Products of CW complexes the full story

Andrew Brooke-Taylor



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So, focus on *CW complexes*: spaces built up by gluing on Euclidean discs of higher and higher dimension.

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For  $n \in \mathbb{N}$ , let

- $D^n$  denote the closed ball of radius 1 about the origin in  $\mathbb{R}^n$  (the *n*-disc),
- $D^n$  its interior (the open ball of radius 1 about the origin), and
- $S^{n-1}$  its boundary (the n-1-sphere).

A Hausdorff space X is a *CW complex* if there exists a set of continuous functions  $\varphi_{\alpha}^{n}: D^{n} \to X$  (*characteristic maps*), for  $\alpha$  in an arbitrary index set and  $n \in \mathbb{N}$  a function of  $\alpha$ , such that:

•  $\varphi_{\alpha}^{n} \upharpoonright \vec{D}^{n}$  is a homeomorphism to its image, and X is the disjoint union as  $\alpha$  varies of these homeomorphic images  $\varphi_{\alpha}^{n}[\vec{D}^{n}]$  ("cells").

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- Closure-finiteness: For each φ<sup>n</sup><sub>α</sub>, φ<sup>n</sup><sub>α</sub>[S<sup>n-1</sup>] is contained in finitely many cells all of dimension less than n.

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- Weak topology: A set is closed if and only if its intersection with each closed cell φ<sup>n</sup><sub>α</sub>[D<sup>n</sup>] is closed.

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We often denote  $\varphi_{\alpha}^{n}[D^{\circ}]$  by  $e_{\alpha}^{n}$ .

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# Trouble in paradise

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### Convention

In this talk,  $X \times Y$  is always taken to have the product topology, so " $X \times Y$  is a CW complex" means "the product topology on  $X \times Y$  is the same as the weak topology".

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Let X be the "star" with a central vertex  $x_0$  and countably many edges  $e_{X,n}^1$   $(n \in \mathbb{N})$  emanating from it (and the countably many "other end" vertices of those edges).

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Consider the subset of  $X \times Y$ 

$$H = \left\{ \left(\frac{1}{f(n)+1}, \frac{1}{f(n)+1}\right) \in e_{X,n}^1 \times e_{Y,f}^1 : n \in \mathbb{N}, f \in \mathbb{N}^{\mathbb{N}} \right\}$$

where we have identified each edge with the unit interval, with 0 at the centre vertex.

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Then  $\left(\frac{1}{g(k)+1}, \frac{1}{g(k)+1}\right) \in U \times V \cap H$ . So in the product topology,  $(x_0, y_0) \in \overline{H}$ .

A subcomplex A of a CW complex X is what you would expect.

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A subcomplex A of a CW complex X is a subspace which is a union of cells of X, such that if  $e_{\alpha}^{n} \subseteq A$  then its closure  $\bar{e_{\alpha}^{n}} = \varphi_{\alpha}^{n}[D^{n}]$  is contained in A.

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### Definition

Let  $\kappa$  be a cardinal. We say that a CW complex X is *locally less than*  $\kappa$  if for all x in X there is a subcomplex A of X with fewer than  $\kappa$  many cells such that x is in the interior of A. We write *locally finite* for locally less than  $\aleph_0$ , and *locally countable* for locally less than  $\aleph_1$ .

### Proposition

If  $\kappa$  is a regular uncountable cardinal, then a CW complex W is locally less than  $\kappa$  if and only if every connected component of W has fewer than  $\kappa$  many cells.

### Proof sketch.

 $\Leftarrow$  is trivial. For  $\Rightarrow$ , given any point *w*, recursively fill out to get an open (hence clopen) subcomplex containing *w* with fewer than  $\kappa$  many cells, using the fact that the cells are compact to control the number of cells along the way.

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Theorem (J.H.C. Whitehead, 1949)

If X or Y is locally finite, then  $X \times Y$  is a CW complex.

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Theorem (J.H.C. Whitehead, 1949)

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Theorem (J. Milnor, 1956)

If X and Y are both (locally) countable, then  $X \times Y$  is a CW complex.

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Theorem (J. Milnor, 1956)

If X and Y are both (locally) countable, then  $X \times Y$  is a CW complex.

Theorem (Y. Tanaka, 1982)

If neither X nor Y is locally countable, then  $X \times Y$  is not a CW complex.

### Theorem (Liu Y.-M., 1978)

Assuming CH,  $X \times Y$  is a CW complex if and only if one of them is locally finite, or both are locally countable.

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Assuming  $\mathfrak{b} = \aleph_1$ ,  $X \times Y$  is a CW complex if and only if one of them is locally finite, or both are locally countable.

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### Question

Can we show, without assuming any extra set-theoretic axioms, that the product  $X \times Y$  of CW complexes X and Y is a CW complex if and only if either

- one of them is locally finite, or
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# Answer (follows from Tanaka's work) No.

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#### Refined question

Can we characterise exactly when the product of two CW complexes is a CW complex, without assuming any extra set-theoretic axioms?

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### Answer (A. B.-T.)

Yes!

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In the argument for Dowker's example, there was a lot of inefficiency — we can do better, with the bigger star Y potentially having fewer edges.

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For this we need to talk about the cardinal  $\mathfrak{b}$ .

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## The cardinal $\mathfrak{b}$

For  $f,g \in \mathbb{N}^{\mathbb{N}}$ , write  $f \leq^* g$  if for all but finitely many  $n \in \mathbb{N}$ ,  $f(n) \leq g(n)$ .

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The bounding number b is the least cardinality of a set of functions that is unbounded with respect to  $\leq^*$ , i.e. such that no one g is  $\geq^*$  them all, i.e.,

$$\mathfrak{b}=\min\{|\mathcal{F}|:\mathcal{F}\subseteq\mathbb{N}^{\mathbb{N}}\wedgeorall g\in\mathbb{N}^{\mathbb{N}}\exists f\in\mathcal{F}
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 $\aleph_1 \leq \mathfrak{b} \leq 2^{\aleph_0},$  and each of

$$\begin{split} &\aleph_1 = \mathfrak{b} < 2^{\aleph_0}, \\ &\aleph_1 < \mathfrak{b} = 2^{\aleph_0}, \\ &\aleph_1 < \mathfrak{b} < 2^{\aleph_0}, \text{ and of course} \\ &\aleph_1 = \mathfrak{b} = 2^{\aleph_0} \text{ (CH)} \end{split}$$

is consistent with ZFC.

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# Example (Dowker, 1952)

Let X be the "star" with a central vertex  $x_0$  and countably many edges  $e_{X,n}^1$   $(n \in \mathbb{N})$  emanating from it (and the countably many "other end" vertices of those edges).

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Consider the edge  $e_{Y,f}^1$  of Y:

Let  $k \in \mathbb{N}$  be such that  $\frac{1}{f(k)+1} \in e_{Y,f}^1 \cap V$  and f(k) > g(k).

Then  $\left(\frac{1}{f(k)+1}, \frac{1}{f(k)+1}\right) \in U \times V \cap H$ . So in the product topology,  $(x_0, y_0) \in \overline{H}$ .

Andrew Brooke-Taylor (Leeds)

Is this harder-working Dowker example optimal?

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Yes!

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### Theorem (A.B.-T.)

Let X and Y be CW complexes. Then  $X \times Y$  is a CW complex if and only if one of the following holds:

- X or Y is locally finite.
- **2** One of X and Y is locally countable, and the other is locally less than  $\mathfrak{b}$ .

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#### Proof

The forward direction was actually done by Tanaka (1982).

So it remains to show that if X and Y are CW complexes such that X is locally countable and Y is locally less than  $\mathfrak{b}$ , then  $X \times Y$  is a CW complex.

By the Proposition earlier, we may assume that X has countably many cells and Y has fewer than b many cells.

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Any compact subset of a CW complex X is contained in finitely many cells, and each closed cell  $\bar{e}_{\alpha}^{n}$  is compact. So requiring X to have the weak topology is equivalent to requiring that the topology be *compactly generated*: a set is closed if and only if its intersection with every compact set is closed.

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We can also restrict to those compact sets which are continuous images of the space  $\{1/n : n \in \mathbb{N}\} \cup \{0\}$  (equivalently, of the space  $\omega + 1$ ).

#### Definition

A topological space Z is *sequential* if for every subset C of Z, C is closed if and only if C contains the limit of every convergent (countable) sequence from C.

Any compact subset of a CW complex X is contained in finitely many cells, and each closed cell  $\bar{e}_{\alpha}^{n}$  is compact. So requiring X to have the weak topology is equivalent to requiring that the topology be *compactly generated*: a set is closed if and only if its intersection with every compact set is closed.

We can also restrict to those compact sets which are continuous images of the space  $\{1/n : n \in \mathbb{N}\} \cup \{0\}$  (equivalently, of the space  $\omega + 1$ ).

#### Definition

A topological space Z is *sequential* if for every subset C of Z, C is closed if and only if C contains the limit of every convergent (countable) sequence from C.

Any sequential space is compactly generated. Since  $D^n$  is sequential for every n, we have that CW complexes are sequential.

Need to show:  $X \times Y$  is sequential.

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Need to show:  $X \times Y$  is sequential. So we suppose  $H \subset X \times Y$  is sequentially closed and  $(x_0, y_0) \in X \times Y \setminus H$ , and show we can construct open neighbourhoods U of  $x_0$  in X and V of  $y_0$  in Y such that  $(U \times V) \cap H = \emptyset$ .

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#### Basic idea

The construction is essentially by simultaneous induction on cell number on the X side (after enumerating the cells of X in a reasonable order) and dimension on the Y side.

For each new cell  $e_{\alpha}$  that you consider on the Y side, you get a function  $f_{\alpha} : \mathbb{N} \to \mathbb{N}$  defining an open set on the X side avoiding H. Since there are fewer than b many  $\alpha$ , they can be eventually dominated by a single function f, with respect to which the  $e_{\alpha}$  part of the neighbourhood can be chosen.

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Naïvely implemented, that doesn't work ( $f_{\alpha} \leq f$  isn't enough), but with the right bookkeeping it does.

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