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The role of dopamine transporter (*SLC6A3*) and dopamine D2 receptor/ankyrin repeat and kinase domain containing 1 (*DRD2/ANKK1*) gene polymorphisms in personality traits

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ABSTRACT

Variations in personality traits are caused by interactions between multiple genes of small effect and environmental factors. To date, gender- and ethnicity-specific variations in personality have been established. In the present study, we aimed to test: 1) the effects of four polymorphisms of dopamine system genes: *ANKK1/DRD2 Taq1A, DRD2 rs6275, SLC6A3 40-bp VNTR* and *rs27072*, on personality traits; 2) whether these effects differ between men and women and between Russians and Tatars. A sample of 652 healthy individuals (222 men and 430 women) of Caucasian origin (233 Russians and 419 Tatars) from Russia was subjected to personality traits assessment with Eysenck Personality Inventory (EPI) and Temperament and Character Inventory-125 (TCI-125). The associations between each personality trait and polymorphisms were assessed with regression models adjusted for gender and ethnicity. There were significant effects of *ANKK1/DRD2 Taq1A* on Neuroticism (p = 0.016) and of *SLC6A3 rs27072* on Persistence (p = 0.021) in both genders. The association between *ANKK1/DRD2 Taq1A* A2/A2-genotype and higher Novelty Seeking and lower Reward Dependence was shown in men only (p for gender interaction = 0.018). In women only, there was a significant association between *SLC6A3* 10R*G-haplotype and higher Persistence (p = 0.002). Our findings provide evidence for a modifying effect of gender on the associations between dopamine system genes and approach-related traits (in men) and Persistence (in women).

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1. Introduction

Personality traits are complex phenotypes affected by interactions of multiple genes of small effect with environmental factors. According to the psychobiological model, dopaminergic system represents the neurobiological basis for approach-related traits in general, and for Novelty Seeking (NS) in particular (Cloninger et al., 1993).

Dopamine D2 receptor gene (*DRD2*) (11q22-23) is a candidate for reward-related psychiatric disorders and personality traits. The most frequently examined single nucleotide polymorphism (SNP) is the Taq1A (rs1800497, or 32806C>T) (Grandy et al., 1989) located in the 3'-untranslated region of DRD2 (10 kB downstream of the last exon), and actually residing in a neighbouring ankyrin repeat and kinase domain containing 1 gene (ANKK1) and causing an amino acid substitution within the 11th ankyrin repeat of the ANKK1 (Glu713Lys), which might affect substrate-binding specificity of the gene product (Neville et al., 2004). Taq1A is a marker of functional differences of both DRD2 (Zhang et al., 2007) and ANKK1 (Hoenicka et al., 2010). For instance, diminished D2 receptor binding has been reported for Taq1A minor A1-allele in the majority of studies (Jönsson et al., 1999; Noble et al., 1991; Pohjalainen et al., 1998a; Ritchie and Noble, 2003; Thompson et al., 1997). Recently, the group of authors suggested a potential relationship of ANKK1 gene with the dopaminergic system based on the upregulation of ANKK1 mRNA level in mouse astrocyte cultures by apomorphine (Hoenicka et al., 2010). In addition, it has been hypothesized that the ANKK1 gene can be probably involved in the dopaminergic reward pathway through signal transduction (or other cellular response) (Neville et al., 2004), since genes of related function are sometimes found clustered together.

According to molecular-genetic studies, *Taq1A* A1-allele carriers have more tendencies for "reward-related psychiatric disorders", including alcohol, opioid and nicotine addiction (Hietala et al., 1997;

Abbreviations: ADHD, Attention Deficit Hyperactivity Disorder; *ANKK1*, Ankyrin repeat and kinase domain containing 1 gene; ANOVA, One-way analysis of variance; DRD2, Dopamine D2 receptor gene; EPI, Eysenck Personality Inventory; FDR, False discovery rate; HA, Harm Avoidance; NS, Novelty Seeking; PAAG, Polyacrylamide gel; PCR, Polymerase chain reaction; RD, Reward Dependence; *SLC6A3*, Dopamine transporter gene; SNP, Single nucleotide polymorphism; TCI, Temperament and Character Inventory; *VNTR*, Variable number of tandem repeats.

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Ishiguro et al., 1998; Noble, 2003), pathologic gambling (Comings et al., 1996), hyperactive and impulsive symptoms (Rowe et al., 1999) and compulsive smoking habits (Hamajima et al., 2002). Moreover, the associations between A1-allele and higher NS (or sensation seeking) (Berman et al., 2002; Lin et al., 2007), higher Dependence (RD4 subscale of RD) (Lee et al., 2003), and increased reward responsiveness (Lee et al., 2007) have been reported. Synonymous substitution 939C>T (*His313His*) (rs6275) in exon 6 of *DRD2* gene could be functional: for instance, the presence of G or C nucleotides in the last nucleotide in the codon could result in an increased gene expression (Sarkar et al., 1991). Molecular-genetic studies involving *DRD2* rs6275 demonstrated an association of N1-allele (C-allele) or N1/N1-genotype (C/C) with alcoholism (Chen et al., 1997), migraine with aura, depression, anxiety (Peroutka et al., 1998), and schizo-phrenia (Monakhov et al., 2008).

Dopamine transporter gene (SLC6A3) (5p15.3) plays a critical role in the regulation of dopaminergic transmission by mediating active reuptake of dopamine from the synapse into the presynaptic terminal (Giros and Caron, 1993). It has been shown that the putamen dopamine transporter density correlated with detached personality and social desirability scores (Laakso et al., 2000). The 40 bp (base pairs) variable number of tandem repeats (VNTR) in the 3' untranslated region of SLC6A3 gene (SLC6A3 VNTR) was shown to be functional, however increased gene expression was controversially associated either with 10-repeat allele (Fuke et al., 2001; VanNess et al., 2005) or 9-repeat allele (Miller and Madras, 2002). Several studies related dopamine transporter gene 9-repeat allele to severe alcohol withdrawal and alcohol dependence (Köhnke et al., 2005; Samochowiec et al., 2006). The rs27072 (2319G>A), located in 3'-UTR of SLC6A3, has been associated with ADHD (Feng et al., 2005; Galili-Weisstub et al., 2005; Laurin et al., 2007), bipolar disorder (Greenwood et al., 2006) and smoking (Ling et al., 2004). To date there have been no studies of this polymorphism in personality traits. Despite of the absence of data indicating the functional significance of rs27072 polymorphism located in 3'-UTR of SLC6A3 gene, there is some evidence pointing to the fact that sequence variation in the 3'-UTR of the gene could influence transcription, sub-cellular localization of mRNA, translation regulation, and/or maintenance of mRNA stability (Mignone et al., 2002).

Multiple studies indicated that gender could affect dopaminergic neurotransmission caused by hormonal and social influences on the individual during various phases of development (Costa et al., 2000). Thus, females were shown to have lower D2 receptor affinity for dopamine in human studies (Pohjalainen et al., 1998b) and dopamine D2 autoreceptor downregulation caused by estrogens was demonstrated in animal studies (Becker, 1999). Differences in traditions, social norms, and religion, as well as differences in allele frequencies in populations might also affect the personality. Since, very little is known about the role of gender and ethnicity as modifiers of association between dopamine system genes and personality, we aim to test: 1) the effects of four polymorphisms of dopamine system genes: ANKK1/DRD2 TAq1A, DRD2 rs6275, SLC6A3 40-bp VNTR and rs27072, on personality traits in different models; and 2) whether these effects differ between men and women. Since both of the studied subpopulations (Russians and Tatars) belong to Caucasians and possess, for instance, the small percent of East Eurasian mtDNA lineages (Khusnutdinova et al., 2007), the analysis was conducted in the total sample with ethnicity included as a covariate.

2. Method

2.1. Subjects

In total, 652 healthy individuals, college students from Bashkortostan Republic of Russia without any individual or family (a first degree relative) history of psychopathologies based on self-reports, were enrolled. This sample consists of 222 men (mean age \pm SD: 19.86 \pm

2.44 years and age range: 17–25 years) and 430 women (mean age \pm SD: 19.84 \pm 2.41 years and age range: 16–25 years) of Caucasian origin (Russians (N=214) or Tatars (N=388)). Ethnicity was assessed based on self-reports of involved individuals and those who were homogenous for the third generation in subpopulation (Russians or Tatars) were included into the research. The study was approved by the Biological Ethics Committee of Bashkortostan Republic and written inform consent was obtained from all the participants after they were acquainted with all the procedures.

2.2. Psychometric evaluation

Personality traits were assessed using the Russian version of psychological inventories EPI (Eysenck Personality Inventory) and TCI-125 (Temperament and Character Inventory) (Raygorodskiy, 2003). The EPI measures two global personality domains: Extraversion (the tendency to perform high social activity and dominance) and Neuroticism (the tendency to show emotional lability and anxiety). The TCI-125 evaluates 4 temperament traits: Novelty Seeking, Harm Avoidance, Reward Dependence, Persistence, and 3 character traits: Self-directedness, Cooperation and Self-transcendence. Since temperament traits are assumed to be highly heritable and manifest early in development, whereas character traits are thought to be influenced by different socio-cultural factors and change during the lifespan (Cloninger et al., 1993), we evaluated the influence of dopamine system gene polymorphisms on four temperament traits.

2.3. Genotyping

Genomic DNA was isolated from the whole blood using a standard phenol-chlorophorm technique. PCR primers for *DRD2/ANKK1 Taq1A*, *DRD2 rs6275*, *SLC6A3 VNTR* and *rs27072* were designed as described previously (Vandenbergh et al., 1992; Chen et al., 1997; Ueno et al., 1999). PCR was performed in total volume of 15 µl with 20–50 ng of genomic DNA, Taq polymerase (Silex, Russia).

The conditions for *DRD2/ANKK1 Taq1A* were the following: initial denaturation 94 °C 5 min, 35 cycles consisting of 94 °C 1 min, 50 °C 1 min, 72 °C 1 min, and final extension step on 72 °C 10 min. Subsequently, for allele detection PCR products were accomplished by overnight incubation with 3 U of Taq1 restriction endonuclease (Fermentas, Canada) according to manufacturer's recommendations, resolved in 7% PAAG and visualized by staining with ethidium bromide. After incubation with TaqI, the A1-allele remains intact (310 bp) while the A2-allele is cleaved (130 bp and 180 bp).

The conditions for *DRD2* rs6275 were the following: initial denaturation 94 °C 5 min, 35 cycles consisting of 94 °C 30 s, 59 °C 30 s, 72 °C 1 min, and final extension step on 72 °C 10 min. PCR products were digested by 3 U of Bsp19I restriction endonuclease (Sibenzyme, Russia) according to manufacturer's recommendations resulting in N1-allele (454 bp) and N2-allele (274 and 180 bp).

Thermal PCR conditions for *SLC6A3* rs27072 were the following: initial denaturation 95 °C 5 min, 35 cycles consisting of 95 °C 30 s, 62 °C 30 s, 72 °C 30 s, and final extension step on 72 °C 10 min. Digestion of PCR products was performed by overnight incubation with 3 U of Mspl restriction endonuclease (Fermentas, Canada) according to manufacturer's recommendations. Product sizes for cleaved products were: A-allele = 217 bp and G-allele = 135 bp + 82 bp.

The conditions for *SLC6A3 VNTR* were the following: initial denaturation 94 °C 5 min, 35 cycles consisting of 94 °C 30 s, 68 °C 30 s, 72 °C 1 min 30 s, and final extension step on 72 °C 10 min. PCR products from 7-repeats (363 bp) till 11-repeats (523 bp) differing in 40 bp were observed. Since the frequency of 9-repeats (443 bp) and 10-repeats (483 bp) was the highest, individuals possessing other repeats (<3%) were excluded from the analysis.

2.4. Statistical analysis

Genotype frequencies of *DRD2/ANKK1 Taq1A*, *DRD2 rs6275*, *SLC6A3 rs27072* and *SLC6A3 VNTR* polymorphisms were calculated in the total sample and in gender- and ethnicity-specific groups. One-way analysis of variance (ANOVA) was conducted in order to compare scores of personality measures in each genotype group.

As a next step, the regression models were fitted with independent variables of genotype (recoded as binary variables according to the "dominant" models due to non-additive effect of the studied polymorphisms). The reference groups were: A1-allele for *ANKK1/DRD2 Taq1A*, N2-allele for *DRD2 rs6275*, A-allele for *SLC6A3 rs27072*, and 9R-allele for *SLC6A3 VNTR*; women for gender and Russians for ethnicity.

First, we investigated the main effects of independent variables (gender and ethnicity) on personality traits using univariate regression models (Supplementary material, Table 1S, Model 1), then we included both variables as covariates to test for the main effect of gene polymorphisms (Models 2–5). If the main effect of gene polymorphisms was significant or marginally significant after FDR correction, the further analysis was performed using multivariate regression models to test the interaction effect (polymorphism-by-gender) with inclusion of gender, ethnicity, gene polymorphism as covariates (Models 6–9) (hierarchical regression model). If the interaction terms were marginally significant (p<0.10), the models were tested separately for each gender. The statistical package STATA 9 was used for ANOVA and regression analyses.

We have also performed a gene-based haplotype analysis for two polymorphisms of *ANKK1/DRD2* (*Taq1A* and *rs6275*) and two polymorphisms of *SLC6A3* (*rs27072* and *VNTR*). Haplotype blocks were delineated using the confidence interval method (Gabriel et al., 2002) and a measure of pairwise linkage disequilibrium was obtained with Haploview 4.1 (http://www.broad.mit.edu/mpg/haploview/). Haplotypes with a frequency lower than 1% were excluded from the analysis. The PLINK v.1.07 program (Purcell et al., 2007) was then used to examine the association of specific haplotype with personality traits.

As multiple positive findings were expected and found, false discovery rate (FDR) procedure (Simes procedure) (Benjamini and Hochberg, 1995) was carried out and p-value thresholds were calculated to quantify the joint probability of multiple findings reflecting true associations as opposed to false positives, taking into account all comparisons performed to test our hypotheses. This procedure provides an effective control of type I error rate in the context of multiple correlated tests and has good agreement with the permutation test (Uher et al., 2009). In ANOVA 12 independent tests (due to the number of personality traits equal to 6 and since ANKK1/DRD2 Taq1A is in linkage disequilibrium (LD) with DRD2 rs6275, as well as SLC6A3 rs27072 is in LD with SLC6A3 VNTR) were made (Tables 1 and 2), while in the regression analysis 180 tests were performed (Table 1S). The haplotype analysis conducted in the total sample comprised 18 tests for ANKK1/DRD2 haplotype testing and 24 tests for SLC6A3 haplotype testing, since haplotypes with frequencies less than 1% were excluded from the analysis. The multiple comparison-corrected significance thresholds were then calculated as $(k^*0.05)/m$, where m – is the number of statistical tests and k - is the order of the tested hypothesis.

3. Results

The distributions of genotype frequencies for all SNPs were consistent with Hardy–Weinberg equilibrium (P=0.39 for *DRD2/ANKK1 Taq1A*, P=0.96 for *DRD2* rs6275, P=0.71 for *SLC6A3* rs27072, and P=0.051 for *SLC6A3 VNTR*).

Personality scores for each genotype of the investigated polymorphisms (*DRD2/ANKK1 Taq1A*, *DRD2 rs6275*, *SLC6A3 rs27072* and *SLC6A3 VNTR* polymorphisms) in the total sample, and in men and women separately are presented in Table 1. ANOVA conducted in the total sample and in gender-specific groups revealed the trend for differences (after FDR correction) in Neuroticism scores between *ANKK1/DRD2 Taq1A* genotypes in total group and in women (P=0.06 and P_{FDR} = 0.12), in NS scores between *ANKK1/DRD2 Taq1A* genotypes in men (P=0.05 and P_{FDR} = 0.11), and in PS between *SLC6A3 rs27072* genotypes in women (P=0.04 and P_{FDR} = 0.11).

According to post-hoc comparisons (Bonferroni-corrected), *ANKK1/ DRD2 Taq1A* A2/A2-genotype carriers demonstrated the tendency for scoring lower on Neuroticism (P=0.054 in the total sample and P=0.11 in women) compared to A1/A2-genotype carriers; while males with A2/A2-genotype tended to score higher on NS (P=0.11) compared to those with A1/A1-genotype, however these results were not statistically significant.

No differences in personality scores between genotypes of the investigated SNPs were observed in either Tatars or Russians (Table 2).

3.1. Regression analysis

The subsequent regression analysis demonstrated that gender had statistically significant effects on Neuroticism (P<0.001 and $P_{FDR} = 0.005$), NS (P<0.001 and $P_{FDR} = 0.005$), and RD (P<0.001 and $P_{FDR} = 0.005$) (Model 1). Ethnicity had statistically significant effects on Extraversion (P<0.001 and $P_{FDR} = 0.005$), Neuroticism (P=0.011 and $P_{FDR} = 0.041$), NS (P=0.010 and $P_{FDR} = 0.041$), and HA (P=0.013 and $P_{FDR} = 0.041$) (Model 1, Table 1S).

The regression analysis conducted with gender and ethnicity inclusion as covariates under the dominant models of gene polymorphisms revealed a statistically significant (after FDR correction) effect of *DRD2/ANKK1 Taq1A* on Neuroticism (P = 0.016, Coef. = 0.826; 95% CI: 0.15 and 1.49; and P_{FDR} = 0.045) (Table 1S, Model 2), with the A1-allele having the highest score (Table 1S). R-square estimates point to the proportions of variance of independent variables contributing to personality trait. We revealed that the model considering simultaneous influence of gender, ethnicity and *ANKK1/DRD2 Taq1A* polymorphism accounted for 7.3% of variance in Neuroticism with *ANKK1/DRD2 Taq1A* polymorphism main effect equal to 4.2%.

The model adjusted for gender and ethnicity with Persistence as an outcome demonstrated that the effect of *SLC6A3* rs27072 genotype remained significant (P=0.021; Coef. = -0.236; 95% CI: -0.43 and -0.03; and P_{FDR}=0.049), with the G/G-genotype having the highest score (Table 1S, Model 4). The main effect of *SLC6A3* rs27072 polymorphism contributed to 0.5% of variance in Persistence.

There was a significant *ANKK1/DRD2 Taq1A* polymorphism-bygender interaction effect on NS (P=0.018, Coef. = -1.219; and 95% CI: 0.21 and 2.22) that remained significant after FDR correction (P_{FDR} = 0.047). In men, but not in women, A2/A2-carriers had higher NS score as compared to A1-allele carriers. The model estimating interaction effect of "*ANKK1/DRD2 Taq1A* polymorphism-by-gender" revealed 5.7% of variance in NS. Since polymorphism-by-gender interaction for *ANKK1/DRD2 Taq1A* on NS (P=0.018 and P_{FDR}=0.047) was significant and the trend for this interaction on RD (P=0.043 and P_{FDR}=0.111) was observed (Table 1S), the models were tested separately for each gender.

In men, but not in women, a statistically significant effect of *ANKK1/DRD2 Taq1A* A1-allele on NS and RD was demonstrated: higher NS and lower RD scores ($P_{FDR} = 0.03$ for both) were shown in A2/A2-homozygotes compared with those in A1-allele carriers (Table 3). Among men, scores of NS were estimated to increase by 0.93 in the group of those with *ANKK1* A2/A2-genotype compared to A1-allele carriers, while men bearing A1-allele scored 0.65 higher on RD compared to A2/A2-genotype carriers.

No other significant polymorphism-by-gender interaction effects were shown for EPI- or TCI-measured personality traits.

3.2. LD structure and haplotype analysis

Maximum likelihood analysis of haplotype distributions demonstrated the presence of linkage disequilibrium between ANKK1/DRD2

Table 1

Sample	description l	by genoty	pe of AN	VKK1/DRD2	and SLC6A3	gene	polv	mori	ohisms i	n the	total	samp	le and	in s	zender-	specific •	grour	DS
			C 1 1	/		0												

Polymorphism	Parameter	Total sample			Females			Males		
		A1/A1	A1/A2	A2/A2	A1/A1	A1/A2	A2/A2	A1/A1	A1/A2	A2/A2
		N=30	N=237	N=385	N = 17	N=158	N=255	N = 13	N = 79	N=130
ANKK1/DRD2 Taq1A	EX NEU	$\begin{array}{c} 13.80 \pm 4.18 \\ 13.60 \pm 4.30^{a} \end{array}$	$\begin{array}{c} 13.90 \pm 3.66 \\ 13.86 \pm 4.39 \end{array}$	$\begin{array}{c} 14.03 \pm 3.77 \\ 13.00 \pm 4.47 \end{array}$	$\begin{array}{c} 13.70 \pm 4.66 \\ 15.23 \pm 3.68^{a} \end{array}$	$\begin{array}{c} 14.15 \pm 3.48 \\ 14.62 \pm 4.30 \end{array}$	$\begin{array}{c} 14.12 \pm 3.86 \\ 13.75 \pm 4.16 \end{array}$	13.92 ± 3.63 11.46 ± 4.23	$\begin{array}{c} 13.40 \pm 3.98 \\ 12.35 \pm 4.21 \end{array}$	$\begin{array}{c} 13.86 \pm 3.63 \\ 11.53 \pm 4.71 \end{array}$
	NS HA RD	10.30 ± 3.36 9.43 ± 3.44 7.56 ± 2.02	11.14 ± 3.19 8.93 ± 3.77 7.99 ± 2.32	11.21 ± 3.10 9.00 ± 3.83 7.82 ± 2.44	11.53 ± 3.31 9.47 ± 2.35 7.76 ± 1.78	11.80 ± 3.84 9.24 ± 3.71 8.11 ± 2.33	11.52 ± 3.09 9.19 ± 3.80 8.22 ± 2.50	$8.69 \pm 2.78^{\circ}$ 9.38 ± 4.61 7.31 ± 2.35	9.83 ± 3.48 8.33 ± 3.85 7.74 ± 2.29	10.61 ± 3.04 8.63 ± 3.89 7.03 ± 2.14
	PS	2.53 ± 1.54	2.72 ± 1.27	2.63 ± 1.23	2.41 ± 1.80	2.68 ± 1.30	2.65 ± 1.24	2.69 ± 1.18	2.82 ± 1.21	2.59 ± 1.23
		N1/N1	N1/N2	N2/N2	N1/N1	N1/N2	N2/N2	N1/N1	N1/N2	N2/N2
		N = 247	N=309	N=96	N = 155	N=219	N = 56	N=92	N=90	N = 40
DRD2 rs6275	EX NEU NS HA RD PS	$\begin{array}{c} 14.20 \pm 3.65 \\ 13.12 \pm 4.63 \\ 11.25 \pm 3.21 \\ 8.85 \pm 3.71 \\ 7.87 \pm 2.40 \\ 2.73 \pm 1.24 \end{array}$	$\begin{array}{c} 13.98 \pm 3.84 \\ 13.61 \pm 4.37 \\ 11.26 \pm 3.03 \\ 8.99 \pm 3.78 \\ 7.83 \pm 2.31 \\ 2.61 \pm 1.30 \end{array}$	$\begin{array}{c} 13.38 \pm 3.67 \\ 13.04 \pm 4.23 \\ 10.52 \pm 3.30 \\ 9.44 \pm 3.97 \\ 8.01 \pm 2.55 \\ 2.64 \pm 1.17 \end{array}$	$\begin{array}{c} 14.23 \pm 3.65 \\ 14.25 \pm 4.31 \\ 11.83 \pm 2.94 \\ 9.14 \pm 3.53 \\ 8.14 \pm 2.39 \\ 2.80 \pm 1.31 \end{array}$	$\begin{array}{c} 14.22\pm 3.81\\ 14.11\pm 4.25\\ 11.68\pm 2.97\\ 9.27\pm 3.72\\ 8.10\pm 2.36\\ 2.53\pm 1.30 \end{array}$	$\begin{array}{c} 13.41 \pm 3.77 \\ 13.91 \pm 3.82 \\ 10.85 \pm 3.21 \\ 9.30 \pm 4.13 \\ 8.51 \pm 2.64 \\ 2.71 \pm 1.11 \end{array}$	$\begin{array}{c} 14.15 \pm 3.67 \\ 11.22 \pm 4.55 \\ 10.28 \pm 3.41 \\ 8.36 \pm 3.97 \\ 7.41 \pm 2.36 \\ 2.61 \pm 1.12 \end{array}$	$\begin{array}{c} 13.40 \pm 3.89 \\ 12.42 \pm 4.43 \\ 10.24 \pm 2.96 \\ 8.28 \pm 3.86 \\ 7.20 \pm 2.07 \\ 2.80 \pm 1.29 \end{array}$	$\begin{array}{c} 13.35 \pm 3.57 \\ 11.82 \pm 4.51 \\ 10.05 \pm 3.41 \\ 9.65 \pm 3.77 \\ 7.30 \pm 2.25 \\ 2.55 \pm 1.28 \end{array}$
		A/A	A/G	G/G	A/A	A/G	G/G	A/A	A/G	G/G
		N=27	N=219	N=406	N=20	N=144	N=266	N=7	N=75	N=140
SLC6A3 rs27072	EX NEU NS HA RD PS	$\begin{array}{c} 13.33 \pm 3.30 \\ 13.81 \pm 4.35 \\ 11.11 \pm 2.65 \\ 8.88 \pm 4.01 \\ 8.03 \pm 2.48 \\ 2.51 \pm 1.28 \end{array}$	$\begin{array}{c} 14.35\pm 3.74\\ 13.33\pm 4.60\\ 11.45\pm 3.20\\ 9.04\pm 3.58\\ 7.80\pm 2.34\\ 2.52\pm 1.27\end{array}$	$\begin{array}{c} 13.81 \pm 3.77 \\ 13.31 \pm 4.37 \\ 10.98 \pm 3.14 \\ 8.98 \pm 3.89 \\ 7.89 \pm 2.40 \\ 2.75 \pm 1.25 \end{array}$	$\begin{array}{c} 13.75 \pm 3.32 \\ 14.30 \pm 4.18 \\ 11.35 \pm 2.94 \\ 8.90 \pm 3.86 \\ 8.05 \pm 2.35 \\ 2.25 \pm 1.21^{\circ} \end{array}$	$\begin{array}{c} 14.70\pm 3.67\\ 14.17\pm 4.32\\ 11.97\pm 3.10\\ 9.10\pm 3.66\\ 8.15\pm 2.31\\ 2.50\pm 1.30\\ \end{array}$	$\begin{array}{c} 13.83 \pm 3.79 \\ 14.09 \pm 4.17 \\ 11.44 \pm 2.95 \\ 9.31 \pm 3.73 \\ 8.17 \pm 2.47 \\ 2.77 \pm 1.27 \end{array}$	$12.14 \pm 3.18 \\ 12.42 \pm 4.85 \\ 10.43 \pm 1.51 \\ 8.85 \pm 4.74 \\ 8.00 \pm 3.05 \\ 3.28 \pm 1.25 \\$	$\begin{array}{c} 13.68 \pm 3.79 \\ 11.73 \pm 4.74 \\ 10.45 \pm 3.18 \\ 8.93 \pm 3.45 \\ 7.12 \pm 2.25 \\ 2.56 \pm 1.22 \end{array}$	$\begin{array}{c} 13.79\pm3.75\\ 11.83\pm4.40\\ 10.09\pm3.31\\ 8.35\pm4.10\\ 7.37\pm2.17\\ 2.71\pm1.22 \end{array}$
		9R/9R	9R/10R	10R/10R	9R/9R	9R/10R	10R/10R	9R/9R	9R/10R	10R/10R
		N=38	N=202	N=412	N = 25	N=133	N=272	N = 13	N = 69	N=140
SLC6A3 VNTR	EX NEU NS HA RD PS	$\begin{array}{c} 14.07 \pm 3.40 \\ 13.97 \pm 4.85 \\ 10.70 \pm 3.44 \\ 9.32 \pm 3.83 \\ 8.10 \pm 2.58 \\ 2.35 \pm 1.23 \end{array}$	$\begin{array}{c} 13.78 \pm 3.86 \\ 13.23 \pm 4.08 \\ 11.03 \pm 3.10 \\ 8.78 \pm 3.77 \\ 7.97 \pm 2.38 \\ 2.63 \pm 1.24 \end{array}$	$\begin{array}{c} 14.06\pm3.73\\ 13.33\pm4.58\\ 11.25\pm3.14\\ 9.03\pm3.79\\ 7.81\pm2.36\\ 2.71\pm1.27\\ \end{array}$	$\begin{array}{c} 13.88 \pm 3.61 \\ 14.14 \pm 4.17 \\ 10.77 \pm 3.59 \\ 9.37 \pm 4.17 \\ 8.22 \pm 2.75 \\ 2.22 \pm 1.05 \end{array}$	$\begin{array}{c} 13.79 \pm 3.94 \\ 13.88 \pm 4.11 \\ 11.35 \pm 2.99 \\ 8.77 \pm 3.53 \\ 8.21 \pm 2.44 \\ 2.66 \pm 1.25 \end{array}$	$\begin{array}{c} 14.29 \pm 3.68 \\ 14.25 \pm 4.27 \\ 11.84 \pm 2.93 \\ 9.43 \pm 3.73 \\ 8.14 \pm 2.36 \\ 2.69 \pm 1.32 \end{array}$	$\begin{array}{c} 14.46 \pm 3.04 \\ 13.61 \pm 6.22 \\ 10.53 \pm 3.23 \\ 9.23 \pm 3.16 \\ 7.84 \pm 2.30 \\ 2.61 \pm 1.55 \end{array}$	$\begin{array}{c} 13.75 \pm 3.75 \\ 12.04 \pm 3.76 \\ 10.43 \pm 3.22 \\ 9.07 \pm 4.21 \\ 7.52 \pm 2.22 \\ 2.57 \pm 1.22 \end{array}$	$\begin{array}{c} 13.60\pm 3.81\\ 11.54\pm 4.66\\ 10.09\pm 3.23\\ 8.25\pm 3.81\\ 7.15\pm 2.21\\ 2.73\pm 1.19\end{array}$

EX – Extraversion, NEU – Neuroticism, NS – Novelty Seeking, HA – Harm Avoidance, RD – Reward Dependence, and PS – Persistence. The trend for an association between the genotypes and personality trait was indicated (uncorrected P-value).

^a $P = 0.06 (P_{FDR} = 0.12).$

^b P=0.05 (P_{FDR}=0.11).

^c $P = 0.04 (P_{FDR} = 0.11).$

Taq1A and *DRD2* rs6275 (D' = 0.90) and *SLC6A3* rs27072 and *VNTR* (D' = 0.72).

Haplotype frequencies for *ANKK1/DRD2* (*Taq1A* and rs6275 loci) were 0.396 (A2*N1), 0.375 (A2*N2), and 0.220 (A1*N1), while the frequency of A1*N2 haplotype was less than 1%. With respect to *SLC6A3* haplotypes constructed based on *SLC6A3 VNTR* and rs27072 the following frequencies were demonstrated: 0.596 (10R*G), 0.197 (10R*A), 0.194 (9R*G) and 0.012 (9R*A).

Haplotype analysis revealed an association between *SLC6A3 10R***G*-haplotype and higher Persistence in the total sample (P = 0.002, Coef. = 2.98, $R^2 = 1.4\%$; and $P_{FDR} = 0.048$), as well as in female group (P = 0.002, Coef. = 3.077, $R^2 = 2.2\%$; and $P_{FDR} = 0.040$) that remained significant after FDR correction. No significant haplotypic effect was observed for the investigated gene polymorphisms and EPI-measured traits.

4. Discussion

The present study of *ANKK1/DRD2* and *SLC6A3* genes in personality traits in healthy individuals was based on the results of personality traits measurement with two different inventories: Eysenck Personality Inventory (EPI) and Temperament and Character Inventory (TCI-125). The rationale for the inclusion of both inventories in the study was to test if not only the traits defined by TCI-125 (proposed to be influenced by neurotransmitter systems functioning (Cloninger et al., 1993)), but also EPI-assessed traits could have the neurobiological basis. However,

despite the correlation between the EPI and TCI-defined traits: for instance, both inventories measure approach-related (Extraversion (EPI) and Novelty Seeking (TCI-125)) and anxiety-related (Neuroticism (EPI) and Harm Avoidance (TCI-125)) traits, our findings demonstrated inventory-specific pattern of association. For instance, we observed the association of *ANKK1/DRD2 Taq1A* with Neuroticism (EPI), but not with Harm Avoidance (TCI), while *SLC6A3 rs27072* was associated with Persistence (TCI) only. We also showed *ANKK1/DRD2 Taq1A* polymorphism-by-gender interaction effects on Novelty Seeking (NS) and Reward Dependence (RD): an association of *ANKK1* A1-allele with lower NS and higher RD was indicated in men, but not in women. Moreover, we demonstrated an association between *SLC6A3 10R*G*-haplotype and higher Persistence in women, but not in men.

To the best of our knowledge, this is the first study to investigate association between *SLC6A3 rs27072* polymorphism and personality traits in a healthy population. Previously published findings have demonstrated an association between *SLC6A3 rs27072* A-allele with smoking (Ling et al., 2004) and alcoholism (Ueno et al., 1999). Since smoking (Manchia et al., 2010) and alcoholism (Müller et al., 2008) are both characterized by lower Persistence, these findings seem to be in agreement with our results showing the association of *SLC6A3 rs27072* G/G-genotype and *10R*G*-haplotype and higher Persistence. However, one study showed that *SLC6A3 rs27072* G-allele was over-transmitted to children with ADHD (Galili-Weisstub et al., 2005; Laurin et al., 2007) characterized by lower goal achievement,

Table 2

Sample description by genotype of ANKK1/DRD2 and SLC6A3 gene polymorphisms in ethnicity-specific groups.

Polymorphism	Parameter	Tatars			Russians			
		A1/A1	A1/A2	A2/A2	A1/A1	A1/A2	A2/A2	
		N = 18	N=159	N=242	N = 12	N=78	N = 143	
ANKK1/DRD2 Taq1A	EX	13.83 ± 4.51	13.40 ± 3.54	13.69 ± 3.71	13.75 ± 3.81	14.92 ± 3.72	14.61 ± 3.83	
	NEU	13.61 ± 4.13	14.03 ± 4.44	13.27 ± 4.36	13.58 ± 4.73	13.53 ± 4.31	12.53 ± 4.63	
	NS	10.38 ± 3.69	10.96 ± 3.39	10.83 ± 3.06	10.16 ± 2.94	11.50 ± 2.73	11.86 ± 3.06	
	HA	9.77 ± 3.55	9.05 ± 3.87	9.33 ± 3.91	8.91 ± 3.34	8.71 ± 3.57	8.43 ± 3.63	
	RD	7.44 ± 2.25	8.02 ± 2.41	7.90 ± 2.48	7.75 ± 1.71	7.92 ± 2.14	7.68 ± 2.38	
	PS	2.38 ± 1.54	2.71 ± 1.24	2.71 ± 1.21	2.75 ± 1.60	2.77 ± 1.33	2.48 ± 1.27	
		N1/N1	N1/N2	N2/N2	N1/N1	N1/N2	N2/N2	
		N = 147	N=202	N = 70	N=100	N = 107	N=26	
DRD2 rs6275	EX	13.87 ± 3.65	13.49 ± 3.73	13.25 ± 3.61	14.68 ± 3.62	14.89 ± 3.91	13.73 ± 3.89	
	NEU	13.43 ± 4.53	13.89 ± 4.34	13.01 ± 4.19	12.68 ± 4.76	13.10 ± 4.38	13.11 ± 4.42	
	NS	11.02 ± 3.31	10.97 ± 3.08	10.25 ± 3.36	11.59 ± 3.04	11.81 ± 2.88	11.23 ± 3.10	
	HA	9.08 ± 3.98	9.24 ± 3.84	9.67 ± 3.76	8.52 ± 3.27	8.50 ± 3.65	8.84 ± 4.51	
	RD	7.78 ± 2.57	8.02 ± 2.35	8.01 ± 2.44	8.00 ± 2.15	7.49 ± 2.21	8.00 ± 2.87	
	PS	2.74 ± 1.21	2.69 ± 1.29	2.63 ± 1.17	2.71 ± 1.31	2.47 ± 1.33	2.69 ± 1.22	
		A/A	A/G	G/G	A/A	A/G	G/G	
		N=20	N = 145	N=254	N = 7	N = 74	N = 152	
SLC6A3 rs27072	EX	13.15 ± 3.33	13.90 ± 3.66	13.44 ± 3.71	13.85 ± 3.44	15.23 ± 3.76	14.44 ± 3.81	
	NEU	13.95 ± 4.51	13.54 ± 4.43	13.56 ± 4.36	13.43 ± 4.16	12.93 ± 4.94	12.89 ± 4.38	
	NS	11.05 ± 2.68	11.04 ± 3.41	10.74 ± 3.14	11.28 ± 2.75	12.25 ± 2.62	11.37 ± 3.11	
	HA	9.45 ± 4.27	9.43 ± 3.72	9.12 ± 3.94	7.28 ± 2.81	8.29 ± 3.21	8.73 ± 3.79	
	RD	8.15 ± 2.39	7.74 ± 2.35	8.02 ± 2.50	7.71 ± 2.93	7.91 ± 2.33	7.69 ± 2.22	
	PS	2.65 ± 1.31	2.52 ± 1.25	2.81 ± 1.22	2.14 ± 1.21	2.53 ± 1.33	2.65 ± 1.31	
		9R/9R	9R/10R	10R/10R	9R/9R	9R/10R	10R/10R	
		N = 25	N=122	N=272	N = 15	N=75	N = 143	
SLC6A3 VNTR	EX	13.36 ± 3.34	13.27 ± 3.88	13.75 ± 3.63	15.27 ± 3.28	14.61 ± 3.72	14.64 ± 3.89	
	NEU	14.60 ± 5.14	13.07 ± 4.08	13.71 ± 4.44	12.93 ± 4.31	13.50 ± 4.09	12.61 ± 4.78	
	NS	10.48 ± 3.61	10.71 ± 3.05	10.98 ± 3.26	11.06 ± 3.24	11.54 ± 3.14	11.77 ± 2.86	
	HA	10.20 ± 3.66	8.90 ± 3.90	9.33 ± 3.88	7.87 ± 3.77	8.84 ± 3.59	8.47 ± 3.58	
	RD	8.64 ± 2.74	7.88 ± 2.46	7.89 ± 2.41	7.20 ± 2.11	8.12 ± 2.27	7.64 ± 2.27	
	PS	2.36 ± 1.31	2.68 ± 1.29	2.74 ± 1.21	2.33 ± 1.11	2.56 ± 1.16	2.64 ± 1.41	

EX – Extraversion, NEU – Neuroticism, NS – Novelty Seeking, HA – Harm Avoidance, RD – Reward Dependence, and PS – Persistence.

and

persistence and attention (Yoo et al., 2006), thus is not in line with our finding, although we cannot make a direct comparison between ADHD sample and the sample of healthy individuals. On the other hand, this inconsistence could result from the involvement of another polymorphic marker linked to *rs27072* in personality traits and disorders, for instance *SLC6A3 3'-VNTR*. Since the presence of the nine repeat allele of the *SLC6A3 3'-VNTR* (in linkage with *SLC6A3 rs27072* G allele (Ueno et al., 1999)) has generally been associated with reduced dopamine transporter binding potential (van Dyck et al., 2005) or reduced levels of SLC6A3 transcripts (Brookes et al., 2007), we can suggest that increased dopamine in synapse could be the biological predisposition to enhanced Persistence formation. However, we observed an association of *SLC6A3* 10R*G-haplotype and higher Persistence that seems to be in agreement with findings demonstrated by Samochowiec et al. (2001), since Persistence primarily

Table 3						
Gender-specific	associations	between	ANKK1/DRD2	Taq1A	(dominant	model)
personality trait	s: the mean a	nd SD for	each personal	itv trait	are present	ed.

ersonality	Group,	ANKK1/DRD2 Taq1A g	P-value	
rait	mean \pm SD	A2/A2 homozygotes	A1-allele carriers	
lovelty Seeking	Men Women	10.61 ± 3.04 11.51 ± 3.09	9.67 ± 3.40 11.77 + 2.87	0.03
Reward Dependence	Men Women	7.03 ± 2.14 8.22 ± 2.50	7.68 ± 2.29 8.08 ± 2.28	0.03 0.48
rait Novelty Seeking Reward Dependence	mean ± SD Men Women Men Women	$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	$\begin{array}{c} \mbox{A1-allele carriers} \\ \mbox{9.67} \pm 3.40 \\ \mbox{11.77} \pm 2.87 \\ \mbox{7.68} \pm 2.29 \\ \mbox{8.08} \pm 2.28 \end{array}$	0.03 0.36 0.03 0.48

Significant p-values are in bold.

comprised RD scale: *SLC6A3* 9R/9R-genotype was shown to be associated with decreased scores on one of RD subscales.

Our study supports the role of *ANKK1* gene in Neuroticism, in both men and women, with *ANKK1/DRD2 Taq1A* A1-allele being associated with higher Neuroticism scores. Associations between *ANKK1 Taq1A* polymorphism and anxiety-related traits have been previously demonstrated in healthy subjects (Eisenberg et al., 2007; Hayden et al., 2010; Wacker et al., 2005), as well as in psychiatric patients (Ponce et al., 2003; Joe et al., 2008).

Our findings revealed that men, but not women, carriers of ANKK1 A1-allele scored higher in Reward Dependence (RD). This observation could be explained by the tendency to compensate their decreased dopaminergic neurotransmission by increasing reward-related behavior. It has been suggested that decreased Dopamine D2 receptor availability in the striatum predisposes to reward-related traits and disorders (e.g., substance dependence) (Conner et al., 2010; Dalley et al., 2007) associated with ANKK1/DRD2 Taq1A A1-allele (Berggren et al., 2006; Connor et al., 2008; Noble, 2003). Consistent with our findings, the rewarding value of emotional eating has been observed in ANKK1/DRD2 Taq1A A1-allele carriers (Nisoli et al., 2007; van Strien et al., 2010), as well as ANKK1/DRD2 Taq1A A1-allele gender-specific association with smoking (Zuo et al., 2009), heroin dependence (Hou and Li, 2009), and reward responsiveness (Lee et al., 2007). However, there have been some opposite findings indicating that women carrying one or more copies of the in DRD2 C957T T-allele (associated with higher striatal DRD2 availability in healthy volunteers as in ANKK1/DRD2 Taq1A A2/A2-genotype carriers (Hirvonen et al., 2006)) had higher liability to alcoholism due to lower probability of being

abstinent (Munafò et al., 2009). These inconsistencies might result from influence of sex steroids on dopamine neurotransmission. Despite the multiple findings indicating the role of dopamine neurotransmission in reward-related traits and disorders, no main effect of rs6275 residing directly in *DRD2* gene compared to *Taq1A* polymorphism located in *ANKK1* gene on personality was observed thus confirming recent suggestion of a potential relationship of *ANKK1* gene with the dopaminergic system (Hoenicka et al., 2010).

Initially, we hypothesized that individuals with ANKK1/DRD2 Tag1A A1-allele would have more liability for increased Novelty Seeking (NS), the trait often accompanying addictive behavior. A few published studies reported association between ANKK1/DRD2 Taq1A A1-allele and higher NS scores in men (Noble et al., 1998; Berman et al., 2002; Lin et al., 2007), Extraversion in female-prevalent sample (Smillie et al., 2010), ADHD (characterized by higher NS) (Serý et al., 2006; Drtilkova et al., 2008), and alcohol dependence (Munafò et al., 2007). However, our data revealed the opposite association in men which may be attributed to sex hormone influence: men had markedly greater dopamine release than women in the striatal regions (Munro et al., 2006). One means by which testosterone may increase dopamine release and diminish dopamine transporter density is by upregulating nitric oxide synthase, which produces nitric oxide responsible for the enhanced dopamine release (Hull et al., 1999). Interestingly, our findings indicating lower NS in men with ANKK1/DRD2 Tag1A A1-allele seem to be in agreement with those revealed for male patients with type I alcohol addiction (Ponce et al., 2003; Huang et al., 2007) characterized by low NS, with ANKK1/DRD2 Taq1A A2-allele association with ADHD and compulsive smoking habits (Rowe et al., 1999; Hamajima et al., 2002) both characterized by high NS.

Our findings of the association between ANKK1/DRD2 Taq1A A1/ A1-genotype with lower Novelty Seeking and higher Reward Dependence have a plausible biological explanation. The differences in dopamine release and metabolism have been reported between mesolimbic and cortical versus nigrostriatal dopaminergic systems (Hirvonen et al., 2009): dopamine transporter and D2-like autoreceptor functioning is less efficient in the cortex than in the striatum (Mazei et al., 2002). Thus, the presence of ANKK1/DRD2 Taq1A A1allele resulted in the decreased dopamine D2-receptor density in striatum (Jönsson et al., 1999; Noble et al., 1991; Pohjalainen et al., 1998a; Ritchie and Noble, 2003; Thompson et al., 1997) and the trend for increased D2 density in extrastriatal regions was shown (Hirvonen et al., 2009). It has been suggested that the nucleus accumbens, an area within the ventral striatum, is principally important in the rewarding effects (for a review, see Di Chiara et al., 2004), while dopaminergic activity in the cortical areas can be expected to be increased in subjects with a higher Novelty Seeking score (Zhu et al., 2007), based on the hypothesis that Novelty Seeking uses an activated dopamine system (Cloninger et al., 1993). Moreover, sex differences in the striatal (Pohjalainen et al., 1998b), as well as in mesocorticolimbic (Kaasinen et al., 2001) dopamine system have been observed that probably explains the gender-specific association observed in the present study.

A sex-specific effect of dopamine receptor polymorphisms on temperament is not surprising due to the evidence of putatively estrogen- and testosterone-dependent gender differences in dopaminergic function (Sawada and Shimohama, 2000). For instance, it has been reported that women had higher tendency to major depression (Epperson, 1999), while men demonstrated higher incidence in developing Parkinsonian symptoms (Thompson et al., 1997), in smoking (Lee et al., 2002), in aggressive and impulsive behavior (Strous et al., 2003), ADHD (Biederman et al., 2008), and obsessive–compulsive disorder (Pooley et al., 2007). Moreover, stronger associations of *ANKK1/DRD2 Taq1A* with psychological phenotypes are often seen in men (Berman et al., 2003;) due to the statistical noise generated by the women's cyclic variation in hormonal levels. Our data indicating the involvement of *ANKK1* gene

in NS and RD in males rather than in females could be also explained by the testosterone secretion impact on reinforcing social behaviors, including mating and aggression observed in animals (Forger, 2009).

The present study has a number of methodological strengths including homogeneity of the sample in respect to age and education and occupation levels. Since the present study tested multiple independent hypotheses, we performed correction for multiple testing to decrease the possibility of false positive results under the false discovery rate (FDR) procedure (Simes procedure) (Benjamini and Hochberg, 1995). This procedure provides an effective control of type I error rate in the context of multiple correlated tests and has good agreement with the permutation test (Uher et al., 2009). However, the study has some limitations that should be mentioned. First, association studies of unrelated individuals warrant cautious interpretation as unknown sources of population stratification may affect the results (Hutchison et al., 2004). However, the risk for population stratification in our study is limited since our sample comprised two Caucasian subpopulations and we have adjusted for ethnicity in our regression models that demonstrated no significant effect. Second, the use of selfreports for the assessment of personality may result in over- or underreporting some behavior due to social desirability. To minimize these biases, in the present study, individuals were not allowed to discuss questions or answers with anyone. On the other hand, TCI self-report measures were shown to be the strong predictors of self-reported personality by both peer-report measures and ratings by nonacquainted judges (Grucza and Goldberg, 2007). Finally, the present study involved only four polymorphisms of dopaminergic system genes; however, interaction of genes from different neurotransmitter systems is known to affect variation in personality (Comings et al., 2000).

5. Conclusions

The present results provide useful information for the interpretation of genetic studies exploring the role of *ANKK1/DRD2* and *SLC6A3* gene polymorphisms in personality traits in healthy individuals. Future psychogenetic investigations of personality should seek to replicate and extend the present research, perhaps by examining more thoroughly the role of demographic and also environmental variables on gene–personality relationships.

Supplementary materials related to this article can be found online at doi:10.1016/j.pnpbp.2011.02.013.

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