrow{v;} P + v_0!\mathbf{O}$ also. By the hypothesis we know that $Q + v_0!\mathbf{O} \xrightarrow{v?} Q'$ for some $Q' \approx P + v_0!\mathbf{O}$. This means that Q' is $Q + v_0!\mathbf{O}$ as otherwise $Q' \xrightarrow{v_0!}$. So we have that $Q + v_0!\mathbf{O} \xrightarrow{v;} Q + v_0!\mathbf{O}$ which in turn implies that $Q \xrightarrow{v;} Q$. \square

3 Characterising Observational Congruence over Finite Agents

We present an algebraic characterisation of observational congruence over a class of finite agents. The syntax for this finite sub-language is given by the grammar

$$T ::= \mathbf{O} \mid e!T \mid x \in S?T \mid b \gg T \mid T + T.$$

We have restricted the summation operator Σ_I to binary choice + recursion is not allowed. The extra CBS operators, parallel and translations, can be treated in this language by using a suitable expansion law and coding technique [4], Section 7. We denote the class of agents (closed terms) definable in this sub-language by \mathcal{FA} and we use α, β to range over arbitrary prefixes of the form $e!$ and $s \in S?$.

The algebraic characterisation is in terms of a proof system whose rules are given in Figure 3; it is the standard adaption of an equation proof system to handle a value-passing language. The main non-standard rule is the infinitary proof rule *cl-INPUT* to deduce judgements about expressions involving input prefixes.

We now discuss the required equations. As one might expect, the standard CCS equations which say that $(\mathcal{FA}, +, \mathbf{O})$ is a commutative monoid and that $+$ is idempotent are required. We saw in [4] that three further equations were required in order to characterise noisy congruence for this language:

$$\text{Noisy: } e!(P + x \in S?P) = e!P \quad \text{if } S \cap I(P) = \emptyset$$

where $I(P)$, defined inductively over terms, is the set of values which P can immediately receive:

- $I(\mathbf{O}) = \emptyset$
- $I(e!P) = \emptyset$
- $I(x \in S?T) = S$
- $I(P + Q) = I(P) \cup I(Q)$

$$\text{Ident: } X + 0 = X$$

$$\text{Idemp: } X + X = X$$

$$\text{Comm: } X + Y = Y + X$$

$$\text{Assoc: } X + (Y + Z) = (X + Y) + Z$$

$$\text{Noisy: } e!(X + x \in S?X) = e!X \quad \text{if } S \cap I(X) = \emptyset$$

$$\text{Pattern: } x \in S?X + x \in S'?X = x \in S \cup S'?X$$

$$\text{Empty: } x \in \emptyset?X = \mathbf{0}$$

$$\text{Tau1: } e!(\tau!X + X) = e!X$$

$$\text{Tau2: } \alpha.(X + \tau!Y) + \alpha.Y = \alpha.(X + \tau!Y)$$

$$\text{Tau3: } X + x \in S?Z + \tau!(Y + x \in S?Z) = X + \tau!(Y + x \in S?Z) \quad \text{if } S \subseteq I(X)$$

$$\text{Tau4: } e!X + \tau!(Y + e!X) = \tau!(Y + e!X)$$

Figure 2: Axioms \mathcal{A}_{el} for weak bisimulation (closed terms)

$$- I(b \gg P) = \begin{cases} I(P) & \text{if } \llbracket b \rrbracket = \mathbf{tt} \\ \emptyset & \text{otherwise} \end{cases}$$

$$\text{Pattern : } x \in S?X + x \in S'?X = x \in S \cup S'?X.$$

$$\text{Empty : } x \in \emptyset?X = \mathbf{0}.$$

As noisy congruence is strictly contained in observational congruence it is clear that we also require these axioms for our present characterisation. In addition to these axioms then we require analogies of the tau laws of CCS:

$$A1 \quad \alpha.\tau.P =_{ccs} \alpha.P.$$

$$A2 \quad \alpha.(P + \tau.Q) + \alpha.Q =_{ccs} \alpha.(P + \tau.Q).$$

$$A3 \quad P + \tau.P =_{ccs} \tau.P.$$

Unfortunately $A1$ and $A3$ are not sound for CBS. We have already seen, for example, that P is not, in general, weakly bisimilar to $\tau!P$ which implies, for example that $0!\tau!P \not\cong 0!P$. For $A3$ we run into difficulties when P is allowed to receive any value v , say. For then $\tau!P$ may discard v but $P + \tau!P$ is obliged to receive it. We adopt admissible versions of these axioms. $A1$ simply becomes

$$\text{Tau1 : } e!(\tau!X + X) = e!X,$$

$A2$ is adapted to

$$\text{Tau2 : } \alpha.(X + \tau!Y) + \alpha.Y = \alpha.(X + \tau!Y),$$

and $A3$ splits into two axiom schemes¹

¹It is possible, for present purposes, to give these two as a single axiom scheme though to be consistent with the sequel we use two.

EQUIV	$\frac{}{P = P} \quad \frac{P = Q}{Q = P} \quad \frac{P = Q \quad Q = R}{P = R}$
AXIOM	$\frac{P = Q \in \mathcal{AX}}{P\rho = Q\rho}$
CONG	$\frac{P_1 = Q_1 \quad P_2 = Q_2}{P_1 + P_2 = Q_1 + Q_2}$
α -CONV	$\frac{}{x \in S?T = y \in S?T[y/x]} \quad y \notin fv(T)$
cl-INPUT	$\frac{\tau!T[v/x] + \tau!U[v/x] = \tau!U[v/x] \quad \text{for every } v \in S}{x \in S?T + x \in S?U = x \in S?U}$
OUTPUT	$\frac{P = Q, \quad \llbracket e \rrbracket = \llbracket e' \rrbracket}{\llbracket e \rrbracket!P = \llbracket e' \rrbracket!Q}$
BOOL	$\frac{\llbracket b \rrbracket = \mathbf{tt}}{b \gg P = P} \quad \frac{\llbracket b \rrbracket = \mathbf{ff}}{b \gg P = \mathbf{O}}$

Figure 3: Inference Rules

$Tau3 : X + x \in S?Z + \tau!(Y + x \in S?Z) = X + \tau!(Y + x \in S?Z)$ if $S \subseteq I(X)$
and

$Tau4 : e!X + \tau!(Y + e!X) = \tau!(Y + e!X)$.

Note that a version of $Tau1$ for input prefixes is also sound but is derivable using the rule cl-INPUT.

We list all the axiom scheme in Figure 2 and we denote this set by \mathcal{A}_{cl} . It is a simple matter to check that each axiom in \mathcal{A}_{cl} is indeed sound with respect to observational congruence. For agents P, Q let $\mathcal{A}_{cl} \vdash_{cl} P = Q$ mean that $P = Q$ can be derived in the proof system of Figure 3 from the axioms \mathcal{A}_{cl} .

Theorem 3.1 (*Soundness*) $\mathcal{A}_{cl} \vdash_{cl} P = Q$ implies $P \cong Q$.

Proof. Simple induction on proof of $\mathcal{A}_{cl} \vdash_{cl} P = Q$. □

The remainder of this section deals with the proof of the converse of this, completeness. The exposition of the completeness proof will require the usual notions of standard form and depth of a term. We attend to the latter first. The *depth*, $d(T)$, of a term T is defined inductively:

- $d(\mathbf{O}) = 0$
- $d(x \in S?T) = d(e!T) = 1 + d(T)$
- $d(b \gg T) = d(T)$
- $d(T_1 + T_2) = \max \{d(T_1), d(T_2)\}$.

We say a closed term is in *standard form* if it has the form

$$\sum_{i \in I_!} e_i !T_i + \sum_{i \in I_?} x_i \in S_i ?T_i$$

for some finite indexing sets $I_!$ and $I_?$ such that S_i is non-empty for each $i \in I_?$. Furthermore we call a standard form, P , a *saturated standard form* if

- (i) $P \xrightarrow{w!} P'$ implies $P \xrightarrow{w!} P'$.
- (ii) $v \in I(P)$ and $P \xrightarrow{v?} P'$ implies $P \xrightarrow{v?} \xrightarrow{\varepsilon} P'$.
- (iii) $v \in I(P)$ and $P \xrightarrow{\tau!v;} P'$ implies $P \xrightarrow{v?} P'$.

Lemma 3.2 *For any agent $P \in \mathcal{FA}$ there exists a standard form $sf(P)$ such that $\mathcal{A}_{cl} \vdash_{cl} P = sf(P)$.*

Proof. By structural induction. □

Lemma 3.3 (Derivation Lemma) *For any agent $P \in \mathcal{FA}$, $P \xrightarrow{w!} Q$ implies $\mathcal{A}_{cl} \vdash_{cl} P = P + w!P$.*

Proof. By induction on the length of the derivation $P \xrightarrow{w!} Q$ using the axioms *Tau2* and *Tau4*.

With these Lemmas we can now prove:

Proposition 3.4 *Given any agent $P \in \mathcal{FA}$, there exists a saturated standard form \hat{P} such that $d(P) \leq d(\hat{P})$ and $\mathcal{A}_{cl} \vdash_{cl} P = \hat{P}$.*

Proof. We know that P can be transformed into a standard form and we show that this standard form can be saturated. The proof is by induction on the number of Q such that either $P \xrightarrow{w!} Q$ or $P \xrightarrow{\tau!v;} Q$. Essentially the proof proceeds by using the Derivation Lemma to saturate P with respect to all such derivatives.

As an example consider some P' such that $P \xrightarrow{\tau!v;} P'$. We show that $\mathcal{A}_{cl} \vdash_{cl} P = P + x \in S?P'$ for some set S containing v . We can deconstruct the move $P \xrightarrow{\tau!v;} P'$ into $P \xrightarrow{\tau!} Q_v \xrightarrow{v;} Q_v \xrightarrow{\varepsilon} P'$ for some agent Q_v such that $v \notin I(Q_v)$. Axiom *Noisy* tells us that

$$\mathcal{A}_{cl} \vdash_{cl} \tau!Q_v = \tau!(Q_v + x \in Val - I(Q_v)?Q_v).$$

By saturation with respect to $\tau!$ moves we can assume that $\mathcal{A}_{cl} \vdash_{cl} P = P + \tau!Q_v$. Axiom *Tau3* will give

$$\mathcal{A}_{cl} \vdash_{cl} P = P + x \in (Val - I(Q_v)) \cap I(P)?Q_v.$$

If Q_v is P' we are finished. Otherwise again we can use saturation with respect to τ moves to obtain $\mathcal{A}_{cl} \vdash_{cl} Q_v = Q_v + \tau!P'$ and axiom *Tau2* to give $\mathcal{A}_{cl} \vdash_{cl} P = P + x \in (Val - I(Q_v)) \cap I(P)?P'$. □

We now prove an analogue of the decomposition theorem of CCS, i.e.

$$P \approx_{ccs} Q \text{ iff } P \cong_{ccs} Q \text{ or } P \cong_{ccs} \tau.Q \text{ or } \tau.P \cong_{ccs} Q.$$

Recall that, in CBS, not only τ has pre-emptive power but the reception of values has also. This property manifests itself in noisy bisimulation not being preserved by choice and in [4] we prove an analogue of the decomposition theorem which relates noisy bisimulation and noisy congruence. What we require here then is a happy combination of the decomposition theorems of [5], [4].

Theorem 3.5 (Decomposition) Let $S = I(Q) - I(P)$ and $S' = I(P) - I(Q)$. $P \approx Q$ iff one of the following holds:

- (i) $P + x \in S?P \cong Q + x \in S'?Q$ and when S and S' are both non-empty there exist P', Q' such that $d(P') < d(P)$, $d(Q') < d(Q)$ and $P' \approx P, Q' \approx Q$.
- (ii) $P + x \in S?P + \tau!P \cong Q + x \in S'?Q$ and when S' is non-empty there exist P', Q' such that $d(P') < d(P)$, $d(Q') < d(Q)$ and $P' \approx P, Q' \approx Q$.
- (iii) $P + x \in S?P \cong Q + x \in S'?Q + \tau!Q$ and when S is non-empty there exist P', Q' such that $d(P') < d(P)$, $d(Q') < d(Q)$ and $P' \approx P, Q' \approx Q$.

Proof. The ‘if’ direction is standard. So suppose $P \approx Q$. There are three cases.

- Suppose there exists a P^τ such that $P \xrightarrow{\tau!} P^\tau$ and for each Q' such that $Q \xrightarrow{\tau!} Q'$ we have $P^\tau \not\approx Q'$. In this case we show that (iii) holds.

We first notice that

$$\begin{aligned} I(P + x \in S?P) &= I(P) \cup I(x \in S?P) \\ &= I(P) \cup (I(Q) - I(P)) \\ &= I(P) \cup I(Q) \\ &= I(Q + x \in S'?Q + \tau!Q). \end{aligned}$$

This means that $P + x \in S?P \xrightarrow{v!} P + x \in S?P$ if and only if $Q + x \in S'?Q + \tau!Q \xrightarrow{v!} Q + x \in S'?Q + \tau!Q$.

Suppose $P + x \in S?P \xrightarrow{v!} P'$. Then $P \xrightarrow{v!} P'$. Because $P \approx Q$ we know that $Q \xrightarrow{v!} Q'$ for some Q' such that $P' \approx Q'$. Therefore $Q + x \in S'?Q + \tau!Q \xrightarrow{v!} Q'$ also. Similarly if $Q + x \in S'?Q + \tau!Q \xrightarrow{v!} Q'$.

Suppose $P + x \in S?P \xrightarrow{\tau!} P'$. Then $P \xrightarrow{\tau!} P'$. So we know that $Q \xrightarrow{\varepsilon} Q'$ for some Q' such that $P' \approx Q'$. Therefore $Q + x \in S'?Q + \tau!Q \xrightarrow{\tau!} Q'$. Conversely suppose $Q + x \in S'?Q + \tau!Q \xrightarrow{\tau!} Q'$. Here there are two possibilities: If $\tau!Q \xrightarrow{\tau!} Q' \equiv Q$ then we have $P \xrightarrow{\tau!} P^\tau$ with $P^\tau \approx Q$. Otherwise we must have $Q \xrightarrow{\tau!} Q'$. In which case we have that $P^\tau \approx Q$ so we know that there exists a P' such that $P^\tau \xrightarrow{\varepsilon} P'$ with $P' \approx Q'$. But we also have that $P \xrightarrow{\tau!} P^\tau$. Therefore $P \xrightarrow{\tau!} P'$.

Suppose $P + x \in S?P \xrightarrow{v?} P'$.

If $v \in I(P)$ then $P \xrightarrow{v?} P'$ so we know that $Q \xrightarrow{v??} Q'$ for some Q' such that $P' \approx Q'$. Therefore $\tau!Q \xrightarrow{\tau!v??} Q'$ and so $Q + x \in S'?Q + \tau!Q \xrightarrow{v??} Q'$ or $Q + x \in S'?Q + \tau!Q \xrightarrow{\tau!v?} Q'$.

On the other hand if $v \notin I(P)$ then it must be the case that $x \in S?P \xrightarrow{v?} P' \equiv P$, that is $v \in S$. So we know then that $v \notin I(P)$ and $P \xrightarrow{v!} P$. Because $P \approx Q$ we know that there exists a Q' such that $Q \xrightarrow{v??} Q'$ and $P \approx Q'$. Thus $\tau!Q \xrightarrow{\tau!v??} Q'$ which gives $Q + x \in S'?Q + \tau!Q \xrightarrow{v??} Q'$ or $Q + x \in S'?Q + \tau!Q \xrightarrow{\tau!v?} Q'$.

If $Q + x \in S'?Q + \tau!Q \xrightarrow{v?} Q'$ and $v \in I(Q)$ then $Q \xrightarrow{v?} Q'$. So there exists a P' such that $P \xrightarrow{v??} P'$ and $P' \approx Q'$. If $P \xrightarrow{v?} P'$ or $P \xrightarrow{\tau!v?} P'$ then we have a match, otherwise we know that $v \in I(Q) - I(P)$ so we have $x \in S?P \xrightarrow{v?} P'$.

It only remains to check the case $v \notin I(Q)$. In this case Q' must be Q $v \in I(P)$. Since $P \approx Q$ and $Q \xrightarrow{v??} Q$ we know that there exists a P' such that $P \xrightarrow{v??} P'$ with $P' \approx Q$ but since $v \in I(P)$ we must have $P \xrightarrow{v?} P'$ or $P \xrightarrow{\tau!v} P'$.

This completes the proof that $P + x \in S?P \cong Q + x \in S'?Q + \tau!Q$. Now suppose S is non-empty. We have to find P', Q' such that $d(P') < d(P)$, $d(Q') < d(Q)$ and $P' \approx P$, $Q' \approx Q$. We already know $P^\tau \approx Q$ and transitivity gives $P^\tau \approx P$; so the required P' is P^τ . To find the required Q' let v_0 be any value from the non-empty set S . Since $v_0 \notin I(P)$ this means $P \xrightarrow{v_0!} P$. So there exists a Q' such that $Q \xrightarrow{v_0??} Q'$ and $P \approx Q'$; by transitivity this means $Q' \approx Q$. But $v_0 \in I(Q)$ which forces $Q \xrightarrow{v_0?} Q_0$ or $Q \xrightarrow{\tau!v_0} Q_0$; either way $d(Q_0) < d(Q)$.

- Suppose there exists a Q^τ such that $Q \xrightarrow{\tau!} Q^\tau$ and for each P' such that $P \xrightarrow{\tau!} P'$ we have $P' \not\approx Q^\tau$.

This is a symmetric version of the first case and in a similar manner one can show that (ii) holds.

- If neither of these two conditions apply then one can show that case (i) holds; the details are left to the reader. \square

Theorem 3.6 (*Completeness*) For all agents P, Q

$$P \cong Q \text{ implies } \mathcal{A}_{cl} \vdash_{cl} P = Q$$

Proof. The proof is by induction on the combined depth of P and Q .

Because of Lemma 3.4 we can assume that P and Q can be transformed to saturated standard forms

$$\sum_I e_i!P_i + \sum_J x \in S_j?T_j, \quad \sum_K e_k!Q_k + \sum_L x \in S_l?U_l$$

respectively. It is sufficient, because of saturation, to prove that

$$\mathcal{A}_{cl} \vdash_{cl} \sum_I e_i!P_i = \sum_K e_k!Q_k$$

and

$$\mathcal{A}_{cl} \vdash_{cl} \sum_J x \in S_j?T_j = \sum_L x \in S_l?U_l$$

and as an example we consider the latter. To establish this it is sufficient, by symmetry, to prove for an arbitrary $j \in J$ that

$$\mathcal{A}_{cl} \vdash_{cl} x \in S_j?T_j + \sum_L x \in S_l?U_l = \sum_L x \in S_l?U_l.$$

For each $v \in S_j$ we know that $P \xrightarrow{v?} T_j[v/x]$. This means $v \in I(P)$ and, since $P \cong Q$, then $v \in I(Q)$. We know that $Q \xrightarrow{v?} U_l[v/x] \xrightarrow{\varepsilon} Q_l^v$ for some $l \in L$ such that $v \in S_l$ and $T_j[v/x] \approx Q_l^v$ because $P \cong Q$ and Q is saturated. Let $S_l^j = \{v \in S_j \cap S_l \mid U_l[v/x] \approx T_j[v/x]\}$. This gives a *finite* partition $\{S_l^j\}_{l \in L}$ of S_j such that $S_l^j \subseteq S_l$ for each $l \in L$. Then, by the idempotency of $+$ and the new axiom *Pattern* it is sufficient to show for each $l \in L$ that

$$\mathcal{A}_{cl} \vdash_{cl} x \in S_l^j?T_j + x \in S_l^j?U_l = x \in S_l^j?U_l.$$

This can be inferred from the rule cl-INPUT if we can prove for each $v \in S_i^j$

$$\mathcal{A}_{cl} \vdash_{cl} \tau!T_j[v/x] + \tau!U_i[v/x] = \tau!U_i[v/x].$$

So let us fix a particular $v \in S_i^j$ and see how this can be inferred. We know that $T_j[v/x] \approx Q_i^v$ so from this we will show that

$$\mathcal{A}_{cl} \vdash_{cl} \tau!T_j[v/x] = \tau!Q_i^v$$

and the result will follow by the Derivation Lemma and *Tau2*.

For convenience let P, Q denote $T_j[v/x], Q_i^v$ respectively. We now apply Theorem 3.5 to get one of three possibilities

- (i) $P + x \in U?P \cong Q + x \in V?Q$
- (ii) $P + x \in U?P + \tau!P \cong Q + x \in V?Q$
- (iii) $P + x \in U?P \cong Q + x \in V?Q + \tau!Q$

where $U = I(Q) - I(P)$ and $V = I(P) - I(Q)$. We show how to deal with case (iii) and leave cases (i) and (ii) to the reader. We have two eventualities to consider.

1. $U = \emptyset$

Here we have $P \cong Q + x \in V?Q + \tau!Q$ and we can use induction to obtain $\mathcal{A}_{cl} \vdash_{cl} \tau!P = \tau!(Q + x \in V?Q + \tau!Q)$. Now we can apply the *Noisy* scheme to obtain

$$\mathcal{A}_{cl} \vdash_{cl} \tau!P = \tau!(Q + x \in V?Q + \tau!(Q + x \in V?Q))$$

from which $\mathcal{A}_{cl} \vdash_{cl} \tau!P = \tau!(Q + x \in V?Q)$ follows by *Tau1*. Another application of *Noisy* gives the required result.

2. $U \neq \emptyset$

Here we have $P + x \in U?P \cong Q + x \in V?Q + \tau!Q$ and in this case we cannot apply induction immediately as the combined depth of the terms has not decreased. But Theorem 3.5 tells us that there exists P', Q' such that $d(P') < d(P)$ and $d(Q') < d(Q)$ such that $P' \approx P$ and $Q' \approx Q$. Suppose without loss of generality that $d(P) \leq d(Q)$. Then, since $\tau!P \cong \tau!P'$, we can use induction to obtain $\mathcal{A}_{cl} \vdash_{cl} \tau!P = \tau!P'$. A simple application of the cl-INPUT rule gives $\mathcal{A}_{cl} \vdash_{cl} x \in U?P = x \in U?P'$. This in turn implies that $P + x \in U?P' \cong Q + x \in V?Q + \tau!Q$ and here we can apply induction since the combined size has decreased. So we obtain

$$\mathcal{A}_{cl} \vdash_{cl} \tau!(P + x \in U?P') = \tau!(Q + x \in V?Q + \tau!Q).$$

Using the fact that $\mathcal{A}_{cl} \vdash_{cl} x \in U?P = x \in U?P'$ we get

$$\mathcal{A}_{cl} \vdash_{cl} \tau!(P + x \in U?P) = \tau!(Q + x \in V?Q + \tau!Q)$$

from which the required $\mathcal{A}_{cl} \vdash_{cl} \tau!P = \tau!Q$ follows by applications of the *Noisy* and *Tau1* axioms. \square

4 A Finitary Proof System

We now show that the proof system of the previous section can be improved upon by removing infinitary inference rules. This improvement brings the proof system out of the realm of theoretical proof machines by making its implementation a realistic task. The proof system we develop is for observational congruence over open terms of the finite sublanguage presented in Section 3. It follows closely the corresponding proof systems given in [3, 4].

The judgements of the proof system are now decorated with boolean expressions:

$$b \triangleright T = U$$

and intuitively this is meant to denote that $T\rho \cong U\rho$ for every evaluation ρ such that $\rho(b) = \mathbf{tt}$. The inference rules for the proof system, borrowed directly from [3, 4] are given in Figure 4. We also borrow the notation $\rho \models b$ to mean $\llbracket b\rho \rrbracket = \mathbf{tt}$ and $b \models b'$ to mean that $\rho \models b$ implies $\rho \models b'$.

We state a few simple facts about the proof system which we make use of in the sequel; they show how booleans can be manipulated in the proof system.

Proposition 4.1

- (i) $b \models b'$ implies $\vdash b \triangleright T = b' \gg T$
- (ii) $\vdash b \gg (T + U) = (b \gg T) + (b \gg U)$
- (iii) $\vdash (b \gg T) + (b' \gg T) = b \vee b' \gg T$
- (iv) $b \models b'$ and $\vdash T = T + b' \gg U$ implies $\vdash T = T + b \gg U$. □

We use more or less the same equations as in the proof system for closed terms. There are two exceptions, *Noisy* and *Tau3*. These are in fact axiom schemes and are defined in terms of the sets $I(P)$ for closed expressions P . In order to generalise these axiom schemes to open terms we need to extend the function I to open terms. We follow the approach taken in [4] and relativise it to a boolean world, defining $I(b, T)$, the set of values which the term T may receive when T is instantiated as an agent by an evaluation ρ such that $\rho \models b$. Moreover we give a syntactic definition of $I(b, T)$ for a subclass of terms T .

We call an open term T a standard form if

$$T \equiv \sum_{i \in I_1} b_i \gg e_i!T_i + \sum_{i \in I_2} b_i \gg x \in S_i?T_i$$

for some finite indexing sets I_1, I_2 such that each S_i is non-empty. Given a boolean expression b we say that b is *T-uniform* if there exists a set $K \subseteq I_2$ such that $b \models b_K$. Where b_K is defined

$$\bigwedge_{i \in K} b_i \wedge \bigwedge_{i' \in I_2 - K} \neg b_{i'}.$$

The syntactic definition of $I(b, T)$ is

$$I(b, T) = \bigcup \{S_i \mid i \in I_2, b \models b_i\}.$$

For the sake of completeness we recall Lemma 6.1 of [4] which shows that this is a reasonable definition:

Lemma 4.2 *If b is T-uniform then*

$$\rho \models b \text{ implies } I(T\rho) = I(b, T)$$

□

EQUIV	$\frac{}{\mathbf{tt} \triangleright T = T} \quad \frac{b \triangleright T = U}{b \triangleright U = T} \quad \frac{b \triangleright T = U \quad b \triangleright U = V}{b \triangleright T = V}$
AXIOM	$\frac{T = U \in \mathcal{AX}}{\mathbf{tt} \triangleright T\rho = U\rho}$
CONG	$\frac{b \triangleright T_1 = U_1 \quad b \triangleright T_2 = U_2}{b \triangleright T_1 + T_2 = U_1 + U_2}$
α -CONV	$\frac{}{\mathbf{tt} \triangleright x \in S?T = y \in S?T[y/x]} \quad y \notin fv(T)$
INPUT	$\frac{b \wedge x \in S \triangleright \tau!T + \tau!U = \tau!U}{b \triangleright x \in S?T + x \in S?U = x \in S?U} \quad \text{if } x \notin fv(b)$
OUTPUT	$\frac{b \models e = e' \quad b \triangleright T = U}{b \triangleright e!T = e'!U}$
TAU	$\frac{b \triangleright T = U}{b \triangleright \tau!T = \tau!U}$
GUARD	$\frac{b \wedge b' \triangleright T = U \quad b \wedge \neg b' \triangleright \mathbf{O} = U}{b \triangleright b' \gg T = U}$
CUT	$\frac{b \models b_1 \vee b_2 \quad b_1 \triangleright T = U \quad b_2 \triangleright T = U}{b \triangleright T = U}$
ABSURD	$\frac{}{\mathbf{ff} \triangleright T = U}$

Figure 4: Inference Rules

We present the adaptations of the axiom schemes *Noisy* and *Tau3*.

$$\begin{aligned}
\textit{Op-Noisy}: \quad & b \triangleright e!(T + x \in S?T) = e!T \\
& \text{if } x \notin fv(T), b \text{ is } T\text{-uniform and } S \cap I(b, T) = \emptyset \\
\textit{Op-Tau3}: \quad & b \triangleright T + x \in S?Z + \tau!(Y + x \in S?Z) = T + \tau!(Y + x \in S?Z) \\
& \text{if } b \text{ is } T\text{-uniform and } S \subseteq I(b, T).
\end{aligned}$$

We denote the collection of axioms \mathcal{A}_{cl} with *Noisy* replaced by *Op-Noisy* and with *Tau3* replaced by *Op-Tau3* by \mathcal{A}_{op} . Then $\mathcal{A}_{op} \vdash b \triangleright T = U$ will mean that $b \triangleright T = U$ can be derived using the inference rules in Figure 4 from axioms \mathcal{A}_{op} .

Theorem 4.3 (*Soundness*)

$$\text{If } \mathcal{A}_{op} \vdash b \triangleright T = U \text{ and } \rho \models b \text{ then } T\rho \cong U\rho.$$

Discard	Input	Output
$\mathbf{O} \xrightarrow{\text{tt}, \text{Val}} \mathbf{O}$		
$x \in S?T \xrightarrow{\text{tt}, \text{Val}-S} x \in S?T$	$\frac{y \notin \text{fv}(x \in S?T)}{x \in S?T \xrightarrow{\text{tt}, y \in S?} T[y/x]}$	
$e!T \xrightarrow{\text{tt}, \text{Val}} e!T$		$e!T \xrightarrow{\text{tt}, e!} T$
$\frac{T \xrightarrow{b, S} T \quad U \xrightarrow{b', S'} U}{T + U \xrightarrow{b' \wedge b, S \cap S'} T + U}$	$\frac{T \xrightarrow{b, x \in S?} T'}{T + U \xrightarrow{b, x \in S?} T'}$	$\frac{T \xrightarrow{b, e!} T'}{T + U \xrightarrow{b, e!} T'}$
$b' \gg T \xrightarrow{\neg b', \text{Val}} b' \gg T$		
$\frac{T \xrightarrow{b, S} T}{b' \gg T \xrightarrow{b, S} b' \gg T}$	$\frac{T \xrightarrow{b, x \in S?} T'}{b' \gg T \xrightarrow{b' \wedge b, x \in S?} T'}$	$\frac{T \xrightarrow{b, e!} T'}{b' \gg T \xrightarrow{b' \wedge b, e!} T'}$

Figure 5: Abstract operational semantics

Proof. This is simply a matter of checking that each axiom in \mathcal{A}_{op} is sound with respect to \cong and that each inference rule preserves \cong . As an example we check *Op-Tau3*.

Given ρ such that $\rho \models b$. b is T -uniform so $I(b, T) = I(T\rho)$. We need to show that $T\rho + x \in S?U\rho + \tau!(V\rho + x \in S?U\rho) \cong T\rho + \tau!(V\rho + x \in S?U\rho)$ for any terms U and V given that $S \subseteq I(T\rho)$. The only interesting moves to match are the discards.

$$I(T\rho + x \in S?U\rho + \tau!(V\rho + x \in S?U\rho)) = S \cup I(T\rho) = I(T\rho)$$

and

$$I(T\rho + \tau!(V\rho + x \in S?U\rho)) = I(T\rho).$$

□

In order to prove completeness of this proof system we resort to symbolic bisimulations [2, 4]. First though we must present our notion of abstract operational semantics for this language and the associated weak abstract transition relations. The former appears in Figure 5, where inferences are of the form $T \xrightarrow{b, \mu} U$ or $T \xrightarrow{b, S} U$ where b is an arbitrary boolean expression, μ is a prefix and S a subset of Val . Using these the weak abstract transition relations are defined as the least relations satisfying the following rules:

$$\begin{aligned} T &\xRightarrow{\text{tt}, \varepsilon} T \\ T \xrightarrow{b, \alpha} T' &\text{ implies } T \xRightarrow{b, \alpha} T' \\ T \xrightarrow{b, \tau!} T' \xRightarrow{b', \alpha} T'' &\text{ implies } T \xRightarrow{b \wedge b', \alpha} T'' \\ T \xRightarrow{b, \alpha} T' \xrightarrow{b', \tau!} &\text{ implies } T \xRightarrow{b \wedge b', \alpha} T''. \end{aligned}$$

The definition of weak bisimulation is the weakened version of *patterned noisy symbolic bisimulation* of [4]. Suppose $\{R^b\}$ is a family of symmetric relations. Define $WSB(R)^b$ as follows:

$(T, U) \in WSB(R)^b$ if whenever

- $T \xrightarrow{b', e!} T'$ there exists a $b \wedge b'$ -partition, B , such that for each $b'' \in B$ there exists $U \xrightarrow{d, \hat{e}!} U'$ such that $b'' \models d$, $b'' \models \hat{e} = \hat{e}'$ and $(T', U') \in R^{b''}$
- $T \xrightarrow{b', x \in S?} T'$ such that $x \notin fv(b, T, U)$ or $T \xrightarrow{b', S:} T'$ there exists a $b \wedge b' \wedge x \in S$ -partition, B , such that for each $b'' \in B$ there exists $U \xrightarrow{d, \alpha} U'$ with $\alpha \in \{x \in S'?, S' :\}$ such that $b'' \models d$, $b'' \models x \in S'$ and $(T', U') \in R^{b''}$.

Here we generalise the notation used in Definition 2.4 by letting $\hat{e}!$ denote ε if e is τ and e otherwise.

We call $\{R^b\}$ a patterned noisy symbolic bisimulation if $R^b \subseteq WSB(R)^b$ for each b and denote the largest such R by $\{\approx^b\}$. We now use the definition of \approx^b to define \cong^b the largest congruence contained in \approx^b :

$T \cong^b U$ if whenever

- $T \xrightarrow{b', e!} T'$ there exists a $b \wedge b'$ -partition, B , such that for each $b'' \in B$ there exists $U \xrightarrow{d, e!} U'$ such that $b'' \models d$, $b'' \models e = e'$ and $(T', U') \in R^{b''}$
- $T \xrightarrow{b', x \in S?} T'$ such that $x \notin fv(b, T, U)$ there exists a $b \wedge b' \wedge x \in S$ -partition, B , such that for each $b'' \in B$ there exists $U \xrightarrow{d, x \in S'} U'$ or a $U \xrightarrow{d, \tau! S'} U'$ such that $b'' \models d$, $b'' \models x \in S'$ and $(T', U') \in R^{b''}$.
- $T \xrightarrow{b', S:} T'$ there exists a $U \xrightarrow{d, S':} U'$ such that $b \wedge b' \models d$ and $S \subseteq S'$.

We proved in [4], Proposition 6.3, that the definition of noisy symbolic congruence agreed with the concrete definition of noisy congruence. A simple adaption of the same proof gives us

Proposition 4.4 *For any terms T and U ,*

$$T \cong^b U \text{ iff } (\forall \rho \cdot \rho \models b \text{ implies } T\rho \cong U\rho).$$

□

Using this Proposition we can give a decomposition theorem for open terms.

Theorem 4.5 (Decomposition) *If T and U are standard forms and $T \approx^b U$ then there exists a b -partition, B , such that for each $b' \in B$, b' is both T and U uniform and one of the following holds, where $S = I(b', U) - I(b', T)$ and $S' = I(b', T) - I(b', U)$.*

- (i) $T + x \in S?T \cong^{b'} U + x \in S'?U$ and when S and S' are both non-empty there exist T', U' such that $d(T') < d(T)$, $d(U') < d(U)$ and $T' \approx^{b'} T$, $U' \approx^{b'} U$.
- (ii) $T + x \in S?T + \tau!T \cong^{b'} U + x \in S'?U$ and when S' is non-empty there exist T', U' such that $d(T') < d(T)$, $d(U') < d(U)$ and $T' \approx^{b'} T$, $U' \approx^{b'} U$.
- (iii) $T + x \in S?T \cong U + x \in S'?U + \tau!U$ and when S is non-empty there exist T', U' such that $d(T') < d(T)$, $d(U') < d(U)$ and $T' \approx^{b'} T$, $U' \approx^{b'} U$.

Proof. Let $B_1 = \{b \wedge b_K \mid K \subseteq I_?\}$ and $B_2 = \{b \wedge b_L \mid L \subseteq J_?\}$ where $I_?$ and $J_?$ are indexing sets of the standard forms T and U respectively. We let B' be the b -partition $\{b_1 \wedge b_2 \mid b_1 \in B_1, b_2 \in B_2\}$. It is clear that this partition is both T and U uniform. Let $\Sigma_{b'}$ be defined as $\{\rho \mid \rho \models b\}$.

Choose $b' \in B'$ and suppose $\rho \in \Sigma_{b'}$. Then by Theorem 3.5 we have one of three cases. Define $\Sigma_{b'_j} = \{\rho \in \Sigma_{b'} \mid \text{case } j \text{ holds for } \rho\}$ for $j = 1, 2, 3$. We can then define b'_j as the boolean expression satisfying $\rho \models b'_j$ iff $\rho \in \Sigma_{b'_j}$. These b'_j partition b' so we see that $B = \{b'_j \mid b' \in B'\}$ forms a b -partition. Using Theorem 3.5 again we get that for each $b'' \in B$ one of the three cases above holds.

Note that in order to obtain the terms T' and U' , where applicable, it may be necessary to partition B even further. Details of how this is done can be found in [4]. \square

The next step towards the completeness proof is to develop the notion of saturation for open terms. Unfortunately there are inconvenient side conditions for saturation in the open term proof system which make it impractical to work with a notion of a saturated form. Instead we present a weaker form of saturation. First however, we make a few comments about abstract discard moves.

Given a term $T_? \equiv \sum_{i \in I_?} b_i \gg x_i \in S_i?T_i$. Consider all possible discard transitions $T_? \xrightarrow{b, S} T_?$. It is not difficult to see that b must be of the form $\bigwedge_{i \in K} \neg b_i$ and S of the form $\bigcap_{i \in I_? - K} (Val - S_i)$ for some $K \subseteq I_?$. Therefore any discard $T_? \xrightarrow{b, S} T_?$ can be described by a set $K \subseteq I_?$; we call such a set the *discard index* of $T_? \xrightarrow{b, S} T_?$.

Discard moves from general standard forms still have a discard index. If T is a standard form, indexing sets I_1 and I_2 , then if $T \xrightarrow{b, S} T$ then there exists a set $K \subseteq I_?$ such that $b \models \bigwedge_{i \in K} \neg b_i$ and $S = \bigcap_{i \in I_? - K} (Val - S_i)$.

The following Proofs make use of these derivable variants of the axiom *Op-Tau3* and the INPUT rule:

$$\text{Op-Tau3}^{\gg} : T + b' \gg \tau!(b \gg x \in S?U) = T + b' \gg \tau!(b \gg x \in S?U) + b \gg x \in S?U \\ \text{if } b \models b', b \text{ is } T\text{-uniform and } S \subseteq I(b, T).$$

$$\text{INPUT}^{\gg} \frac{b \wedge x \in S \triangleright \sum_I b_i \gg \tau!T_i = \sum_J b_j \gg \tau!U_j}{b \triangleright \sum_I x \in S?T_i = \sum_J x \in S?U_j} \quad \text{if } x \notin fv(b).$$

Lemma 4.6 (Derivation Lemma) *For any term T*

- (i) If $T \xrightarrow{b, \epsilon!} T'$ then $\mathcal{A}_{op} \vdash T = T + b \gg \epsilon!T$.
- (ii) If b is T -uniform, $S \subseteq S' \cap I(b, T)$, and $b \models b_1 \wedge b_2$ where $T \xrightarrow{b_1, \epsilon} T' \xrightarrow{b_2, x \in S'} T'$ then $\mathcal{A}_{op} \vdash T = T + b \gg x \in S?T'$.
- (iii) If b is T -uniform, $S \subseteq S' \cap I(b, T)$, and $b \models b'$ where $T \xrightarrow{b', \tau!S'} T'$ then $\mathcal{A}_{op} \vdash T = T + b \gg x \in S?T'$ for some $x \notin fv(b, T)$.

Proof. (i) This is straightforward. We use induction on the derivation of $T \xrightarrow{b, \epsilon!} T'$.

(ii) We assume that T is a standard form and proceed by induction on $T \xrightarrow{b_1, \epsilon} T' \xrightarrow{b_2, x \in S'} T'$.

Case $T \xrightarrow{b_2, x \in S'} T'$.

$$\begin{array}{ll} \text{Idemp} & \mathcal{A}_{op} \vdash T = T + b_2 \gg x \in S'?T' \\ b \models b_2, S \subseteq S' & \mathcal{A}_{op} \vdash T = T + b \gg x \in S?T'. \end{array}$$

Case $T \xrightarrow{b_1, \tau!} U \xrightarrow{b_2, x \in S'??} T'$.

Suppose that $U? \equiv \sum_{I_?} b_i \gg x_i \in S_i?U_i$. We let

$$B_u = \{b \wedge b_K \mid K \subseteq I_?\}.$$

Clearly then B_u is a U -uniform partition of b . Choose any $b_u (= b \wedge b_K) \in B_u$. We know that $b_u \models b \models b_2$ so b_2 must be equal to some b_{i_0} for some $i_0 \in K$. This means that $S' = S_{i_0} \subseteq I(b_u, U)$. Therefore by induction we get

$$\mathcal{A}_{op} \vdash U = U + b_u \gg x \in S'??T'.$$

This is true for each $b_u \in B_u$ so we can add to get

$$\mathcal{A}_{op} \vdash U = U + \sum_{B_u} b_u \gg x \in S'??T'.$$

Thus by manipulating the boolean guards, remembering that B_u is a b partition, we get

$$\mathcal{A}_{op} \vdash U = U + b \gg x \in S'??T'$$

whence

$$\mathcal{A}_{op} \vdash U = U + b \gg x \in S??T'.$$

Using part (i) we know that

$$\mathcal{A}_{op} \vdash T = T + b_1 \gg \tau!(U + b \gg x \in S??T').$$

Recall that $b \models b_1$, b is T -uniform and $S \subseteq I(b, T)$ so we can apply *Op-Tau3* to get the result.

(iii) We assume that T is a standard form. We know that $T \xrightarrow{b', \tau! S'} T'$ so suppose

$$T \xrightarrow{b_1, \tau!} U \xrightarrow{b_2, S'} U \xrightarrow{b_3, \varepsilon} T'$$

where $b' = b_1 \wedge b_2 \wedge b_3$. Suppose also that $U? \equiv \sum_{I_?} b_i \gg x \in S_i?U_i$. Then

$$b_2 = \bigwedge_{j \in J} \neg b_j \text{ and } S' = \bigcap_{j \in I_? - J} (Val - S_j)$$

for some discard index $J \subseteq I_?$. We let $B_u = \{b \wedge b_K \mid K \subseteq I_?\}$ be a U -uniform, b partition and observe that whenever $j \in K \cap J$ we have that $b \wedge b_K \models b_j$ and $b \wedge b_K \models b_2 \models \neg b_j$. Reading this contrapositively we have that $b \wedge b_K \neq \mathbf{ff}$ implies $K \cap J = \emptyset$.

Our intention is to prove

$$\mathcal{A}_{op} \vdash b \wedge b_K \triangleright \tau!U = \tau!(U + x \in S??U)$$

by applying axiom *Op-Noisy* (or *ABSURD* when $b \wedge b_K = \mathbf{ff}$) to U for each $b \wedge b_K$. In order to do this we need to show that $S \cap I(b \wedge b_K, U) = \emptyset$ whenever $b \wedge b_K \neq \mathbf{ff}$.

Suppose then that $b \wedge b_K \neq \mathbf{ff}$ and suppose for contradiction that $v \in S \cap I(b \wedge b_K, U)$. This means that $v \in S$ and $v \in S_{j_0}$ for some $j_0 \in K$. But $v \in S$ implies that $v \in S' = \bigcap_{j \in I_? - J} (Val - S_j)$, that is $v \notin S_j$ for each $j \in I_? - J$. Therefore $j_0 \notin I_? - J$ and we conclude that $j_0 \in J$, which contradicts $K \cap J = \emptyset$.

We can now apply axiom *Op-Noisy* (ABSURD) for each $b \wedge b_K$ in B_u and then use CUT to obtain

$$\mathcal{A}_{op} \vdash b \triangleright \tau!U = \tau!(U + x \in S?U).$$

Boolean manipulation and part (i) gives

$$\mathcal{A}_{op} \vdash T = T + b \gg \tau!(U + b \gg x \in S?U).$$

So an application of axiom *Op-Tau3* \gg yields

$$\mathcal{A}_{op} \vdash T = T + b \gg x \in S?U.$$

The result follows easily now; if U is T' we are done, otherwise we use part (i) to give

$$\mathcal{A}_{op} \vdash T = T + b \gg x \in S?(U + \tau!T')$$

and apply axiom *Tau2* to finish. □

Theorem 4.7 (*Completeness*)

$$T \cong^b U \text{ implies } \mathcal{A}_{op} \vdash b \triangleright T = U.$$

Proof. We assume standard forms

$$\sum_{i \in I_?} c_i \gg e_i!T_i + \sum_{i \in I_?} c_i \gg x_i \in S_i?T_i$$

and

$$\sum_{j \in J_!} d_j \gg e_i!U_j + \sum_{j \in J_?} d_j \gg x_j \in S_j?U_j$$

for T and U respectively. We modify these forms in the following way: Suppose $z \notin fv(b, T, U)$. For each $i \in I_?$ we have that $T \xrightarrow{c_i, z \in S_i?} T_i[z/x_i]$. Since $T \cong^b U$ we know that there exists a matching $b \wedge c_i \wedge z \in S_i$ -partition, B . Because $z \notin fv(b, c_i)$ we know that each element of B is logically equivalent to something of the form $b' \wedge z \in S_{i_k}$ (for some indexing set K) where $\bigvee b' \equiv b \wedge c_i$ and $\bigcup S_{i_k} = S_i$. We use the axiom *Pattern* to decompose the summand $x_i \in S_i?T_i$ of T into the sum $\sum_{k \in K} x_i \in S_{i_k}?T_i$ and we distribute c_i across this sum. We repeat this for each $i \in I_?$ and also for U .

Having done this T and U enjoy the property that whenever $T \xrightarrow{c_i, x \in S_i} T_i$ there exists a $b \wedge c_i \wedge x \in S_i$ -partition, B , such that for each $b' \in B$ there exists a d, S, U' such that $U \xrightarrow{d, x \in S?} U'$ or $U \xrightarrow{d, \tau!S} U'$ with $b' \models d, S_i \subseteq S$ and $T_i \approx^{b'} U'$. Moreover given any such partition we can transform it into a U -uniform partition by defining

$$B_u = \{b' \wedge d_K \mid b' \in B, K \subseteq J_?\}.$$

It is sufficient, due to symmetry, to prove for every transition $T \xrightarrow{b', \alpha} T'$ that

$$\mathcal{A}_{op} \vdash b \triangleright b' \gg \alpha.T' + U = U$$

where α is of the form $e!$ or $x \in S?$ We show how to deal with the latter, the former being slightly easier.

Fix $i \in I_?$ and consider $T \xrightarrow{c_i, z \in S_i?} T_i[z/x_i]$. We know that there exists a U -uniform, $b \wedge c_i \wedge z \in S_i$ -partition, B_u such that each $b_u \in B_u$ is of the form $b' \wedge z \in S_i$ where the $\{b'\}$ form a $b \wedge c_i$ partition. Furthermore, each b' is of the form $b'_0 \wedge d_K$ for some $K \subseteq J_?$. For each such b_u

there exists a $U \xrightarrow{d_1, \varepsilon} U'' \xrightarrow{d_2, z \in S?} U' \xrightarrow{d_3, \varepsilon} U'$ with $b' \models d_1 \wedge d_2 \wedge d_3$ (in this case we write $b'(?)$) or a $U \xrightarrow{d, \tau!S} U'$ with $b' \models d$, $S_i \subseteq S$ and $T_i[z/x_i] \approx^{b_u} U'$ (in this case we write $b'(\tau :)$). Suppose that we can prove

$$\mathcal{A}_{op} \vdash b_u \triangleright c_i \gg \tau!T_i[z/x_i] + b' \gg \tau!U' = b' \gg \tau!U'$$

for each b' . If U'' differs from U' then it follows from the Derivation Lemma, Part (i) that

$$\mathcal{A}_{op} \vdash U'' = U' + d_3 \gg \tau!U'.$$

From which we deduce

$$\mathcal{A}_{op} \vdash b_u \triangleright c_i \gg \tau!T_i[z/x_i] + b' \gg \tau!U'' = b' \gg \tau!U''$$

by using rule TAU and axiom *Tau2*. Let

$$U^\tau = \sum_{b'(?)} b' \gg \tau!U'' + \sum_{b'(\tau:)} b' \gg \tau!U'$$

and let

$$U^? = \sum_{b'(?)} b' \gg z \in S_i?U'' + \sum_{b'(\tau:)} b' \gg z \in S_i?U'.$$

We have proved that

$$\mathcal{A}_{op} \vdash b_u \triangleright c_i \gg \tau!T_i[z/x_i] + U^\tau = U^\tau$$

for each $b_u \in B_u$. An application of CUT will give us that

$$\mathcal{A}_{op} \vdash b \wedge c_i \wedge z \in S_i \triangleright c_i \gg \tau!T_i[z/x_i] + U^\tau = U^\tau$$

and then INPUT \gg yields

$$\mathcal{A}_{op} \vdash b \wedge c_i \triangleright c_i \gg z \in S_i?T_i[z/x_i] + U^? = U^?.$$

We know that, provided we can establish the hypothesis that $S_i \subseteq I(b', U)$, the Derivation Lemma, Part (ii), gives us that

$$\mathcal{A}_{op} \vdash U = U + b' \gg z \in S_i?U'$$

in the case that $b'(\tau :)$, and that

$$\mathcal{A}_{op} \vdash U = U + b' \gg z \in S_i?U''$$

for $b'(?)$. Adding these together we establish $\mathcal{A}_{op} \vdash U = U + U^?$ whence

$$\mathcal{A}_{op} \vdash b \wedge c_i \triangleright c_i \gg z \in S_i?T_i[z/x_i] + U = U.$$

Application of GUARD and α -CONV will then give the result required.

So let us establish the hypotheses of the Derivation Lemma. Now $b' \models b$ so $T \cong^{b'} U$. We consider the discard from U with discard index $J_? - K$ ($b' = b'_0 \wedge d_K$), viz

$$U \xrightarrow{b_{dc}, S_{dc}:} U.$$

where $b_{dc} = \bigwedge_{j \in J_? - K} \neg d_j$ and $S_{dc} = \bigcap_{j \in K} (Val - S_j)$. This must be matched by $T \xrightarrow{b^*, S^*} T$ where

$$b' \wedge b_{dc} \models b^* \text{ and } S^* = S_{dc}.$$

We know that $b' \models c_i$ and therefore $b^* \not\models \neg c_i$. This means that i is not in the discard index of $T \xrightarrow{b^*, S^*} T$ which in turn means that $S_i \subseteq (Val - S^*)$. But $Val - S^* = Val - S_{dc} = \bigcup_{j \in K} S_j = I(b', U)$ so we have $S_i \subseteq I(b', U)$.

We also fulfil our obligation in proving

$$\mathcal{A}_{op} \vdash b_u \triangleright c_i \gg \tau!T_i[z/x_i] + b' \gg \tau!U' = b' \gg \tau!U'.$$

For convenience let T' denote $T_i[z/x_i]$. We know that $T' \approx^{b_u} U'$ so we can apply the Decomposition Theorem 4.5 to obtain a b_u -partition, B' which is both T' and U' -uniform such that for each $b'' \in B'$ one of three cases holds. We aim to prove that

$$\mathcal{A}_{op} \vdash b'' \triangleright \tau!T' = \tau!U'$$

for each $b'' \in B'$. We consider the case

$$T' + x \in S?T' \cong^{b''} U' + x \in S'?U' + \tau!U'$$

($S = I(b'', U') - I(b'', T')$, $S' = I(b'', T') - I(b'', U')$) as an example, the other cases can be dealt with similarly. If S is empty then we have that

$$T' \cong^{b''} U' + x \in S'?U' + \tau!U'$$

so induction and TAU give

$$\mathcal{A}_{op} \vdash b'' \triangleright \tau!T' = \tau!(U' + x \in S'?U' + \tau!U').$$

We obtain the result using *Op-Noisy* and *Tau1*. Assume then that S is not empty. We cannot apply induction immediately because the joint depths of the terms has not decreased. However, the Decomposition Theorem 4.5 gives terms T'' and U'' such that $d(T'') < d(T')$, $d(U'') < d(U')$, $T'' \approx^{b''} T'$ and $U'' \approx^{b''} U'$. Without loss of generality we assume that $d(T'') \leq d(U'')$. By induction it follows that $\mathcal{A}_{op} \vdash b'' \triangleright \tau!T' = \tau!T''$. Whence $\mathcal{A}_{op} \vdash b'' \triangleright z \in S?T' = z \in S?T''$ by INPUT. It is clear that

$$T' + x \in S?T'' \cong^{b''} U' + x \in S'?U' + \tau!U'$$

and so induction is applicable here yielding

$$\mathcal{A}_{op} \vdash b'' \triangleright T' + x \in S?T'' = U' + x \in S'?U' + \tau!U'.$$

Using the previous result we can substitute T' for T'' and apply TAU and axiom *Op-Noisy* to get

$$\mathcal{A}_{op} \vdash b'' \triangleright \tau!T' = \tau!(U' + x \in S'?U' + \tau!U').$$

The result follows as in the case where S is empty. Application of CUT and *Idemp* will now yield

$$\mathcal{A}_{op} \vdash b_u \triangleright \tau!T' + \tau!U' = \tau!U'.$$

Recall that $b_u = b' \wedge z \in S_i$ where the $\{b'\}$ form a $b \wedge c_i$ partition. We use Boolean manipulation to infer

$$\mathcal{A}_{op} \vdash b_u \triangleright c_i \gg \tau!T' + b' \gg z \in \tau!U' = b' \gg \tau!U'.$$

□

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