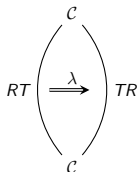
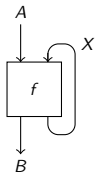
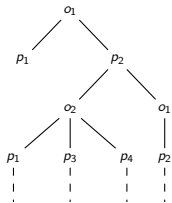


# Games, traces and distributive laws



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University of Cambridge

BLC, 9 September 2017

# Motivation

- Game semantics is a way of modelling computation as a two-player game between a program and its environment.
- Crucially, games and strategies are compositional. But the mathematical details are quite complicated.
- Is there a more natural way to understand strategy composition?

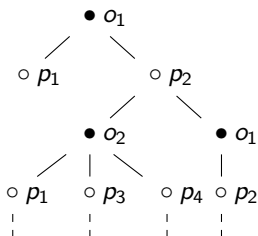
# Talk outline

- Simple categories of games
- From simple games to traces
- More complex categories of games
- Categorical tools - comonads and distributive laws
- From games to traces

# Simple games

A **game**  $A$  consists of:

- Two sets  $P_A$ ,  $O_A$  of Player moves and Opponent moves
- A tree  $T_A$  of moves from  $P_A$  and  $O_A$  specifying the allowable plays, e.g.



Opponent starts and then play alternates.

## Simple games

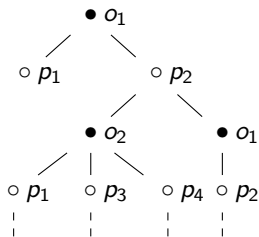
A **history-free strategy**  $\sigma$  for Player in game  $A$  is a choice of move at each point in the game, based on the previous move.

$\sigma$  is a partial function

$$O_A \rightarrow P_A$$

compatible with the game structure  $T_A$ .

e.g.  $\sigma(o_1) = p_2, \sigma(o_2) = p_3, \dots$



## Simple games - constructions on games

- The cogame  $A^\perp$  is  $A$  with the roles of Player and Opponent reversed.  
Opponent moves =  $P_A$ , Player moves =  $O_A$ .
- In the game  $A \otimes B$ , the games  $A$  and  $B$  are played in parallel.  
Opponent chooses which game to start in.  
Opponent moves =  $O_A + O_B$ , Player moves =  $P_A + P_B$ .
- In the game  $A \multimap B$ , the games  $A^\perp$  and  $B$  are played in parallel. Opponent starts in  $B$ , and then Player can choose to switch.  
Opponent moves =  $P_A + O_B$ , Player moves =  $O_A + P_B$ .

## Simple games - strategies

A **strategy**  $\sigma$  from game  $A$  to game  $B$  is a strategy in the game  $A \multimap B$ , i.e.  $\sigma$  is a partial function

$$P_A + O_B \multimap O_A + P_B.$$

For example:

'copycat strategy'  $A \rightarrow A$  is

$$id : P_A + O_A \multimap O_A + P_A.$$

**A**<sup>⊥</sup>

**A**

• $O_1$

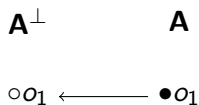
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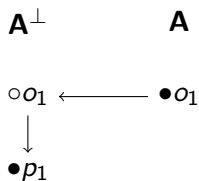
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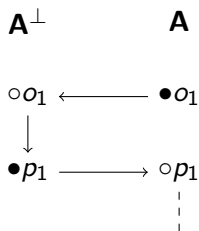
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## Simple games - composition of strategies

Given  $\sigma : A \multimap B$  and  $\tau : B \multimap C$ , the composite  $\tau \cdot \sigma : A \multimap C$  is given by 'parallel composition plus hiding'. e.g.:

$$\begin{array}{cccc} \mathbf{A}^\perp & \mathbf{B} & \mathbf{B}^\perp & \mathbf{C} \\ & & & \bullet_{c_1} \end{array}$$

Games and history-free strategies form a symmetric monoidal closed category **Games**<sub>HF</sub>.

[Abramsky, Jagadeesan, Malacaria 1994].

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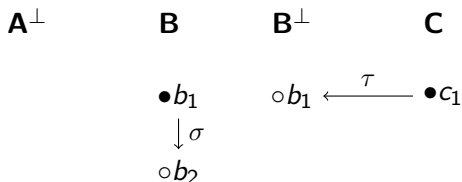
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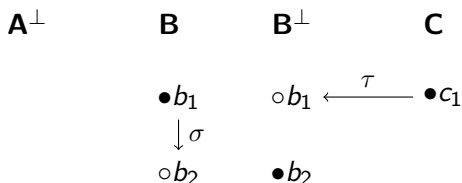


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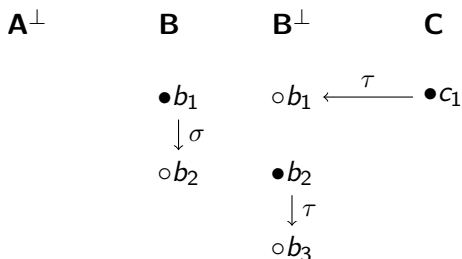


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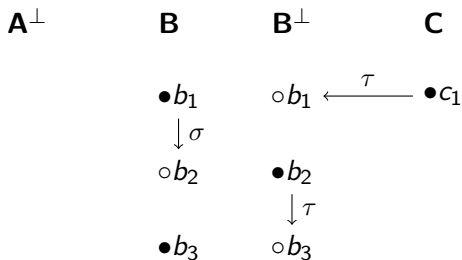
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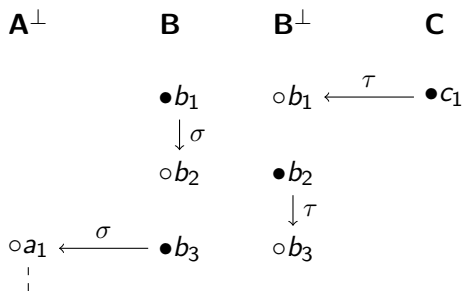


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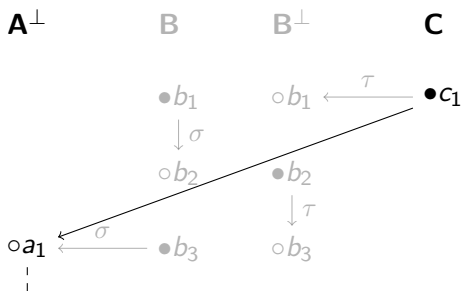


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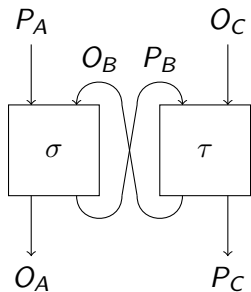
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## Simple games - composition of strategies

$\sigma : A \multimap B$  is a map  $P_A + O_B \multimap O_A + P_B$ ,

$\tau : B \multimap C$  is a map  $P_B + O_C \multimap O_B + P_C$ .



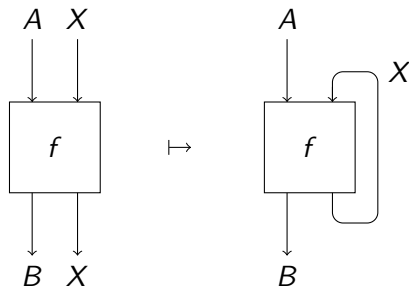
The composite  $\tau \cdot \sigma : A \multimap C$  is a map  $P_A + O_C \multimap O_A + P_C$ .

# Traces

A **trace** on a monoidal category  $(\mathcal{C}, \otimes)$  is a natural family of functions

$$\text{Tr}_{A,B}^X : \mathcal{C}(A \otimes X, B \otimes X) \rightarrow \mathcal{C}(A, B)$$

satisfying some coherence axioms.

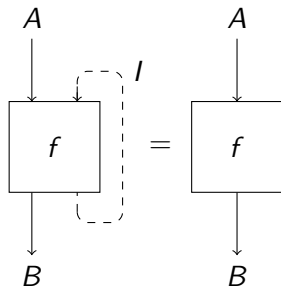


[Joyal, Street, Verity 1996]

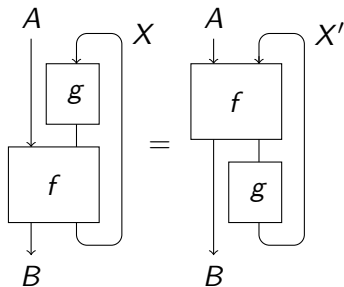
# Traces

Coherence axiom examples:

$$\text{Tr}_{A,B}^I(f) = f$$



$$\text{Tr}_{A,B}^X((1 \otimes g)f) = \text{Tr}_{A,B}^{X'}(f(1 \otimes g))$$



# Traces

Examples:

- In the category of real vector spaces, if  $f$  is a linear map  $U \otimes W \rightarrow V \otimes W$ , then the partial trace  $U \rightarrow V$  is given by

$$(Tr_{U,V}^W(f))_{i,j} = \sum_k f_{i \otimes k, j \otimes k}$$

- In the category **Pfn** of sets and partial functions with  $+$  as tensor, the trace of  $f : A + X \rightarrow B + X$  is

$$Tr(f)(a) = \begin{cases} f^n(a) & \text{if } f^i(a) \in X, i < n \text{ and } f^n(a) \in B \\ & \text{for some } n \\ \perp \text{ (undefined)} & \text{otherwise.} \end{cases}$$

## Traces - the $\text{Int}$ construction

For any traced monoidal category  $(\mathcal{C}, \otimes)$ , there is an associated category  $\text{Int}(\mathcal{C})$  with composition given by the trace in  $\mathcal{C}$ .

$\text{Int}(\mathcal{C})$  is the free **compact closed category** on  $\mathcal{C}$ :

A monoidal category is compact closed if every object  $A$  has a dual  $A^*$  with unit  $\eta : I \rightarrow A^* \otimes A$  and counit  $\epsilon : A \otimes A^* \rightarrow I$ , satisfying some axioms.

Examples:

- Finite dimensional vector spaces
- Sets and relations with  $\times$  as tensor, where  $A^* = A$
- **Pfn** is not compact closed



## Traces - the $\text{Int}$ construction

$\text{Int}(\mathcal{C})$  is the free compact closed category on  $\mathcal{C}$ .

A compact closed category is always monoidal closed and has a canonical trace:

$$\text{Tr}_{A,B}^X(f) = A \xrightarrow{1 \otimes \eta} A \otimes X \otimes X^* \xrightarrow{f \otimes 1} B \otimes X \otimes X^* \xrightarrow{1 \otimes \epsilon} B$$

Compact closed categories form an abstract setting for modelling possibly non-terminating computation.

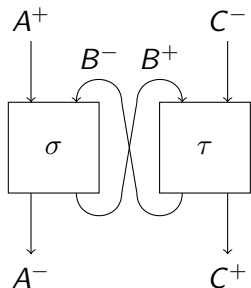
e.g. Geometry of Interaction for linear logic, quantum operators

[Girard 1989], [Abramsky, Haghverdi, Scott 2002]

## Traces - the Int construction

In the category  $\text{Int}(\mathcal{C})$ :

- objects are pairs  $(A^+, A^-)$  of objects in  $\mathcal{C}$
- morphisms  $A \rightarrow B$  are morphisms  $A^+ \otimes B^- \rightarrow A^- \otimes B^+$  in  $\mathcal{C}$
- composition  $A \xrightarrow{\sigma} B \xrightarrow{\tau} C$  is given by tracing out  $B^- \otimes B^+$ .



## From games to traces

Composition of strategies is given by a trace.

Category of games:

Abstract category for computation:

**Games**<sub>HF</sub>



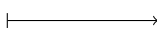
*Int*(**Pfn**)

$A$



$(P_A, O_A)$

$A \xrightarrow{\sigma} B$



$P_A + O_B \xrightarrow{\sigma} O_A + P_B$

This functor is faithful and preserves the monoidal closed structure.

## History-sensitive strategies

A history-free strategy determines Player's moves from the previous move.

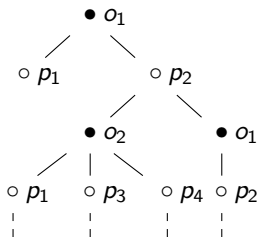
A **history-sensitive strategy**  $\sigma$  determines Player's moves from all the moves so far.

$\sigma$  is a partial function

$$L(O_A) \rightarrow P_A$$

compatible with the game structure  $T_A$ , where  $L(O_A)$  is the set of lists of Opponent moves.

e.g.  $\sigma([o_1]) = p_2, \sigma([o_1, o_2]) = p_3, \dots$



## History-sensitive strategies

Composition of history-sensitive strategies  $\sigma : A \multimap B$  and  $\tau : B \multimap C$  is still parallel composition plus hiding. e.g.:

$$\begin{array}{cccc} \mathbf{A}^\perp & \mathbf{B} & \mathbf{B}^\perp & \mathbf{C} \\ & & & \bullet c_1 \end{array}$$

where  $\tau([c_1]) = b_1$ ,  $\sigma([b_1]) = b_2$ ,  $\tau([c_1, b_1, b_2]) = b_3$ , etc

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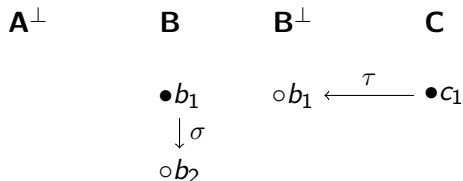
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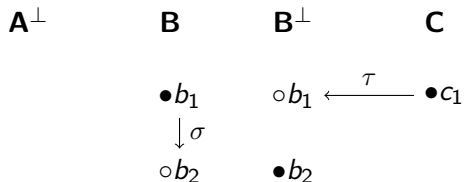


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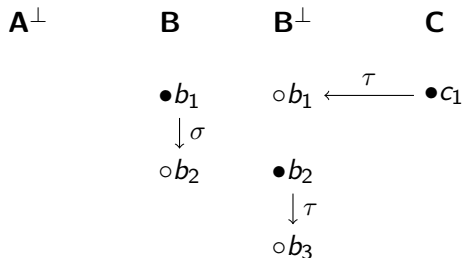
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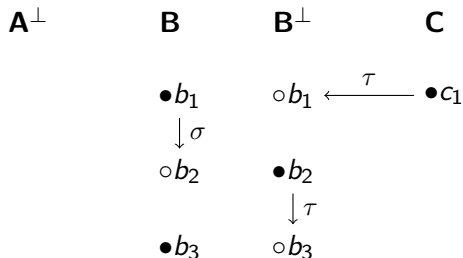
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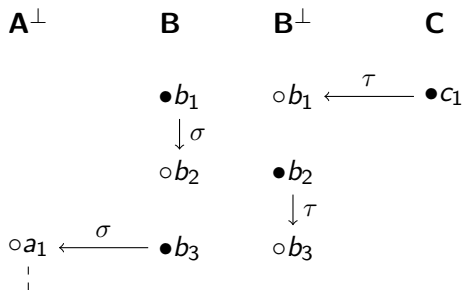
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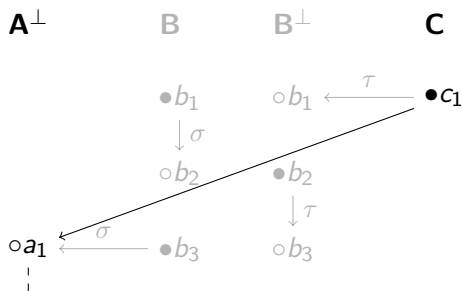
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## From games to traces

Games and history-free strategies form a symmetric monoidal category  $\mathbf{Games}_{HS}$ .

Category of games:

Category for computation:

$\mathbf{Games}_{HF}$   $\longrightarrow$   $Int(\mathbf{Pfn})$

$\mathbf{Games}_{HS}$   $\longrightarrow$   $Int(?)$

## Distributive laws - the list comonad

Let  $L(A)$  be the set of non-empty lists with elements in  $A$ .

$$L(A) = \mu X.(A + A \times X) \quad (\text{least fixed point})$$

$L$  is a comonad on **Set**.

The counit  $\varepsilon$  gives the head of the list:

$$\begin{aligned} L(A) &\xrightarrow{\varepsilon_A} A \\ [a_1, \dots, a_n] &\mapsto a_n \end{aligned}$$

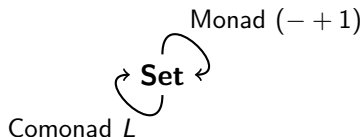
The comultiplication  $\delta$  gives the list of prefixes:

$$\begin{aligned} L(A) &\xrightarrow{\delta_A} LL(A) \\ [a_1, \dots, a_n] &\mapsto [[a_1], [a_1, a_2], \dots, [a_1, \dots, a_n]] \end{aligned}$$

## Distributive laws

History-sensitive strategies are partial functions  $L(A) \multimap B$ .

We have:



- The coKleisli category for the comonad  $L$  has as objects sets and as morphisms total functions  $L(A) \rightarrow B$ .
- The Kleisli category **Pfn** for the lifting monad  $X \mapsto X + 1$  has as objects sets and as morphisms partial functions  $A \multimap B$ .



## Distributive laws

Let  $R$  be a comonad and  $T$  a monad on a category  $\mathcal{C}$ .

$R$  extends to a comonad on  $Kl(T)$  iff there is a **distributive law** of  $R$  over  $T$ ,

i.e. if there exists  $\lambda : RT \Rightarrow TR$  compatible with the monad and comonad structure.

$$\begin{array}{ccc} RTA & \xrightarrow{\lambda_A} & TRA \\ \downarrow \varepsilon_T & & \swarrow T\varepsilon \\ TA & & \end{array}$$

$$\begin{array}{ccc} & & RA \\ & \swarrow R\eta & \downarrow \eta_R \\ RTA & \xrightarrow{\lambda_A} & TRA \end{array} \quad \text{etc}$$

## Distributive laws

There is no distributive law of the list comonad  $L$  over the monad  $T = (- + 1)$ .

There is a natural transformation  $\lambda : LT \Rightarrow TL$  :

$$L(A + 1) \xrightarrow{\lambda_A} L(A) + 1$$
$$[a_1, \dots, a_n] \mapsto \begin{cases} [a_1, \dots, a_n] & \text{if all } a_i \in A \\ \perp & \text{otherwise.} \end{cases}$$

But  $\lambda$  is not compatible with the counit  $\varepsilon$  :

$$L(A + 1) \xrightarrow{\lambda_A} L(A) + 1$$
$$\begin{array}{ccc} \varepsilon \downarrow & \neq \swarrow \varepsilon + 1 & \\ A + 1 & & \end{array}$$

e.g.  $\lambda_A([a_1, \perp]) = \perp \neq a_1$ .

## Distributive laws - near-comonads

A **near-comonad** is a endofunctor  $R$  on  $\mathcal{C}$  with

- a natural transformation  $\delta : R \rightarrow RR$
- a (not necessarily natural) family of morphisms  $\{\varepsilon_A : RA \rightarrow A\}_{A \in \mathcal{C}}$

satisfying the usual axioms of a comonad.

A near-comonad  $R$  has a near-coKleisli category  $coKI(R)$  with

- objects the same as objects of  $\mathcal{C}$
- morphisms from  $A$  to  $B$  those morphisms  $R(A) \xrightarrow{f} B$  in  $\mathcal{C}$  satisfying  $f = \varepsilon_B \circ Rf \circ \delta_A$ .

[Hyland, Nagayama, Power, Rosolini 2006]

## Distributive laws - near-comonads

A near-distributive law is a natural transformation satisfying all the axioms of a distributive law except for compatibility with the counit.

The near-distributive law

$$L(A + 1) \xrightarrow{\lambda_A} L(A) + 1$$

gives an extension of  $L$  to a near-comonad  $\tilde{L}$  on  $KI(- + 1)$ .

The near-comonad  $\tilde{L}$  has a near-coKleisli category  $coKI(\tilde{L})$  with

- objects sets
- morphisms from  $A$  to  $B$  partial functions  $f : L(A) \rightharpoonup B$  such that if  $f$  is defined on a list then it is defined on all prefixes.

## Distributive laws - monoidal structure

The comonad  $L$  is compatible with the tensor  $+$  on **Set**:  
There is a natural transformation

$$L(A + B) \rightarrow L(A) + L(B)$$
$$[x_1, \dots, x_n] \mapsto \begin{cases} [x_i, \dots, x_n] \in L(A) & \text{if } x_n \in A \\ [x_i, \dots, x_n] \in L(B) & \text{if } x_n \in B \end{cases}$$

which commutes with the counit and comultiplication.

This gives  $\text{coKl}(\tilde{L})$  the structure of a symmetric monoidal category with  $+$  as tensor.

The trace on **Pfn** induces a trace on  $\text{coKl}(\tilde{L})$ .

# From games to traces

Category of games:

Abstract category for computation:

**Games**<sub>HS</sub>



$Int(\text{coKl}(\tilde{L}))$

$A$



$(P_A, O_A)$

$A \xrightarrow{\sigma} B$



$L(P_A + O_B) \xrightarrow{\sigma} O_A + P_B$

This functor is faithful and preserves the monoidal closed structure.

## From games to traces - generalising

The above construction generalises.

Given:

- a monad  $T$  corresponding to a class of partial maps,
- a comonad  $R$  defined by a least fixed point which is compatible with  $T$  and the monoidal structure,

then  $R$  extends to a near-comonad  $\tilde{R}$  on  $KI(T)$ .

The near-coKleisli category  $coKI(\tilde{R})$  is a symmetric monoidal category, and the trace on  $KI(T)$  induces a trace on  $coKI(\tilde{R})$ .

Finally, we get a compact closed category  $Int(coKI(\tilde{R}))$ .

# From games to traces - generalising

Example:

Instead of  $L(A) = \mu X. A \times (1 + X)$ ,  
use  $R(A) = \mu X. A \times \mathcal{P}_f(X)$ .

Category of games:

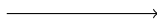
Category for computation:

**Games**<sub>HF</sub>



*Int*(**Pfn**)

**Games**<sub>HS</sub>



*Int*(coKl( $\tilde{L}$ ))

?



*Int*(coKl( $\tilde{R}$ ))



## From games to traces - generalising

$$R(A) = \mu X. A \times \mathcal{P}_f(X)$$

An element of  $R(A)$  is a finite rooted tree of elements of  $A$ .

If a strategy is represented by some partial map  $R(A) \rightarrow B$  then the next move will depend on a partially ordered set of previous plays, not a list. Moves might be played concurrently rather than sequentially.

This has similarities to the category of concurrent games.

## From games to traces - generalising

A **concurrent game**  $E$  consists of

- Two sets  $P_E$  and  $O_E$  of Player moves and Opponent moves
- A partial order  $\leq$  on moves  $P_E + O_E$  specifying the prerequisites for a move to be played
- A consistency predicate on finite sets of moves in  $P_E + O_E$  specifying which moves may occur together

satisfying some axioms.

A strategy in a concurrent game  $E$  is given by another game  $S$  and a map  $S \rightarrow E$  which preserves downward-closed consistent sets and is locally injective.

[Castellan, Clairambault, Rideau, Winskel 2016]

# From games to traces - generalising

Composition of strategies is given by a trace.

Category of games:

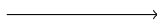
Category for computation:

**Games**<sub>HF</sub>



*Int*(**Pfn**)

**Games**<sub>HS</sub>



*Int*(coKl( $\tilde{L}$ ))

Simple  
concurrent games



*Int*(coKl( $\tilde{R}$ ))

⋮

⋮

## Questions and future work

- The functor from games to a compact closed category appears to lose some of the game structure. How much of it can be recovered?
- Near-monads and near-comonads arise in other situations, but their general theory is not well-understood.
- What other categories of games can be described this way?  
What is the right abstract notion of a category of games?