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| **STRICTLY PRIVATE AND CONFIDENTIAL**  **NOT FOR FURTHER DISCLOSURE**  **ANIMAL WELFARE AND ETHICAL REVIEW BODY (AWERB)**  **PRE-APPLICATION FORM**  ***TO DETERMINE FULL ETHICS APPROVAL OF A RESEARCH PROJECT INVOLVING ANIMALS BUT NOT REQUIRING A LICENCE UNDER THE ANIMALS (SCIENTIFIC PROCEDURES) ACT 1986***  A core function of the AWERB[[1]](#footnote-1) is to assess the potential harm to animals and balance these against the likely benefits of the research for each project. The AWERB requires information on what will happen to the animals during all stages of the project and what the animals are likely to experience as a result.  This form will help us assess if the project you are intending to undertake is of low or high risk, both in terms of to the animals being used in the research and the regulatory compliance the University upholds.  Please complete this form to the best of your knowledge and send to AWERB ([awerb@sussex.ac.uk](mailto:awerb@sussex.ac.uk)) and we will reply shortly whether this form is sufficient to grant ethical approval or if a full application is required.  **IF YOUR RESEARCH INVOLVES THE STUDY OF ANIMALS REGULATED BY THE ANIMALS (SCIENTIFIC PROCEDURES) ACT 1986 (ASPA) PLEASE DO NOT COMPLETE THIS FORM AND GO DIRECT TO A FULL AWERB APPLICATION** <http://www.sussex.ac.uk/staff/research/governance/erp_overview/animals>  **All applications should be completed giving consideration to the** [**ARRIVE guidelines**](https://nc3rs.org.uk/arrive-guidelines) **and the** [**NC3Rs experimental design assistant**](https://www.nc3rs.org.uk/experimental-design-assistant-eda) |

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| **Name(s) and role(s) of researcher(s):** |
| ***(For student projects only)* Name(s) and role(s) of supervisor(s):** |
| **School of Study:** |
| **Title of research project or class practical including module code:** |
| **Date of application (to be reviewed annually):** |
| **Proposed start date and duration of research:** |
| **Brief Summary** (Use this space to briefly introduce and summarise the work you wish ethically approved)  **Summary:**  **Significant references with link (i.e. methods employed in your research):** |

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| **General information**  Please tick options as appropriate:   1. **Is the proposed activity for teaching  or research ?** 2. **Is the activity exclusively lab based (inc. CT rooms, greenhouses etc.)/ exclusively field based / both lab and field based?** 3. **Is the proposed activity UK based  or Overseas ?**   (If you have ticked the Overseas option, please complete the relevant section below)   1. **Does the activity involve birds , invertebrates , fish , mammals , reptiles and amphibians** ? Other (please specify) :…………………………………………………….   (If you have ticked any of the animal groups above please complete the relevant section below)   1. **Licences or Permission**   Are you aware of any specific licences or permissions you required to undertake this type of work in the proposed location? If so, please list and mark if you have these licences/permissions already:  [ ] Have Licence/Permission? Yes  No  [ ] Have Licence/Permission? Yes  No  (If you are unsure about any of the questions in this box, please speak to the Technical representative for EBE on ext. 6967) |
| **Overseas Work** (only complete if activity will occur outside of the UK)  If working Overseas, please evidence awareness of relevant legislation or regulations relating to your work:  **Does the activity involve access to resources that fall under the Nagoya Protocol?**  <https://www.cbd.int/abs/about/> **Yes  No**  **If yes to above, please specify what agreements with local bodies/organisations/governments are in place to ensure compliance with the Nagoya Protocol**: |
| **Please complete the section(s) relevant to your activity, ticking what methods you will be employing:** |
| **Activities involving the study of Birds**  **Please list species involved:**  Require a wildlife licence (UK only) <https://www.gov.uk/guidance/wildlife-licences>  Observation  Netting  Ringing  Radio / GPS tracking  Other (please specify): ☐…………………………………………………………………………………………………………………. |
| **Activities involving the study of Invertebrates**  **Please list species involved:**  Require a wildlife licence (UK only) <https://www.gov.uk/guidance/wildlife-licences>  Observation  Live capture and release  Capture and kept in captivity  Capture and death  Other (please specify): ☐…………………………………………………………………………………………………………………. |
| **Activities involving the study of Fish**  **Please list species involved:**  Require a wildlife licence (UK only) <https://www.gov.uk/guidance/wildlife-licences>  Observation  Live capture and release  Capture and kept in captivity  Other (please specify): ☐…………………………………………………………………………………………………………… |
| **Activities involving the study of Mammals**  **Please list species involved:**  Require a wildlife licence (UK only) <https://www.gov.uk/guidance/wildlife-licences>  Field observations  Camera traps  Radio / GPS tracking  Small Mammal Trapping  Capture and kept in captivity  Bat netting/ringing  Other (please specify): ☐…………………………………………………………………………………………………………… |
| **Activities involving the study of Reptiles and Amphibians**  **Please list species involved:**  Require a wildlife licence (UK only) <https://www.gov.uk/guidance/wildlife-licences>  Observation  Radio / GPS tracking  Live capture and release  Capture and kept in captivity  Other (*please specify*):  ☐…………………………………………………………………………………………………………… |

**How do I apply for ethical review?**

The University has two main routes for ethical approval, the Cross Schools Research Ethics Committee (C-REC) for ***human*** related research and the Animal Welfare and Ethical Review Body (AWERB) for ***animal*** work. If research involves both humans and animals, only a single application needs to be made as the two groups will check with each other to ensure overall approval.

In terms of the AWERB, it is important to note that all research and teaching activity that involves animals should undergo ethical review before commencing. This includes:

• Research and teaching activities with animals that falls both within and outside of definitions provided in the Animal Special Procedure Act (ASPA)

• Observational research (both on and off campus)

• Research with animals undertaken by collaborators both in the UK or overseas on research projects or studies for which the University is the lead and/or grant holder

• Activities that may be related to ‘performance’ or creative work

• Studies that may involve researcher interaction with both human and animals that may necessitate ethical review of both or either of the AWERB and a Cross-Schools Research Ethics Committee (or related ethical review process). In such an eventuality the Research Governance Office (rgoffice@sussex.ac.uk) will advise.

• Research and teaching activities with animals undertaken by all researchers and lecturers (staff and students)

**How to apply to the AWERB?**

The level of approval required from the AWERB will depend on the ‘severity’ or ‘risks’ associated with the work proposed. To assess if a full application is needed a pre-application assessment form has been developed (attached). Blanket approval for specific methods used in a variety of projects can also be applied for, e.g. camera trapping, insect sampling and behavioural assays.

1. <http://www.sussex.ac.uk/staff/research/governance/erp_overview/animals> [↑](#footnote-ref-1)